

# On wild harmonic bundles

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# Plan of the talk

## (I) Introduction

- Definition
- Corlette-Simpson correspondence
- Main issues in the study of tame and wild harmonic bundles
- Application to algebraic D-modules

## (II) Overview of the study on wild harmonic bundles

## Definition of harmonic bundle (1)

- $X$  : complex manifold
- $(E, \bar{\partial}_E, \theta)$  : Higgs bundle on  $X$   
i.e.,  $\theta \in \text{End}(E) \otimes \Omega_X^1, \theta \circ \theta = 0$
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$\partial_E$  and  $\theta^\dagger$  are determined by

$$\begin{aligned}\bar{\partial}h(u, v) &= h(\bar{\partial}_E u, v) + h(u, \partial_E v) \\ h(\theta u, v) &= h(u, \theta^\dagger v)\end{aligned} \quad (u, v \in C^\infty(X, E))$$

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### Definition

$h$  is called *pluri-harmonic*, if the connection

$$\mathbb{D}^1 = \bar{\partial}_E + \partial_E + \theta + \theta^\dagger$$

is *flat*. In that case,  $(E, \bar{\partial}_E, \theta, h)$  is called *harmonic bundle*.

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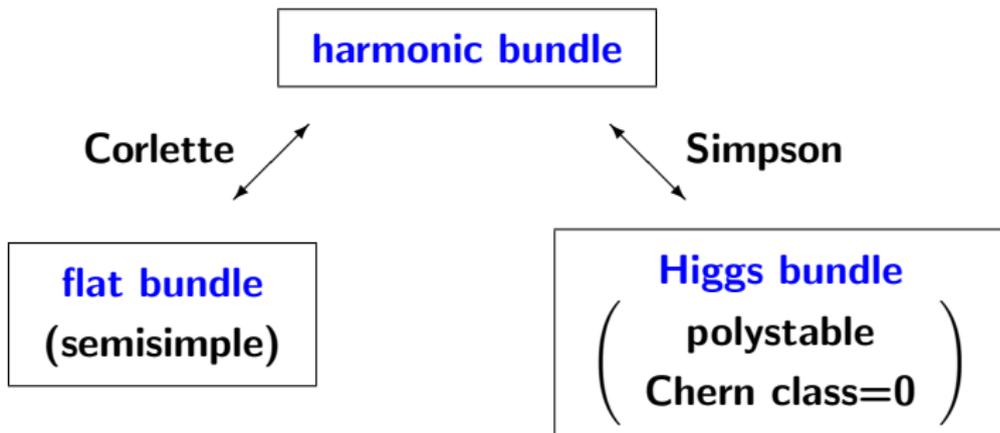
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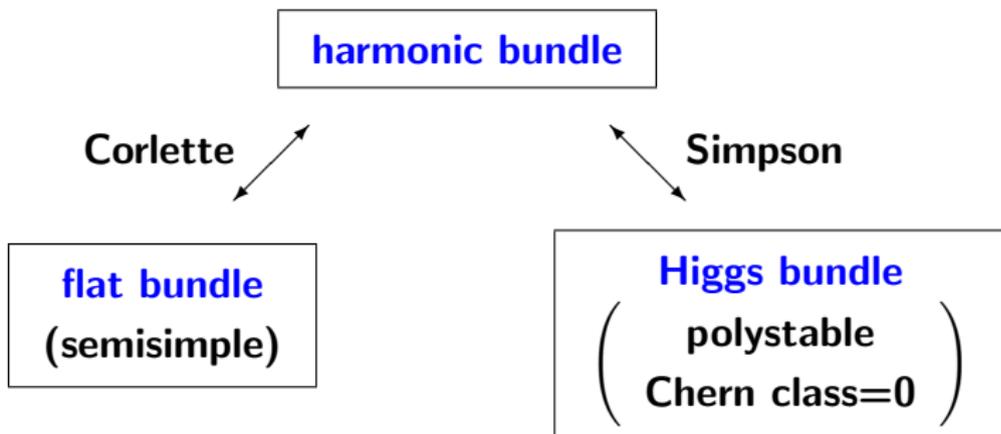
# Corlette-Simpson correspondence

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The tangent spaces of the moduli (the rank 1 case):

$$H^1(X, \mathbb{C}) \simeq H^1(X, \mathcal{O}_X) \oplus H^0(X, \Omega^1)$$

## harmonic metric (Corlette)

$X$  : Riemannian manifold,  
 $(V, \nabla)$  : flat bundle  
 $h$  : metric of  $V$

$$\widetilde{X} \xrightarrow{\Phi_h} \{\text{hermitian metric}\}$$



$X$

$$h \text{ harmonic} \stackrel{\text{def}}{\iff} \Phi_h \text{ harmonic}$$

$X$ : compact Kahler  $\implies h$  pluri-harmonic

## Variation of polarized Hodge structure

$X$  : complex manifold

$(V, \nabla)$  : flat bundle on  $X$  (with real structure)

$F$  : filtration by holomorphic subbundles  $F^i \subset F^{i-1}$

$S$  : flat pairing of  $V$

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A typical example of a Hodge bundle

$$\mathcal{O}_X \oplus \Theta_X, \quad \theta_X : \mathcal{O}_X \longrightarrow \Theta_X \otimes \Omega_X^1$$

# Deformation to VPHS

$(E, \theta) \rightsquigarrow (E, \alpha \theta) \ (\alpha \in \mathbb{C}^\times)$     **obvious deformation**

$\Downarrow$

$(V, \nabla) \rightsquigarrow (V_\alpha, \nabla_\alpha) \ (\alpha \in \mathbb{C}^\times)$     **non-trivial deformation**

$\exists \lim_{\alpha \rightarrow 0} (V_\alpha, \nabla_\alpha)$  underlies **a variation of polarized Hodge structures**

## Proposition (Simpson)

$\mathrm{SL}(n, \mathbb{Z})$  ( $n \geq 3$ ) cannot be the fundamental group of a smooth projective variety.

- $(V, \nabla)$  underlies a VPHS  $\implies$  The real Zariski closure of  $\pi_1(X) \rightarrow \mathrm{GL}(n, \mathbb{C})$  is “of Hodge type”.
- $\mathrm{SL}(n, \mathbb{Z})$  is rigid.
- $\mathrm{SL}(n, \mathbb{R})$  is not of Hodge type.

## Flat bundle with a non-trivial deformation

$X$  : projective manifold  
 $(V, \nabla)$  : flat bundle on  $X$ .

### Theorem (Simpson)

Assume  $\text{rank } V = 2$ . If  $(V, \nabla)$  has a non-trivial deformation,

- $\exists (V', \nabla')$ : a flat bundle on a projective curve  $C$ .
- $\exists F : X \rightarrow C$
- $(V, \nabla) = F^*(V', \nabla')$ .

### Theorem (Reznikov)

$c_i(V) = 0$  ( $i > 1$ ) in the Deligne cohomology group of  $X$ .

## Tame and wild harmonic bundles

Let  $X$  be a complex manifold, and let  $D$  be a normal crossing hypersurface of  $X$ . We would like to study a harmonic bundle  $(E, \bar{\partial}_E, \theta, h)$  on  $X - D$ .

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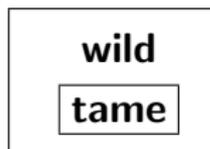
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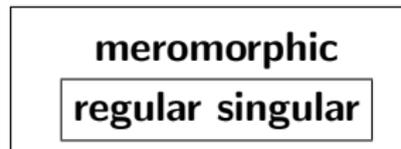
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harmonic bundle



flat bundle



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### Definition

- $(E, \bar{\partial}_E, \theta, h)$  is *tame*, if  $a_j(z)$  are holomorphic on  $\Delta$ .
- $(E, \bar{\partial}_E, \theta, h)$  is *wild*, if  $a_j(z)$  are meromorphic on  $\Delta$ .

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### Remark

*In the higher dimensional case, we need more complicated condition for wildness.*

# Tame harmonic bundles

## (A) **Asymptotic behaviour of tame harmonic bundles**

(A1) **Prolongation**

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- (B) **Kobayashi-Hitchin correspondence**  
**(Generalization of Corlette-Simpson correspondence)**
- (C) **Polarized (regular) pure twistor  $D$ -module**
  - (C1) **Hard Lefschetz theorem**
  - (C2) **Correspondence between tame harmonic bundles and polarized pure twistor  $D$ -modules**

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  - (A2) Reduction
- (B) **Kobayashi-Hitchin correspondence**  
(Generalization of Corlette-Simpson correspondence)
- (C) **Polarized (regular) pure twistor  $D$ -module**
  - (C1) Hard Lefschetz theorem
  - (C2) Correspondence between tame harmonic bundles and polarized pure twistor  $D$ -modules
- (D) **Application to algebraic  $D$ -modules**  
(Sabbah's program)

# Wild harmonic bundle

## (A) Asymptotic behaviour of wild harmonic bundles

(A1) Prolongation

(A2) Reduction

## (B) Algebraic meromorphic flat bundles and Higgs bundles

(B1) Kobayashi-Hitchin correspondence

(B2) Characterization of semisimplicity

**Resolution of turning points**

## (C) Polarized wild pure twistor $D$ -modules

(C1) Hard Lefschetz Theorem

(C2) Correspondence between polarized wild pure twistor  $D$ -modules and wild harmonic bundles

## (D) Application to algebraic $D$ -modules

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We obtain the push-forward

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and the holonomic  $\mathcal{D}_Y$ -modules

$$f_{\dagger}^m \mathcal{F} := \text{the } m\text{-th cohomology of } f_{\dagger}\mathcal{F}$$

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Theorem (Kashiwara's conjecture)

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## II. Overview of the study on wild harmonic bundles

- (B2) Characterization of semisimplicity  
Resolution of turning points
- (C) Polarized wild pure twistor  $D$ -modules
- (B)+(C)  $\implies$  Application to algebraic  $D$ -modules
- (A) Asymptotic behaviour of wild harmonic bundles

## II. Overview of the study on wild harmonic bundles

### (B) Algebraic meromorphic flat bundles

Higgs bundles

$\lambda$ -flat bundles

(B1) Kobayashi-Hitchin correspondence

(B2) Characterization of semisimplicity

Resolution of turning points

Let  $X$  be a complex smooth projective variety.

### Proposition (Corlette)

*For any flat bundle on  $X$ , the following two conditions are equivalent.*

- *It is **semisimple**, i.e., a direct sum of irreducible ones.*
- *It has a **pluri-harmonic metric**.*

*Such a pluri-harmonic metric is essentially **unique**.*

## Characterization of semisimplicity

Let  $D$  be a normal crossing divisor of  $X$ .

### Proposition

*Such a characterization was generalized for any meromorphic flat bundle on  $(X, D)$  with **regular singularity**. (The pluri-harmonic metric  $h$  of  $(\mathcal{E}, \nabla)|_{X-D}$  should satisfy some condition around  $D$ .)*

$\dim X = 1$  essentially due to Simpson with Sabbah's observation that semisimplicity is related to parabolic polystability.

$\dim X \geq 2$  two known methods

- Jost-Zuo (with a minor complement by M)
- Use Kobayashi-Hitchin correspondence (M)

# Characterization of semisimplicity

## Theorem (B2.1)

We can establish such a characterization even in *the non-regular case*.

$\boxed{\text{wild harmonic bundle}} \longleftrightarrow \boxed{\text{semisimple meromorphic flat bundle}}$

$\dim X = 1$  Sabbah (a related work due to Biquard-Boalch)

$\dim X \geq 2$  M.

We have a serious difficulty caused by the existence of **turning points** in the higher dimensional case.

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- $\text{Irr}(\nabla) \subset \mathcal{O}_{\Delta}(*\mathcal{O})$ , finite subset. (It is well defined in  $\mathbb{C}((z))/\mathbb{C}[[z]] \simeq z^{-1}\mathbb{C}[z^{-1}]$ .)
- $\widehat{\nabla}_{\alpha} - d\alpha$  has regular singularity for each  $\alpha$ .

Let  $(\mathcal{E}, \nabla)$  be a meromorphic flat bundle on  $(\Delta, O) \times \Delta^{n-1}$ .

## Majima-Malgrange

Let  $(\mathcal{E}, \nabla)$  be a meromorphic flat bundle on  $(\Delta, O) \times \Delta^{n-1}$ .

According to Majima and Malgrange, there exist

closed analytic subset  $Z \subset \Delta^{n-1}$

ramified covering  $\varphi : (\Delta, O) \times \Delta^{n-1} \longrightarrow (\Delta, O) \times \Delta^{n-1}$

such that  $\varphi^*(\mathcal{E}, \nabla)|_{\widehat{O} \times (\Delta^{n-1} \setminus Z)}$  locally has such a nice decomposition. (More strongly, Malgrange showed the existence of **Deligne-Malgrange lattice**.)

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### Definition

The points of  $Z$  are called **turning points**. (It can be defined appropriately even in the case of normal crossing poles.)

## Example of turning points

Take a meromorphic flat bundle  $(\mathcal{E}, \nabla)$  on  $\mathbb{P}^1$  such that (i) 0 is the only pole of  $(\mathcal{E}, \nabla)$ , (ii) it has non-trivial Stokes structure. For example,

$$\mathcal{E} = \mathcal{O}_{\mathbb{P}^1}(*0) v_1 \oplus \mathcal{O}_{\mathbb{P}^1}(*0) v_2$$
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Let  $F : \mathbb{C}^2 \longrightarrow \mathbb{P}^1$  be a rational map given by  $F(x, y) = [x : y]$ . The pole of  $F^*(\mathcal{E}, \nabla)$  is  $\{x = 0\}$ , and it can be shown that  $(0, 0)$  is a turning point.

## Difficulty caused by the existence of turning points

**The existence of turning points prevents us from applying Kobayashi-Hitchin correspondence to a characterization of semisimplicity.**

## Difficulty caused by the existence of turning points

**A general framework in global analysis:**

- (i) Take an appropriate metric of  $(\mathcal{E}, \nabla)|_{X-D}$ . (Some finiteness condition on the curvature.)**
- (ii) Deform it along the heat flow.**
- (iii) The limit of the flow should be a Hermitian-Einstein metric, and under some condition, it should be a pluri-harmonic metric.**

## Difficulty caused by the existence of turning points

A general framework in global analysis:

- (i) Take an appropriate metric of  $(\mathcal{E}, \nabla)|_{X-D}$ . (Some finiteness condition on the curvature.)
- (ii) Deform it along the heat flow.
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### Remark

*Even if there are no turning points, we need some trick.*

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Briefly speaking, they established the higher dimensional version of Step 2.

# Sabbah's conjecture

We hope to have a resolution of turning points.

## Sabbah's conjecture

**We hope to have a resolution of turning points.**

**Sabbah established it in the case  $\dim X = 2$ ,  $\text{rank}(\mathcal{E}, \nabla) \leq 5$ .**

## Resolution of turning points

### Theorem (B2.2)

*Let  $X$  be a smooth proper algebraic variety, and let  $D$  be a normal crossing hypersurface. Let  $(\mathcal{E}, \nabla)$  be a meromorphic flat bundle on  $(X, D)$ .*

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### Remark

*Kedlaya* established the existence of resolution of turning points for any meromorphic flat bundle on any general complex surface!

## Brief sketch of the proof

### Theorem (B2.1)

*Characterization of semisimplicity of algebraic meromorphic flat bundles by the existence of nice pluri-harmonic metrics.*

### Theorem (B2.2)

*Existence of resolution of turning points for algebraic meromorphic flat bundles.*

## Brief sketch of the proof

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We can use classical techniques  
in complex geometry.

## Brief sketch of the proof

We use the theory of polarized wild pure twistor  $D$ -modules for non-projective case.

- Take a birational morphism  $\varphi : X' \longrightarrow X$  such that  $X'$  is projective.
- Take a nice pluri-harmonic metric for  $\varphi^*(\mathcal{E}, \nabla)$ .
- Use the Hard Lefschetz theorem to obtain a nice pluri-harmonic metric for  $(\mathcal{E}, \nabla)$ .

## II. Overview of the study on wild harmonic bundles

### (C) Polarized wild pure twistor $D$ -modules

(C1) Hard Lefschetz Theorem

(C2) Correspondence between polarized wild pure twistor  $D$ -modules and wild harmonic bundles

# What is a polarized wild pure twistor $D$ -module?

Briefly and imprecisely,

Polarized wild  
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How to define “pluri-harmonic metric” for  $D$ -modules?

A very important hint was given by Simpson!

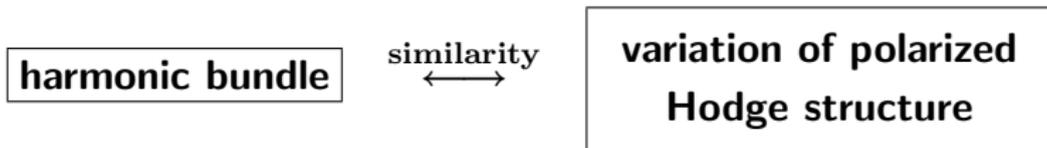
# Mixed twistor structure

**harmonic bundle**

similarity  
 $\longleftrightarrow$

**variation of polarized  
Hodge structure**

# Mixed twistor structure



- A variation of polarized Hodge structure has the underlying harmonic bundle.
- The isomorphism between the de Rham cohomology and the Dolbeault cohomology (the cohomology group associated to the Higgs bundle).

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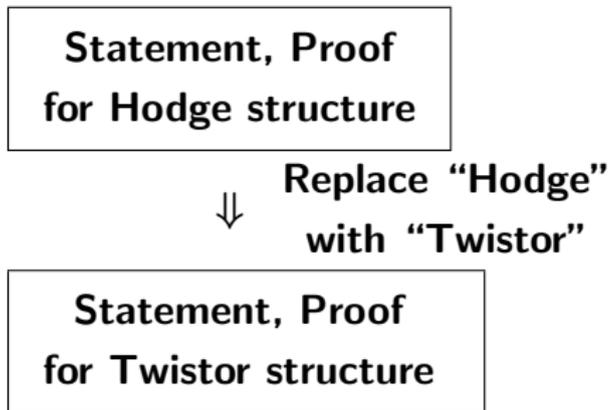
Naive Hope:

Statement, Proof  
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We can formulate “harmonic bundle version” or “twistor version” of most objects in the theory of variation of Hodge structure.

# Polarized wild pure twistor $D$ -modules

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**holonomic  $D$ -module  
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Morihiro Saito

**polarized pure Hodge module  $\doteq D$ -module + PHS**

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**Sabbah** introduced wild polarized pure twistor  $D$ -module as a twistor version. It was still a hard work, and he made various innovations and observations such as sesqui-linear pairings, their specialization by using Mellin transforms, the nearby cycle functor with ramification and exponential twist for  $\mathcal{R}$ -triples, and so on.

# Hard Lefschetz Theorem

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The following theorem is essentially due to Saito and Sabbah.

Theorem (Hard Lefschetz Theorem)

*Polarizable wild pure twistor  $D$ -modules have nice functorial property for push-forward via projective morphisms.*

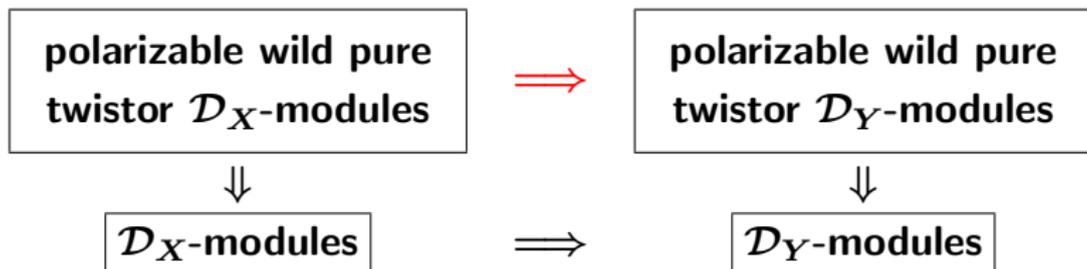
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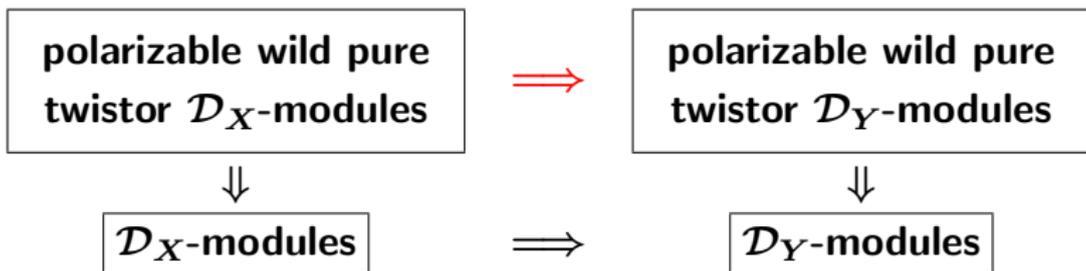
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Moreover, for a line bundle  $L$  on  $X$ , ample relative to  $f$ , the following induced morphisms are **isomorphisms**

$$c_1(L)^j : f_{\dagger}^{-j} \mathcal{T} \xrightarrow{\cong} f_{\dagger}^j \mathcal{T} \otimes \mathbb{T}^S(j)$$

# Wild harmonic bundles and polarized wild PTD

## Theorem

*On a complex manifold  $X$ , we have the following correspondence*

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- **Any polarized wild pure twistor  $D$ -module is the direct sum of minimal extensions.**

## II. Overview of the study on wild harmonic bundles

**(B)+(C)  $\implies$  Application to algebraic  $D$ -modules**

# Application to algebraic $D$ -modules

## Theorem

*On a smooth projective variety  $X$ , we have the following correspondence through wild harmonic bundles*

*semisimple  
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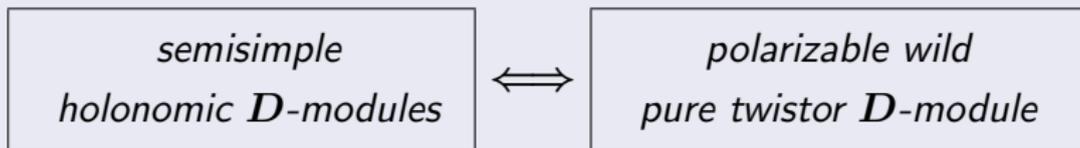


*polarizable wild  
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# Application to algebraic $D$ -modules

## Theorem

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$\implies$  **We obtain HLT for algebraic semisimple holonomic  $D$ -modules from HLT for polarizable wild pure twistor  $D$ -modules.**

## II. Overview of the study on wild harmonic bundles

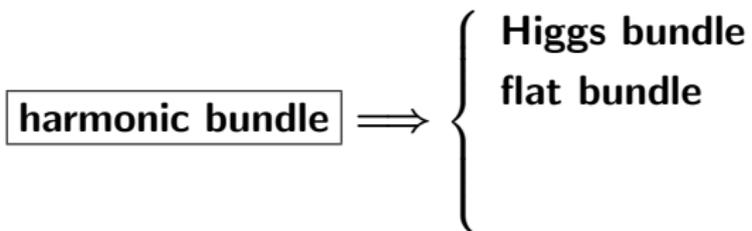
### (A) **Asymptotic behaviour of wild harmonic bundles**

(A1) **Prolongation**

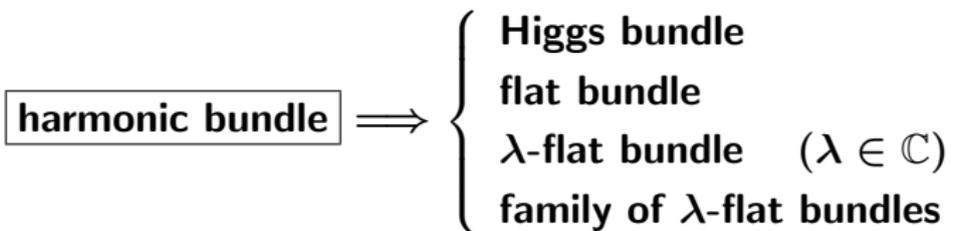
(A2) **Reduction**

## Underlying $\lambda$ -flat bundles

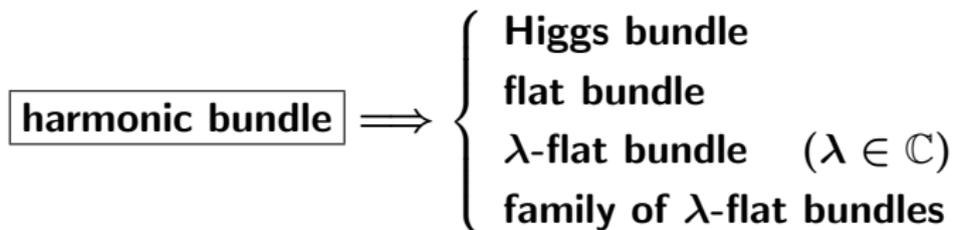
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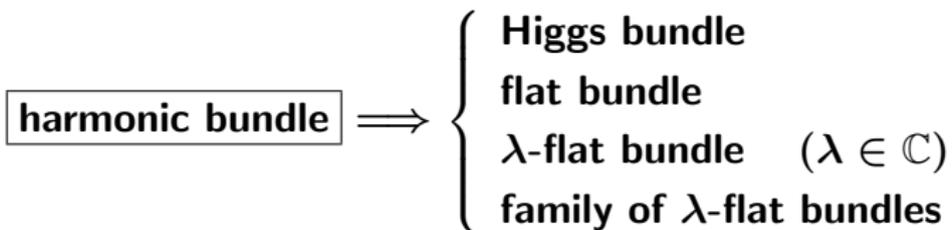
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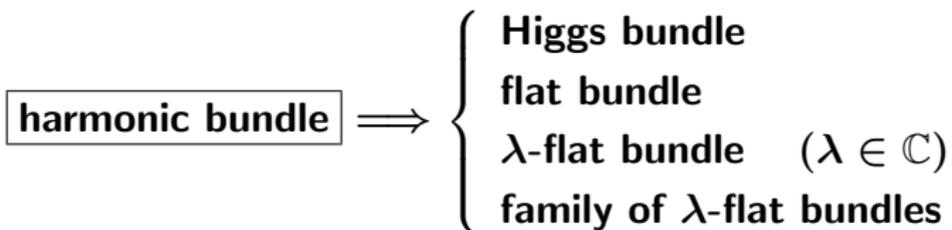


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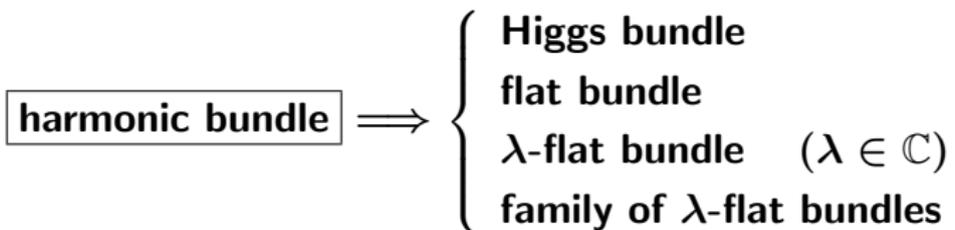
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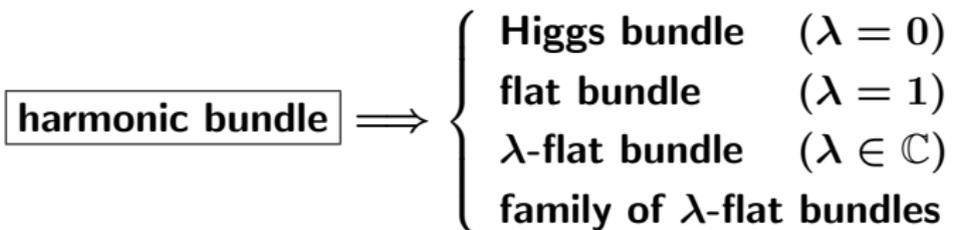
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## Prolongation

Let  $X$  be a complex manifold, and let  $D$  be a normal crossing hypersurface of  $X$ . From  $(E, \bar{\partial}_E, \theta, h)$  on  $X - D$ , we obtain  $\lambda$ -flat bundle  $(\mathcal{E}^\lambda, \mathbb{D}^\lambda)$  on  $X - D$ :

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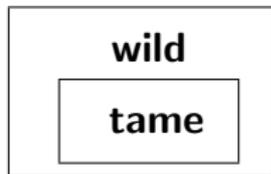
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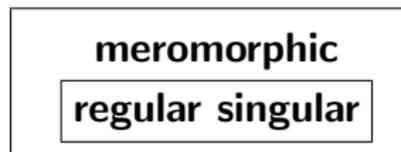
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**First goal** We would like to prolong it to a meromorphic  $\lambda$ -flat bundle on  $(X, D)$  with good lattices.

harmonic bundle



$\lambda$ -flat bundle



## Prolongation

Let  $X := \Delta^n$ ,  $D = \bigcup_{i=1}^{\ell} \{z_i = 0\}$ . Let  $(E, \bar{\partial}_E, \theta, h)$  be a good wild harmonic bundle on  $X - D$ . We have the associated  $\lambda$ -flat bundle  $(\mathcal{E}^\lambda, \mathbb{D}^\lambda)$  on  $X - D$ .

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$$\mathcal{P}\mathcal{E}^\lambda(U) := \left\{ f \in \mathcal{E}^\lambda(U \setminus D) \mid |f|_h = O\left(\prod_{i=1}^{\ell} |z_i|^{-N}\right) \exists N > 0 \right\}$$

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By taking the sheafification, we obtain the  $\mathcal{O}_X(*D)$ -module  $\mathcal{P}\mathcal{E}^\lambda$  and the  $\mathcal{O}_X$ -module  $\mathcal{P}_0\mathcal{E}^\lambda$ .

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### Theorem

- $(\mathcal{P}\mathcal{E}^\lambda, \mathbb{D}^\lambda)$  is a good meromorphic  $\lambda$ -flat bundle.
- $\mathcal{P}_0\mathcal{E}^\lambda$  is locally free, and “good lattice”.

## Outline of a part of the proof

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- We can show that  $(\mathcal{E}^\lambda, h)$  is **acceptable**, i.e., the curvature of  $(\mathcal{E}^\lambda, h)$  is bounded with respect to  $h$  and the Poincaré metric of  $X - D$ .
- We have developed a general theory of acceptable bundles, i.e., **any acceptable bundles are naturally extended to locally free sheaves by the above procedure**. Hence,  $\mathcal{P}_0\mathcal{E}^\lambda$  is locally free.

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**Second Goal** We should consider the prolongation of the family of  $\lambda$ -flat bundles.

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We need and have something more.

**Second Goal** We should consider the prolongation of the family of  $\lambda$ -flat bundles. Because  $\{\mathcal{P}\mathcal{E}^\lambda \mid \lambda \in \mathbb{C}\}$  cannot be a nice meromorphic object, we have to think the deformation of meromorphic  $\lambda$ -flat bundles caused by the variation of irregular values.

## Prolongation: Stokes filtration in the curve case

Let  $(\mathcal{E}, \nabla)$  be a meromorphic flat connection on  $(\Delta, O)$ , which is unramified. The formal decomposition

$$(\mathcal{E}, \nabla)|_{\hat{O}} = \bigoplus_{\alpha \in \text{Irr}(\nabla)} (\hat{\mathcal{E}}_{\alpha}, \hat{\nabla}_{\alpha})$$

can be lifted to a flat decomposition on each small sector  $S$  of  $\Delta^*$ :

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The filtration (**Stokes filtration**, or **Deligne-Malgrange filtration**)

$$\mathcal{F}_{\alpha}^S = \bigoplus_{\mathfrak{b} \leq_S \alpha} \mathcal{E}_{\mathfrak{b},S} \quad \mathfrak{b} \leq_S \alpha \iff -\text{Re}(\mathfrak{b}) \leq -\text{Re}(\alpha) \quad \text{on } S$$

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is canonically determined (some compatibility condition). We can recover  $(\mathcal{E}, \nabla)$  from  $(\mathcal{E}, \nabla)|_{X-D}$  and  $\{\mathcal{F}^S \mid S \subset \Delta^*\}$  (**Deligne, Malgrange** inspired by the work of **Sibuya**).

## Prolongation: Deformation

For any  $T > 0$ , we set  $\text{Irr}(\nabla^{(T)}) := \{T\alpha \mid \alpha \in \text{Irr}(\nabla)\}$ , and

$$\mathcal{F}_{T\alpha}^{(T)S} := \mathcal{F}_\alpha^S$$

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Applying similar procedure to  $(\mathcal{P}\mathcal{E}^\lambda, \mathbb{D}^\lambda)$  with  $T = (1 + |\lambda|^2)^{-1}$ , we obtain  $(\mathcal{Q}\mathcal{E}^\lambda, \mathbb{D}^\lambda)$ .

### Theorem

*The family  $\{(\mathcal{Q}\mathcal{E}^\lambda, \mathbb{D}^\lambda) \mid \lambda \in \mathbb{C}\}$  gives a nice meromorphic object.*

# Prolongation

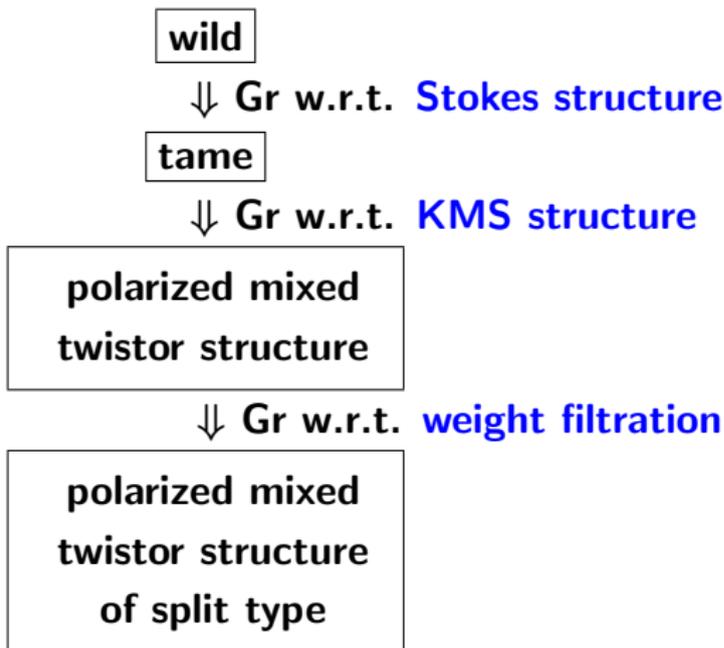
- We need and have something more (the parabolic structure, the eigenvalues of the residues, the irregular decomposition).
- Kobayashi-Hitchin correspondence.
- Characterization of semisimplicity.
- Resolution of turning points

## Reductions

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# Reductions

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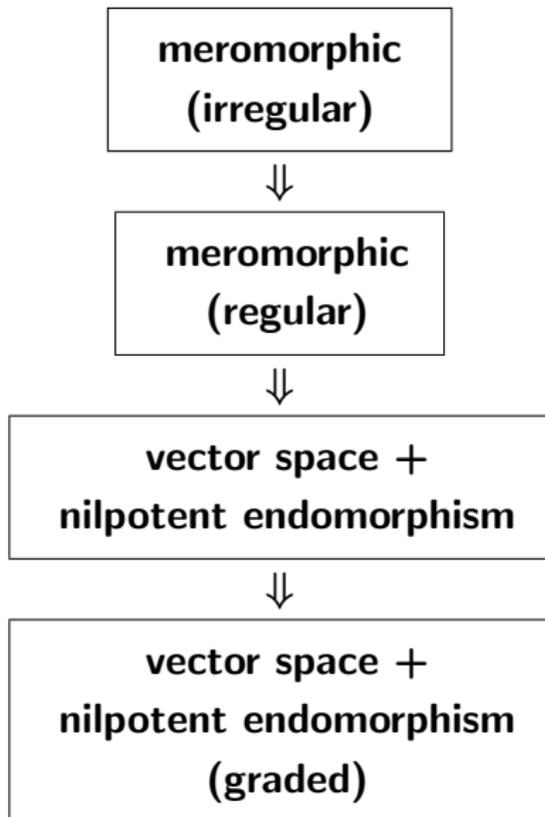


## Reductions of meromorphic flat bundle on curve

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It can be compared with the following very simple reductions for meromorphic flat bundles on a curve satisfying unramifiedness condition.



- **The first reduction** is taking a direct summand in the Hukuhara–Levelt–Turrittin decomposition

$$(E, \nabla)|_{\hat{O}} = \bigoplus (\hat{E}_\alpha, \hat{\nabla}_\alpha) \implies (\hat{E}_\alpha, \hat{\nabla}_\alpha - d\alpha),$$

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- **The third reduction** is Gr with respect to the weight filtration.

# Reductions

- **Relations among the weight filtrations.**
- **Norm estimate, i.e., a wild pluri-harmonic metric is determined by the residues and the parabolic structures, up to boundedness.**
- **Correspondence between wild harmonic bundles and polarized wild pure twistor  $D$ -modules.**
- **Vanishing of characteristic numbers (Kobayashi-Hitchin correspondence).**