

# The warping degree of a knot diagram

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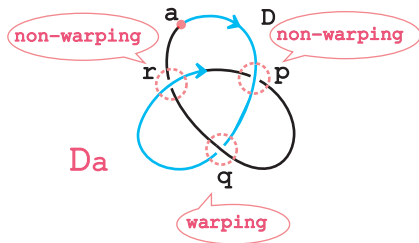
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## Warping Crossing Point

$D$  : an oriented knot diagram

$a$  : a point on  $D$  (*base point*)



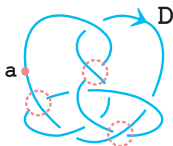
### Definition (warping crossing point)

A crossing point of  $D_a$  is *warping* if we meet the point first at the under-crossing when we go along the oriented diagram  $D$  by starting from  $a$ .

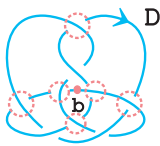
## The Warping Degree

the warping degree of  $D_a$

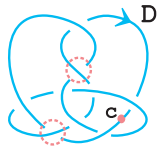
$$d(D_a) = \#\{\text{warping crossing points of } D_a\}$$



$$d(D_a) = 3$$



$$d(D_b) = 6$$



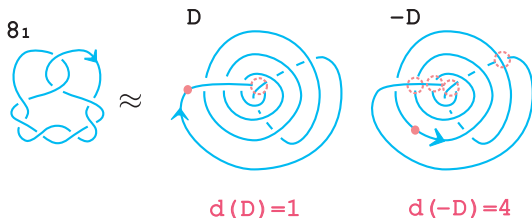
$$d(D_c) = d(D) = 2$$

the warping degree of  $D$

$$d(D) = \min\{d(D_a) \mid a : a \text{ base point of } D\}$$

## Remark.

The warping degree depends on the orientation. Let  $-D$  be the inverse of  $D$ .

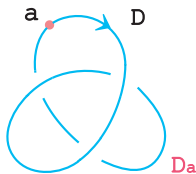


## Theorem (M.Ozawa, T.S.Fung)

A knot  $K$  which has an oriented diagram  $D$  with  $d(D) = 1$  is a twist knot.

## Monotone Diagram

$D_a$  is *monotone* if  $d(D_a) = 0$ .



- $D_a$ : monotone  $\Rightarrow D$ : a diagram of the trivial knot
- $u(D) \leq d(D)$  ( $u(D)$ : the unknotting number of  $D$ )

## Warping Degrees of Knot Diagrams in Rolfsen's Book

$D$	$d(D)$	$d(-D)$	$d(D) + d(-D)$	$c(D)$
$3_1$	1	1	2	3
$4_1$	1	2	3	4
$5_1, 5_2$	2	2	4	5
$6_1, \dots, 6_3$	2	3	5	6
$7_1, \dots, 7_6$	3	3	6	7
$7_7$	2	4	6	7
$8_1, \dots, 8_{17}$	3	4	7	8
$8_{18}$	2	5	7	8
$8_{19}$ (non-alt)	3	3	6	8
$8_{20}$ (non-alt)	2	3	5	8
$8_{21}$ (non-alt)	2	2	4	8

## Main Theorem

### Main Theorem

For an oriented knot diagram  $D$  which has at least one crossing point, we have

$$d(D) + d(-D) + 1 \leq c(D).$$

Further, the equality holds if and only if  $D$  is an alternating diagram.

## Corollary

### Corollary

Let  $u(D)$  be the unknotting number of  $D$ . Then we have

$$u(D) \leq \min\{d(D), d(-D)\} \leq \frac{c(D) - 1}{2}.$$

Further the equality holds if and only if  $D$  is a reduced alternating diagram of some  $(2, p)$ -torus knot, or  $D$  is a diagram with  $c(D) = 1$  (by Taniyama).



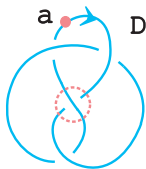
## Properties of The Warping Degree

$p$ : a crossing point of  $D$

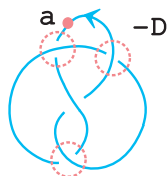
$p$  is warping of  $D_a \Leftrightarrow p$  is non-warping of  $-D_a$ .

### Lemma 1

Let  $c(D)$  be the crossing number of  $D$ . Then we have  
 $d(D_a) + d(-D_a) = c(D)$ .



$$d(D_a) = 1$$



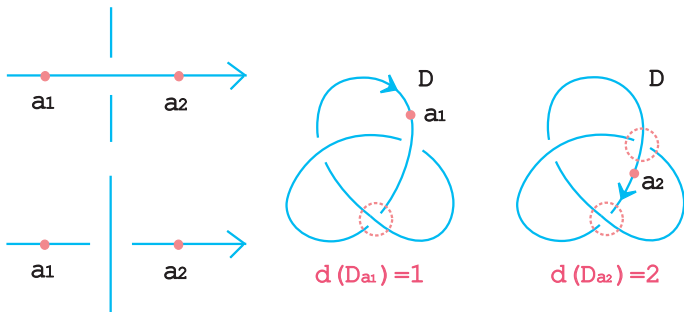
$$d(-D_a) = 3$$

## Properties of The Warping Degree

### Lemma 2

For base points  $a_1, a_2$  which are put across an over-crossing (resp. under-crossing), we have

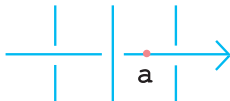
$$d(D_{a_2}) = d(D_{a_1}) + 1 \quad (\text{resp. } -1).$$



## Properties of The Warping Degree

### Lemma 3

Let  $D$  be an oriented alternating knot diagram. If a base point  $a$  is just before an over-crossing, then we have  $d(D_a) = d(D)$ .



## Main Theorem

### Main Theorem

For an oriented knot diagram  $D$  which has at least one crossing point, we have

$$d(D) + d(-D) + 1 \leq c(D).$$

Further, the equality holds if and only if  $D$  is an alternating diagram.

### Outline of Proof

( i )  $d(D) + d(-D) + 1 \leq c(D)$

( ii )  $D$ : alternating  $\Rightarrow d(D) + d(-D) + 1 = c(D)$

( iii )  $d(D) + d(-D) + 1 = c(D) \Rightarrow D$ : alternating

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( iii )  $d(D) + d(-D) + 1 = c(D) \Rightarrow D$ : alternating

## Proof of Main Theorem

$$(ii) D : \text{alternating} \Rightarrow d(D) + d(-D) + 1 = c(D)$$

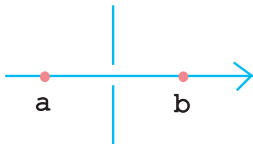
$$d(D_a) = d(D) \text{ (by Lemma 3),}$$

$$d(D_a) + d(-D_a) = c(D) \text{ (by Lemma 1).}$$

$$d(-D_a) = d(-D_b) + 1 \text{ (by Lemma 2)}$$

$$= d(-D) + 1 \text{ (by Lemma 3).}$$

$$d(D) + d(-D) + 1 = c(D)$$

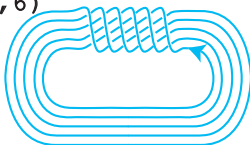


## Torus Knot

Let  $T(p, q)$  be a  $(p, q)$ -torus knot ( $0 < p < q$ ,  $p$  and  $q$  are coprime) and  $D(p, q)$  the standard diagram of  $T(p, q)$ . Then we have

$$d(D(p, q)) = d(-D(p, q)) = \frac{(p-1)(q-1)}{2}.$$

$D(5, 6)$



## Pretzel Knot

Let  $D = P(\varepsilon_1 n_1, \varepsilon_2 n_2, \dots, \varepsilon_m n_m)$  be a pretzel knot diagram of odd type

( $\varepsilon_i \in \{+1, -1\}, n_i, m : \text{odd} > 0$ ). Then we have

$P(5, 3, 3)$



$$d(Da) = d(-Db) = \frac{c(D)}{2} + \sum_i \frac{(-1)^{i+1} \varepsilon_i}{2},$$

$$\# [Da] = \# [-Db],$$

$$\therefore d(D) = d(-D).$$

D: alternating i.e.  $\varepsilon_1 = \varepsilon_2 = \dots = \varepsilon_m = \pm 1 \Rightarrow d(D) = \frac{c(D)}{2} - \frac{1}{2}$ ,

$$d(D) + d(-D) + 1 = c(D). \quad (\text{Main Theorem})$$



## To a Knot Invariant

$K$ : a nontrivial knot

$e(K) := \min\{d(D) + d(-D) \mid D : \text{an oriented diagram of } K \\ \text{with } c(D) = c(K)\}$

### Theorem

(1) We have  $e(K) + 1 \leq c(K)$ .

Further, the equality holds if and only if  $K$  is a prime alternating knot.

(2) For any positive integer  $n$ , there exists a prime knot  $K$  s.t.

$$c(K) - e(K) = n.$$

## Corollary

### Corollary

Let  $u(K)$  be the unknotting number of  $K$ . Then we have

$$u(K) \leq \frac{e(K)}{2} \leq \frac{c(K) - 1}{2}.$$

Further the equality holds if and only if  $K$  is a  $(2, p)$ -torus knot ( $p$ : odd,  $\neq \pm 1$ ), (by Taniyama).

Thank you.

