

# Quantum criticality in Quantum impurity systems and Luttinger–Friedel sum rule ~ Luttinger integral as a topological invariant ~

Curtin O. J., Nishikawa Y., Hewson A. C., Crow D. J. G. JOURNAL OF PHYSICS COMMUNICATIONS 2 ( 031001 ) 2018:  
<https://arxiv.org/abs/1703.01807>

Nishikawa Y., Curtin O. J., Hewson A. C., Crow D. J. G., PHYSICAL REVIEW B 98 ( 104419 ) 2018, :  
<https://arxiv.org/abs/1712.08771>



**International Symposium in Honor of Professor Nambu  
for the 10th Anniversary of his Nobel Prize in Physics**



**Yunori Nishikawa  
(Osaka City University, NITEP)**

# Collaborators

( Imperial College London )

A.C.Hewson

(Former PhD students)

O.J.Curtin, D.J.G. Crow

# Outline of Presentation

## 1. Model and Systems

General Background

Model and Some **Quantum Critical Points**

## 2. Method and Some Results

Renormalized Perturbation Theory and Numerical Renormalization Group

Application to Single Impurity Anderson Model

Application to Two Impurity Anderson Model

## 3. Results and Discussion

**Luttinger –Friedel sum rule**

Violation of Luttinger-Friedel sum rule

Discussion

## 4. Summary and Conclusion

# Model and Systems

# General Background

Single Impurity Anderson Model ( SIAM )

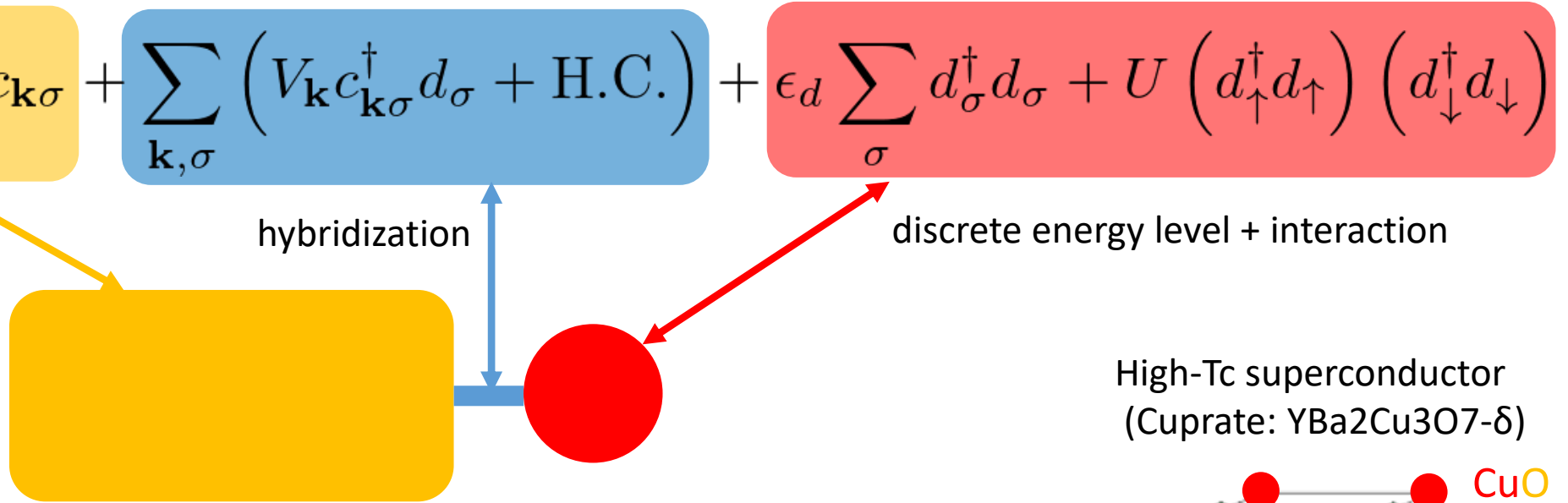
$$H_{AM} = \sum_{\mathbf{k}, \sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \sigma} \left( V_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger d_{\sigma} + \text{H.C.} \right) + \epsilon_d \sum_{\sigma} d_{\sigma}^\dagger d_{\sigma} + U \left( d_{\uparrow}^\dagger d_{\uparrow} \right) \left( d_{\downarrow}^\dagger d_{\downarrow} \right)$$

continuous energy levels  
(non-interacting system)

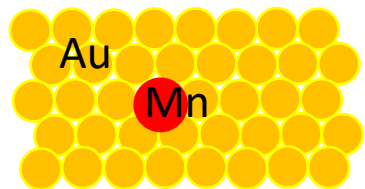
hybridization

discrete energy level + interaction

Fig.1



Dilute magnetic alloys  
(Kondo effect)



Scanning tunneling microscopy  
(STM)

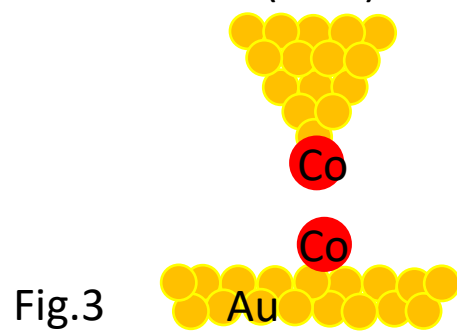


Fig.3

Quantum dot

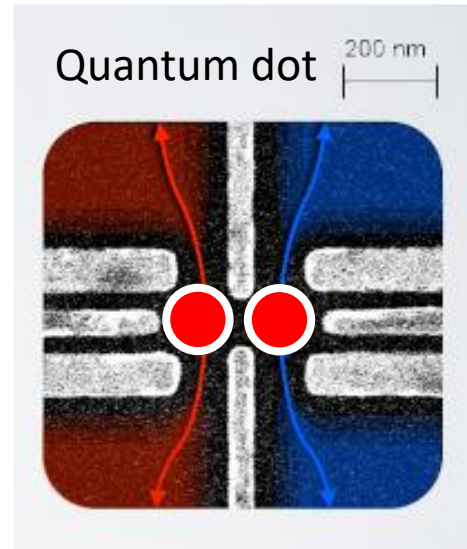


Fig.3

High-Tc superconductor  
(Cuprate:  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ )

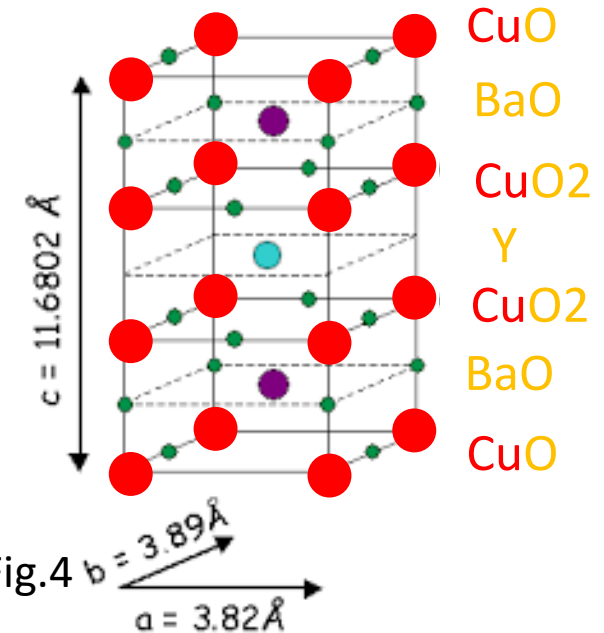


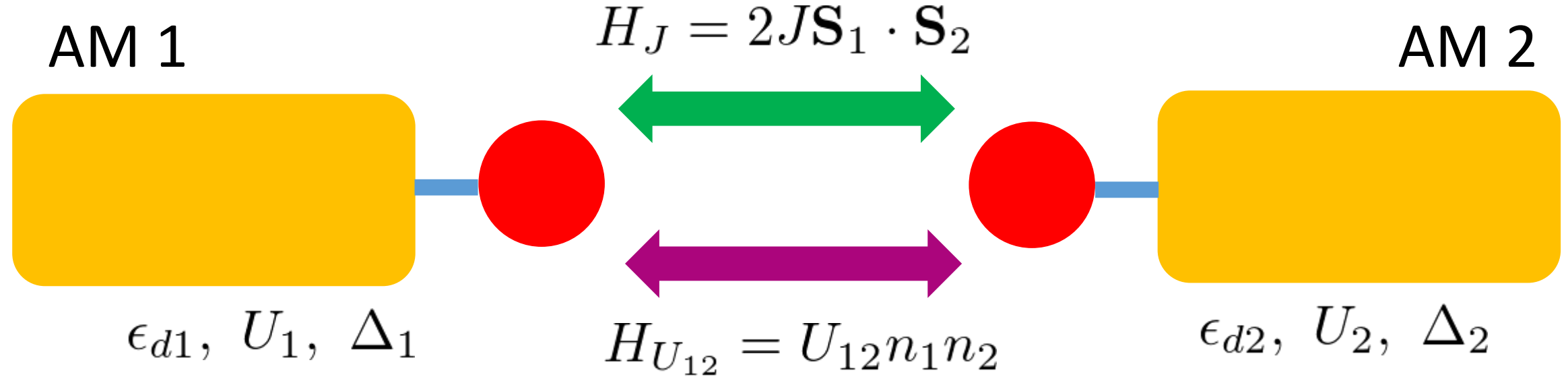
Fig.4

Fig.2

# Model

Two-Impurity Anderson Model (2IAM)

$$H_{2IAM} = H_{AM1} + H_{AM2} + H_J + H_{U_{12}}$$

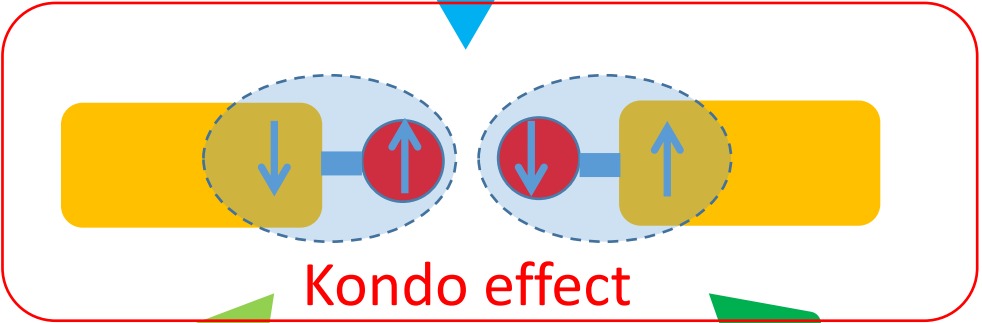
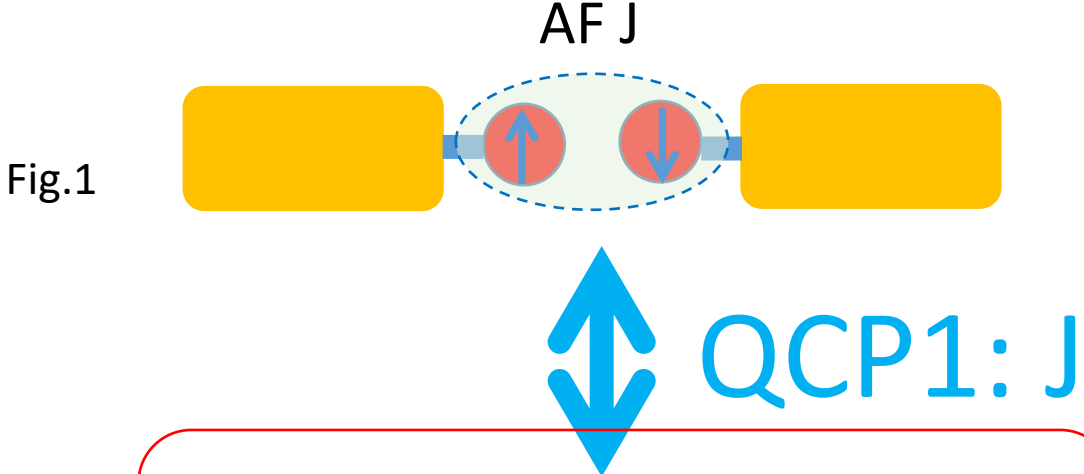


$$\epsilon_d \equiv \epsilon_{d1} = \epsilon_{d2},$$

$$U \equiv U_1 = U_2,$$

$$\Delta \equiv \Delta_1 = \Delta_2.$$

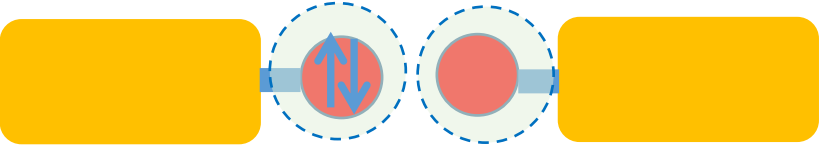
# Some Quantum Critical Points



QCP2:  $U_{12}$

QCP3:  $U_{12}$

Fig.2



Positive  $U_{12} > U$



Negative  $U_{12} < -|U|$

Fig.3

# Method and Some Results



# Renormalized Perturbation Theory and Numerical Renormalization Group

General assumption for low energy states (Fermi liquid)

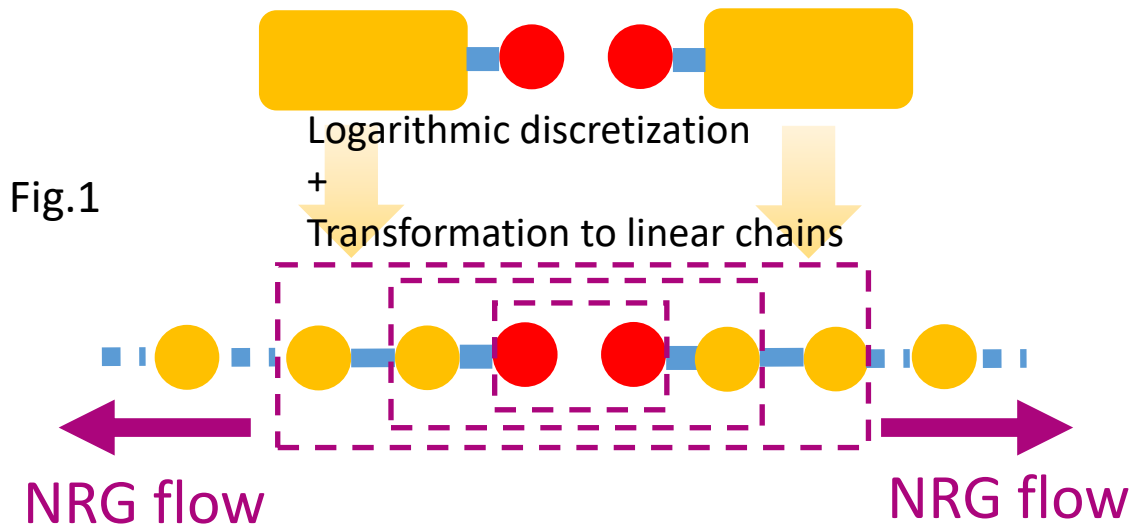
Fermi liquid state : quasiparticles with residual renormalized interactions

Renormalized Perturbation Theory (RPT) : A. C. Hewson, Phys. Rev. Lett. 70, 4007 (1993)

Perturbation expansion in powers of the renormalized interaction parameters (overcounting are avoided)

Exact expressions of some physical quantities in terms of the renormalized parameters

Numerical Renormalization Group (NRG)



# Application to SIAM

Electron-hole symmetry,  $D \rightarrow \infty$

Parameter space

Bethe ansatz (Exact) : special parameter

Our method : all parameters (in principle)



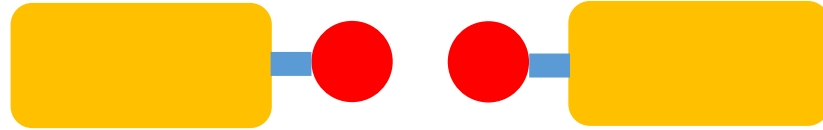
$U/\pi\Delta = 2$	Wavefunction renormalization factor $z = \tilde{\Delta}/\Delta$	Renormalized $U^{\sim}$	Wilson ratio $R = \chi/\gamma$
Exact (Bethe ansatz)	0.2392	0.2301	1.9620
NRG $\Lambda=2.0$	0.2389	0.2298	1.9620
NRG $\Lambda=2.5$	0.2390	0.2300	1.9621
NRG $\Lambda=3.0$	0.2390	0.2299	1.9619
NRG $\Lambda=3.5$	0.2390	0.2299	1.9620

A. C. Hewson, A. Oguri, and D. Meyer. Eur. Phys. J. B B40 177 2004

Quite good agreement

# Application to 2IAM

For 2IAM, no exact results such a Bethe ansatz solution (so far).



Exact expressions of some susceptibilities ( RPT )

$$\chi_s \propto \tilde{\rho}(1 + \tilde{u} - \tilde{j}) = \tilde{\rho}\eta_s \quad \text{Spin susceptibility}$$

$$\chi_c \propto \tilde{\rho}(1 - \tilde{u} - 2\tilde{u}_{12}) = \tilde{\rho}\eta_c \quad \text{Charge susceptibility}$$

$$\chi_s^{st} \propto \tilde{\rho}(1 + \tilde{u} + \tilde{j}) = \tilde{\rho}\eta_s^{st} \quad \text{Staggered spin susceptibility}$$

$$\chi_c^{st} \propto \tilde{\rho}(1 - \tilde{u} + 2\tilde{u}_{12}) = \tilde{\rho}\eta_c^{st} \quad \text{Staggered charge susceptibility}$$

$$\tilde{\rho} = \frac{\tilde{\Delta}/\pi}{(\tilde{\varepsilon}_d)^2 + (\tilde{\Delta})^2} \quad \tilde{u} = \tilde{U}\tilde{\rho}, \quad \tilde{u}_{12} = \tilde{U}_{12}\tilde{\rho}, \quad \tilde{j} = \tilde{J}\tilde{\rho}$$

Quasiparticle density of states Dimensionless renormalized interaction parameters

$$\tilde{\rho} \rightarrow \infty @ \text{QCP}$$

$$\chi_s \propto \tilde{\rho} \eta_s, \chi_c \propto \tilde{\rho} \eta_c, \chi_s^{st} \propto \tilde{\rho} \eta_s^{st}, \chi_c^{st} \propto \tilde{\rho} \eta_c^{st}$$

At least, one susceptibility diverges because  $\eta_s + \eta_c + \eta_s^{st} + \eta_c^{st} = 4$

(assumption)

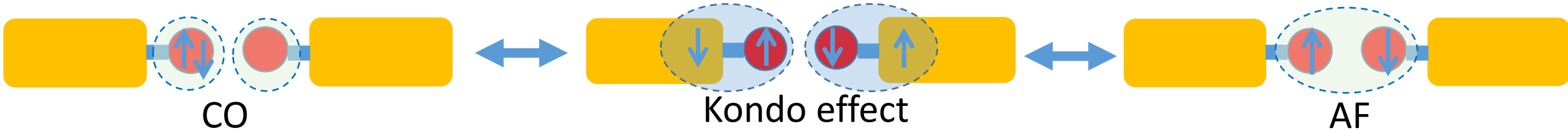
At a particular QCP, only one susceptibility associated with the QCP diverges.

(necessary condition for the assumption)

$\Rightarrow$  one  $\eta \rightarrow 4$  and the other  $\eta$  s  $\rightarrow 0$ .

It enables us to predict the values of the renormalized interaction parameters at the QCP using the following equations.

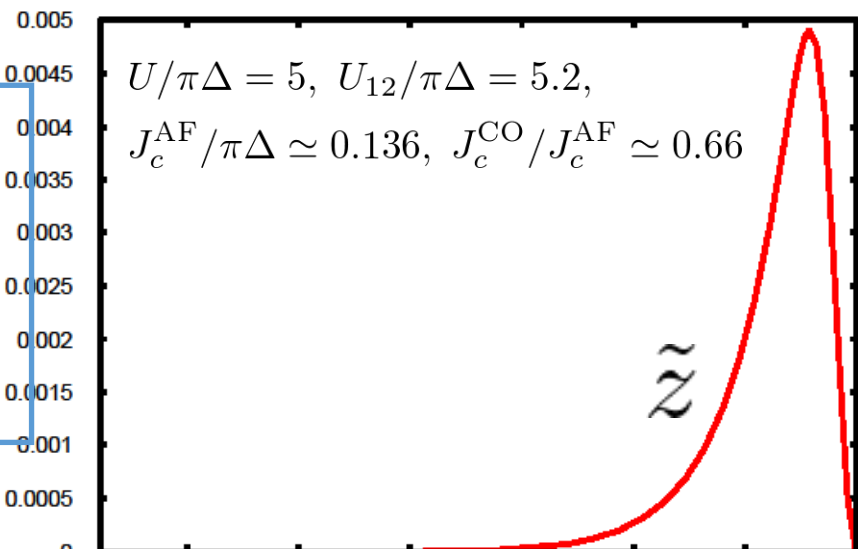
$$\tilde{u} = \frac{\eta_s + \eta_s^{st} - 2}{2} \quad \tilde{j} = \frac{-\eta_s + \eta_s^{st}}{2} \quad \tilde{u}_{12} = \frac{-\eta_s - 2\eta_c - \eta_s^{st} + 4}{4}$$



[ Predicted values ]

$$\tilde{u} = -1, \quad \tilde{u}_{12} = 1, \quad \tilde{j} = 0$$

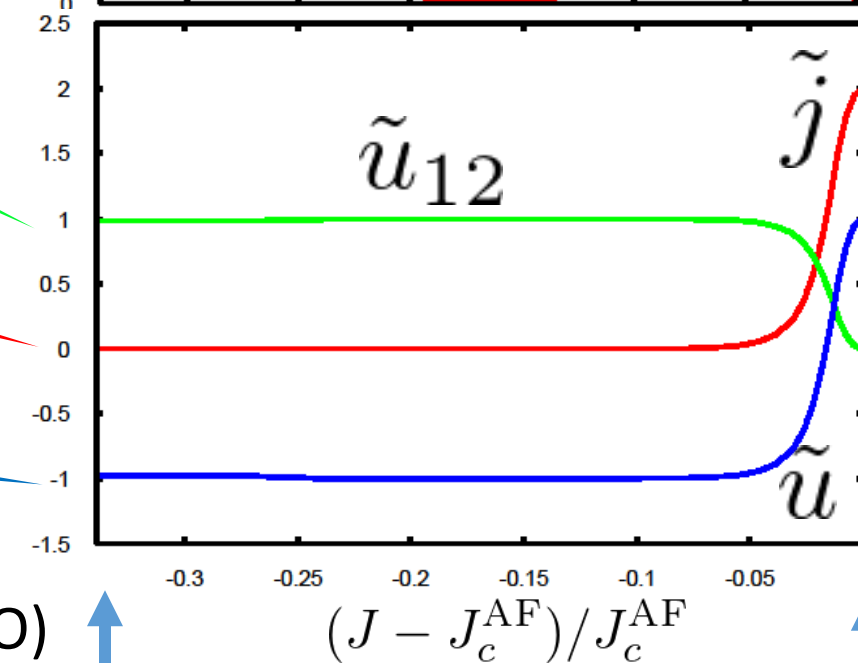
$$(\eta_c^{st} = 4, \quad \eta_s = \eta_c = \eta_s^{st} = 0)$$



[ Predicted values ]

$$\tilde{u} = 1, \quad \tilde{u}_{12} = 0, \quad \tilde{j} = 2$$

$$(\eta_s^{st} = 4, \quad \eta_s = \eta_c = \eta_c^{st} = 0)$$



0.9996

0.000160

-0.9995

1.999

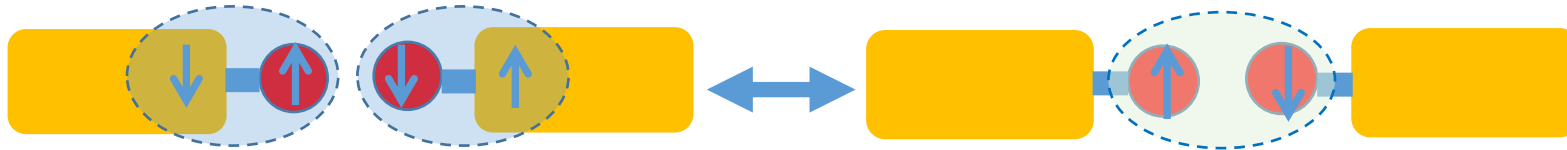
0.9990

0.000279

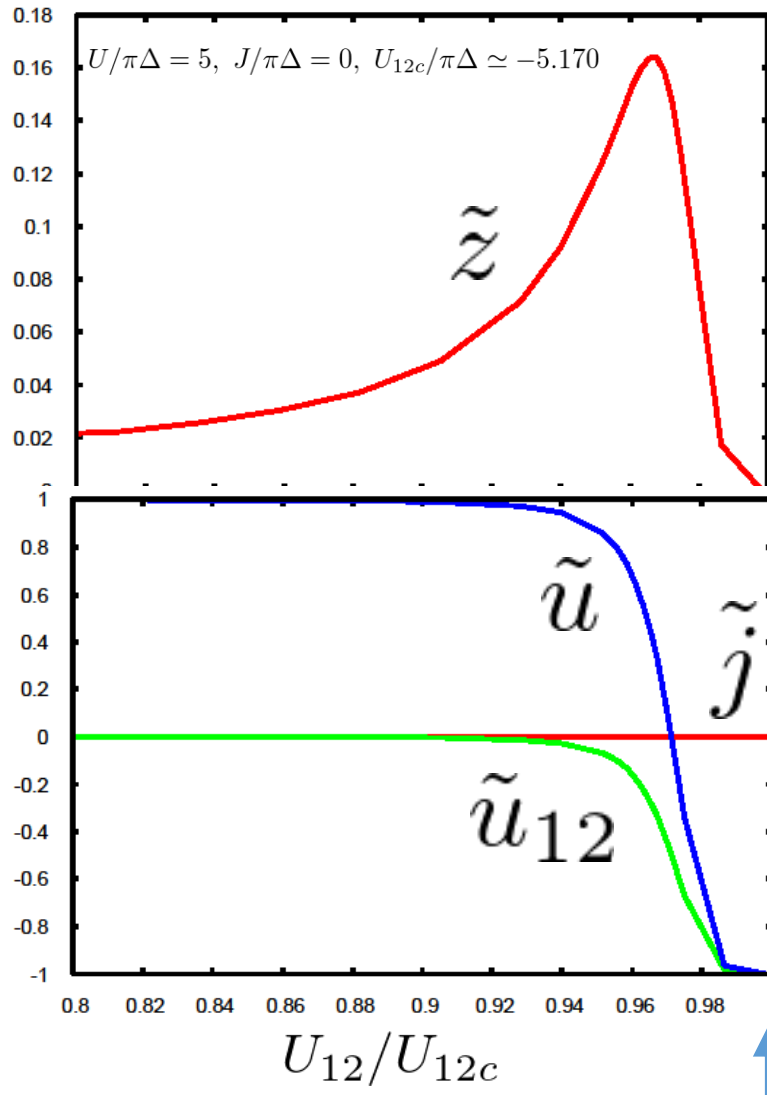
QCP2(Kondo-CO) ↑

↑ QCP1(Kondo-AF)

Kondo effect



AP



[ Predicted values ]

$$\tilde{u} = -1, \quad \tilde{u}_{12} = -1, \quad \tilde{j} = 0$$

$$(\eta_c = 4, \eta_s = \eta_c^{st} = \eta_s^{st} = 0)$$

$10^{-9}$

-0.9999

-0.9999

Our method is reliable for 2IAM.

# Results and Discussion

# Luttinger – Friedel sum rule

- Luttinger's theorem

The volume inside the Fermi surface is invariant by the interaction.  
(If the number  $N$  of particles is held fixed.)

$$N = \sum_{\alpha} (1 - \theta(\tilde{\varepsilon}_{\alpha})) = \sum_{\alpha} \left(1 - \theta(\varepsilon_{\alpha}^{(0)})\right) \quad (1)$$

- Friedel sum rule

$$n_{d\alpha} = \tilde{n}_{d\alpha} \equiv \frac{1}{2} - \frac{1}{\pi} \arctan \left( \frac{\tilde{\varepsilon}_{d\alpha}}{\tilde{\Delta}_{\alpha}} \right) \quad \left( \neq n_{d\alpha}^{(0)} \right) \quad (2)$$

$\longrightarrow 1 - \theta(\tilde{\varepsilon}_{d\alpha}),^{(4)} (\tilde{\Delta}_{\alpha} \xrightarrow{(3)} 0)$



# Violation of Luttinger –Fridel sum rule 1

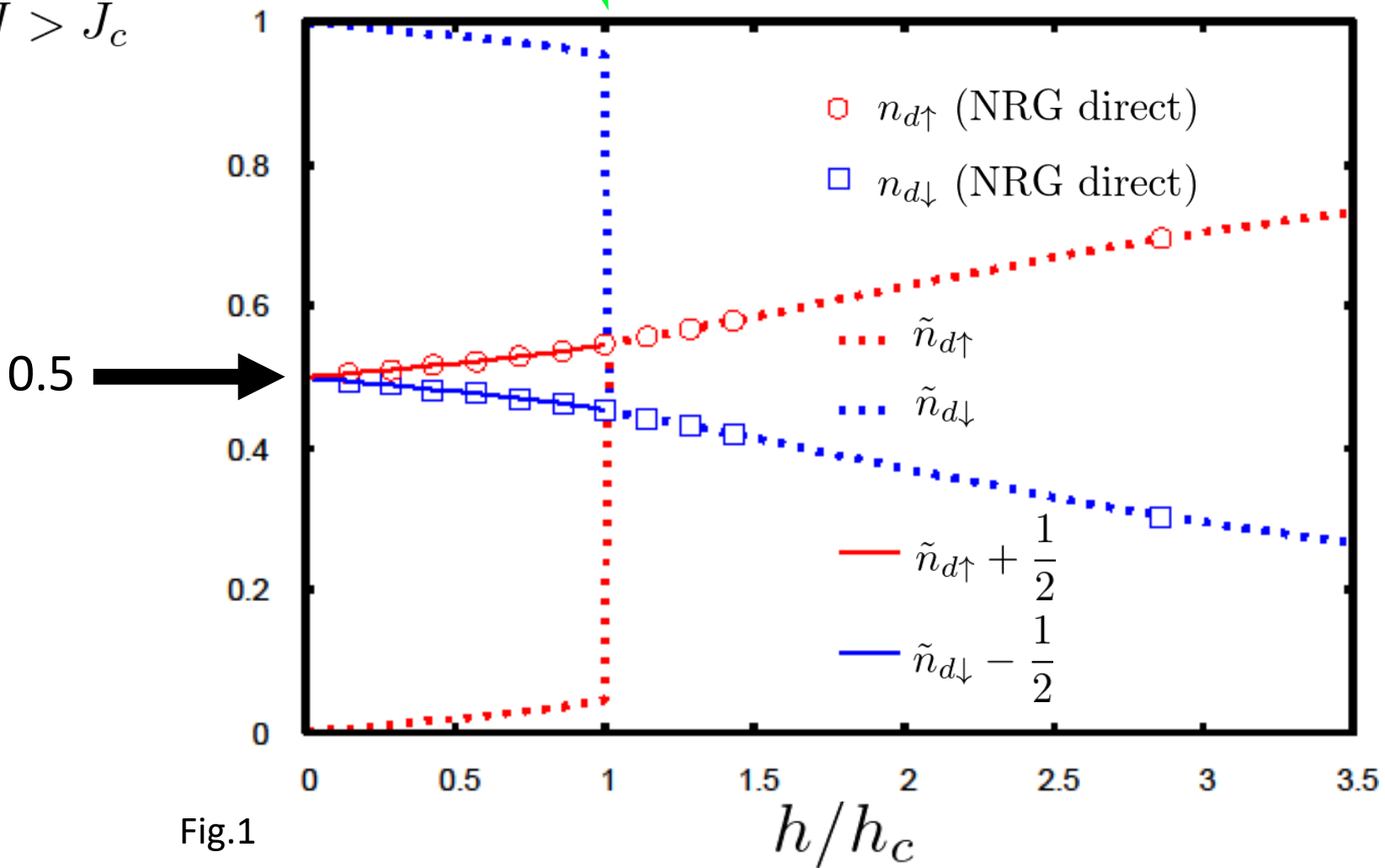
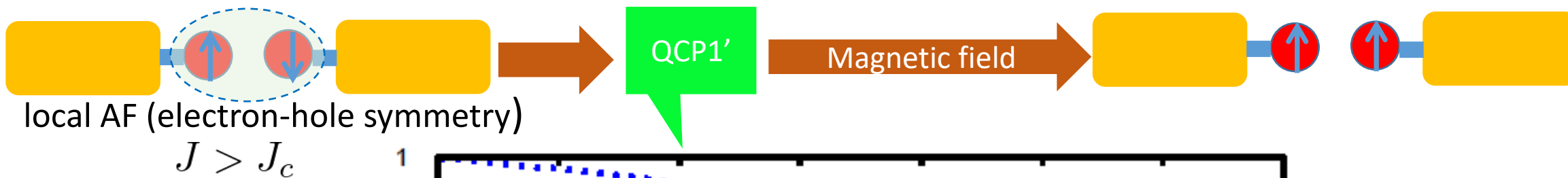
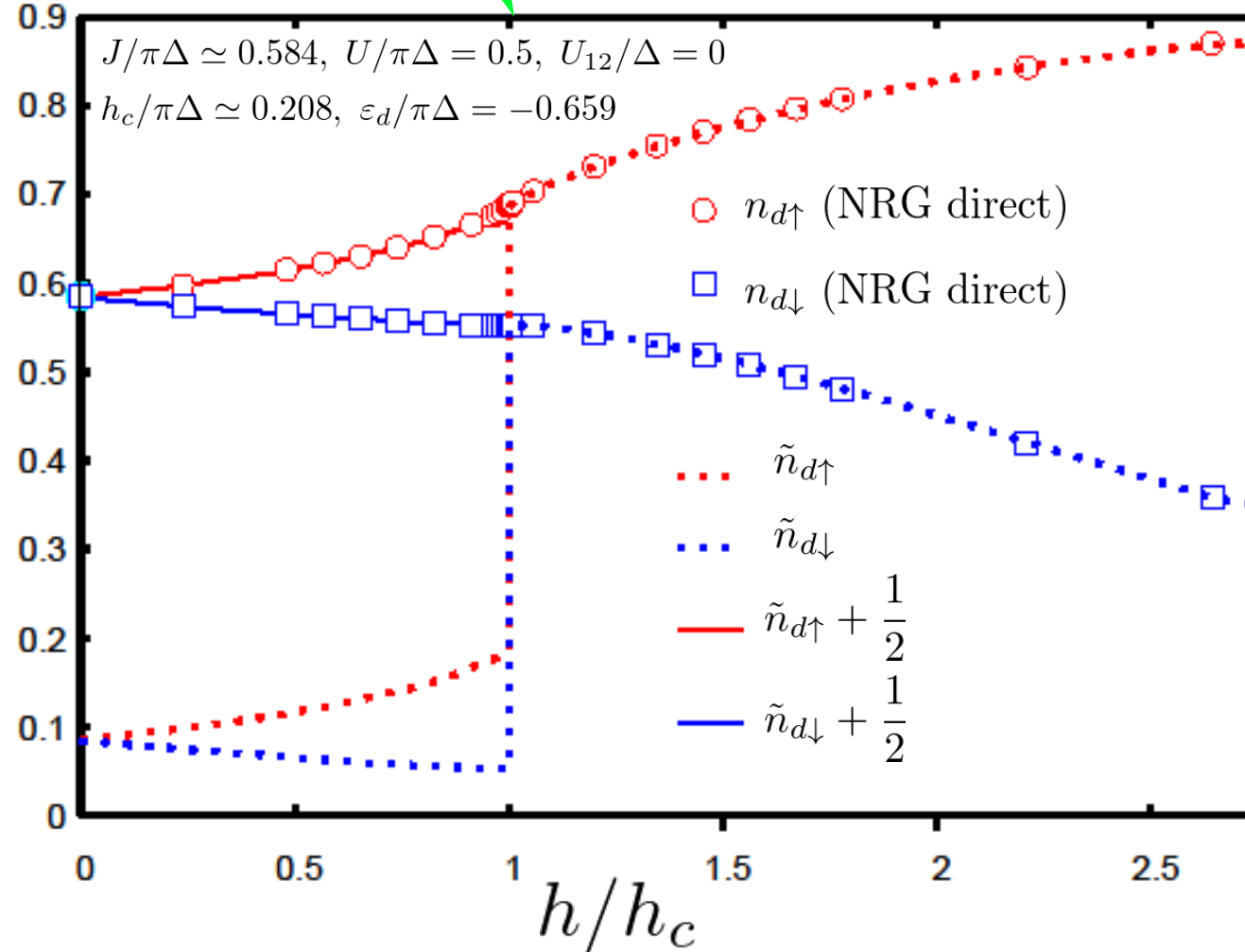
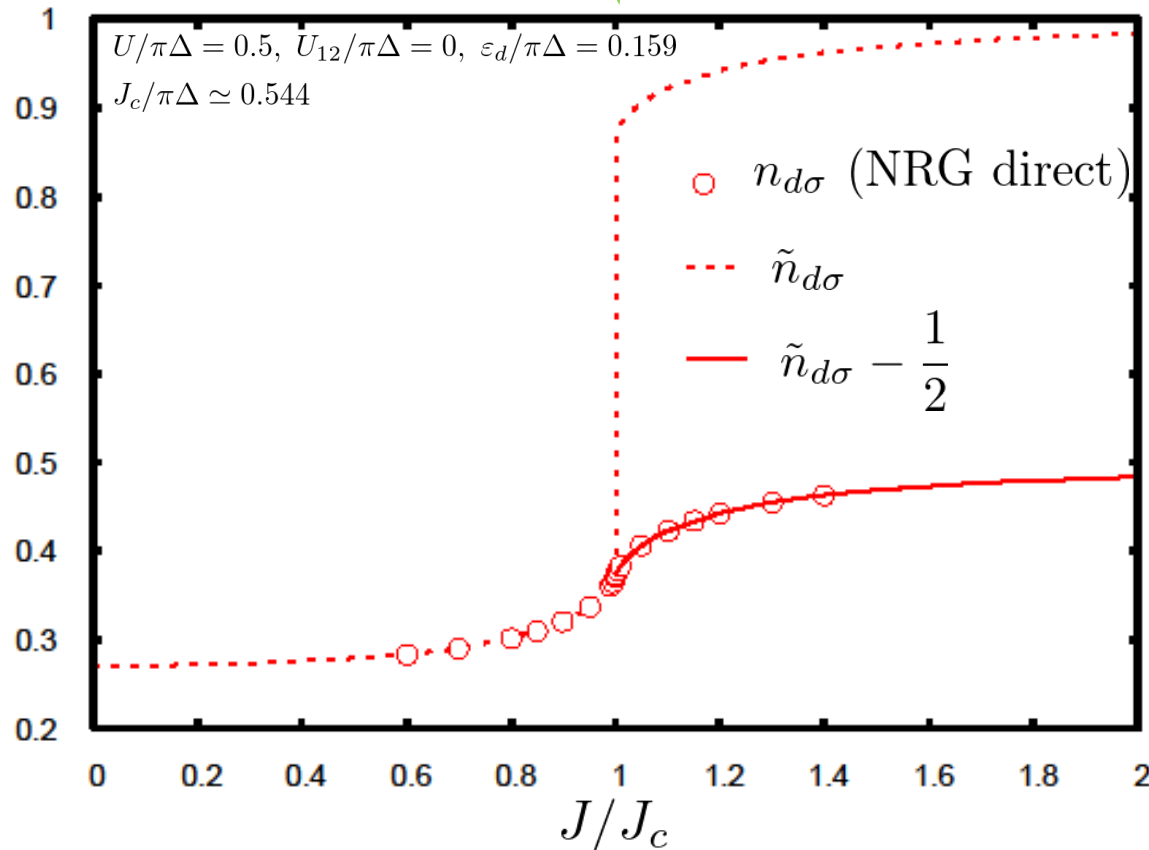
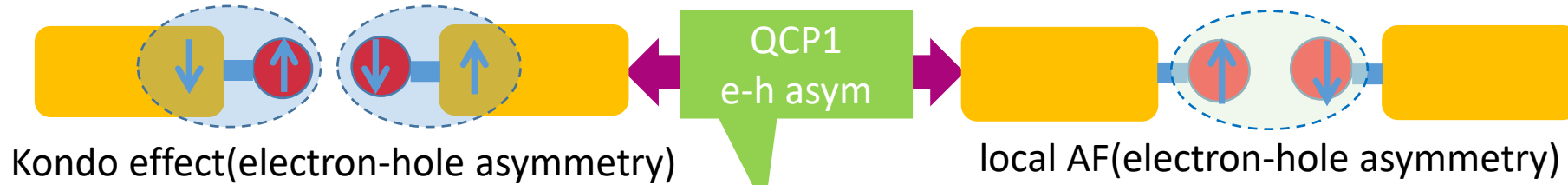


Fig.1

# Violation of Luttinger –Fridel sum rule 2



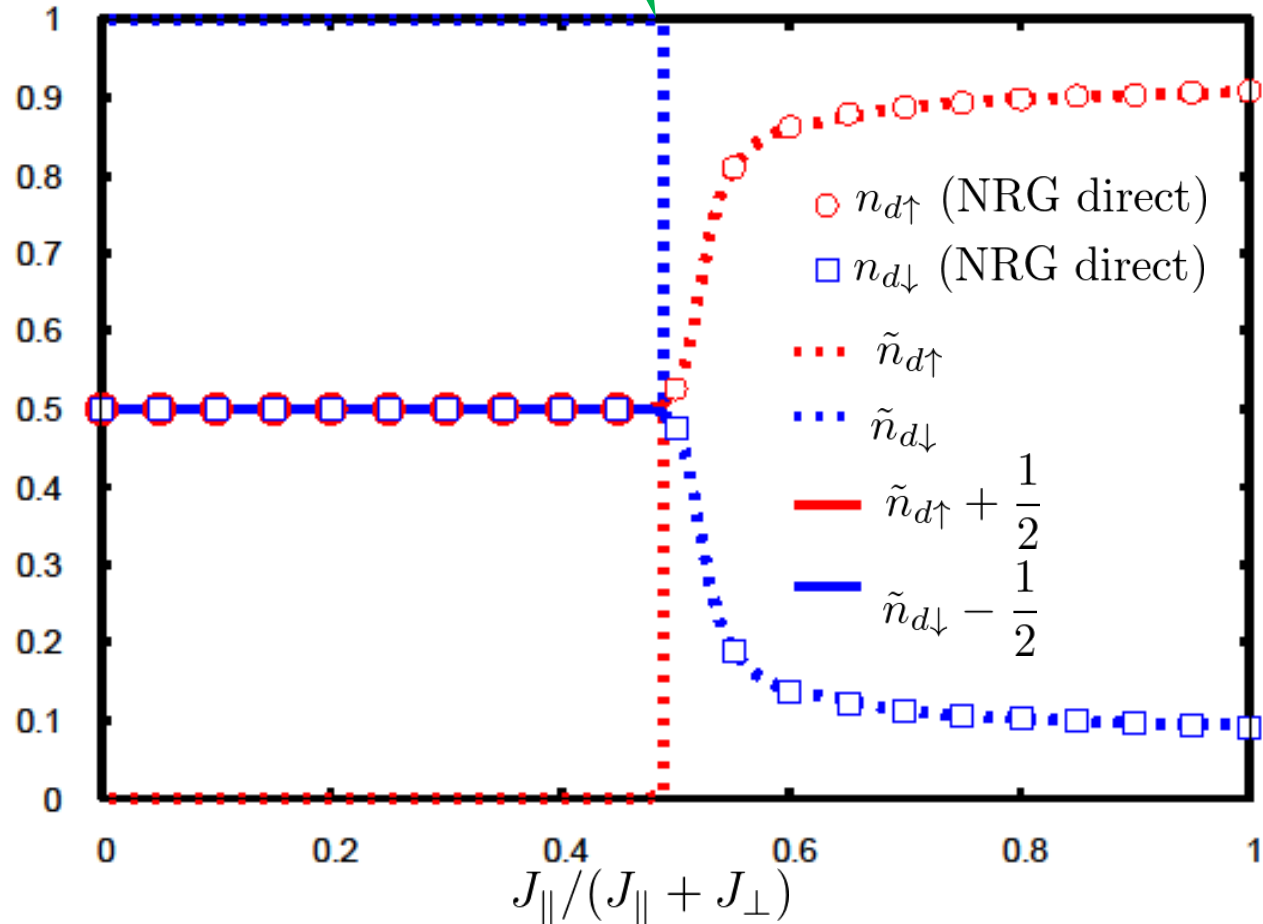
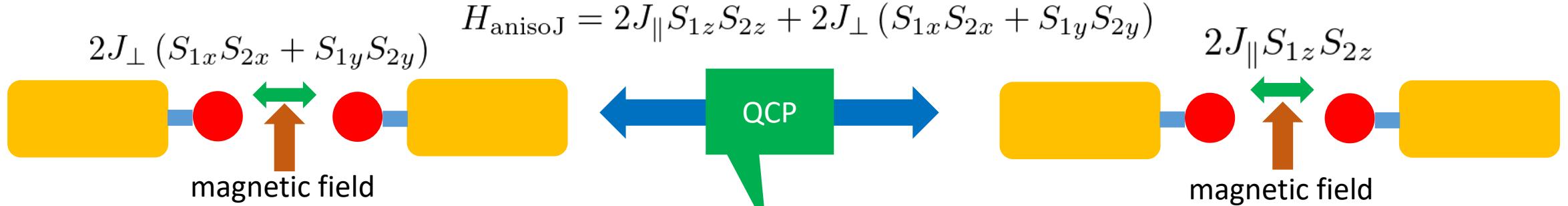
# Violation of Luttinger –Fridel sum rule 3



Curtin O. J., Nishikawa Y., Hewson A. C., Crow D. J. G.  
 JOURNAL OF PHYSICS COMMUNICATIONS 2 ( 031001 ) 2018

The local AF QCP (QCP1) is robust against parameter perturbations  
 ( magnetic field, electron-hole asymmetry, ( geometric asymmetry ), and some of them )

# Violation of Luttinger –Fridel sum rule 4



# Discussion

$$n_{d\alpha} = \frac{1}{2} - \frac{1}{\pi} \arctan \left( \frac{\tilde{\varepsilon}_{d\alpha}}{\tilde{\Delta}_\alpha} \right) + I_{L,\alpha} \quad (1) \quad \leftarrow 1. \text{ Long-lived quasiparticle excitation at the Fermi level}$$

$$I_{L,\alpha} = 0 \quad \leftarrow 2. \text{ Adiabatic continuous}$$

[ Luttinger integral ]

$$I_L \equiv -\frac{1}{\pi} \int_{-\infty}^0 d\omega \text{Im} \left\{ G_{d\alpha}(\omega_+) \frac{\partial \Sigma_{d\alpha}(\omega_+)}{\partial \omega} \right\} \quad (2)$$

Constant in a phase

Quantized

Robustness of the QCP

$$I_{L,\alpha} = \text{Const.}$$

$$I_{L,\alpha} = 0, \pm \frac{1}{2}$$

against some perturbations

Luttinger integral as a Topological invariant

- characterizing the two Fermi liquid phases
- induced by electron correlations

# Luttinger's theorem.... something topological...

For example,

[1] M.Oshikawa, PHYSICAL REVIEW LETTERS 84 (3370) 2000: <https://arxiv.org/abs/cond-mat/0002392>

Q1. “ a Fermi liquid which violates Luttinger's theorem can exist? ”

⇒

- (Local) Fermi liquid states violating Luttinger's theorem ( Luttinger-Friedel sum rule).
- Deviations from Luttinger-Friedel sum rule are quantized.

[2]

Curtin O. J., Nishikawa Y., Hewson A. C., Crow D. J. G. JOURNAL OF PHYSICS COMMUNICATIONS  
2 ( 031001 ) 2018: <https://arxiv.org/abs/1703.01807>

Nishikawa Y., Curtin O. J., Hewson A. C., Crow D. J. G.,PHYSICAL REVIEW B 98 ( 104419 ) 2018, : <https://arxiv.org/abs/1712.08771>

Other example :

[3]

G. G. Blesio, L. O. Manuel, P. Roura-Bas, A. A. Aligia, PHYSICAL REVIEW B 98 (195435) 2018 : <https://arxiv.org/abs/1805.11518>

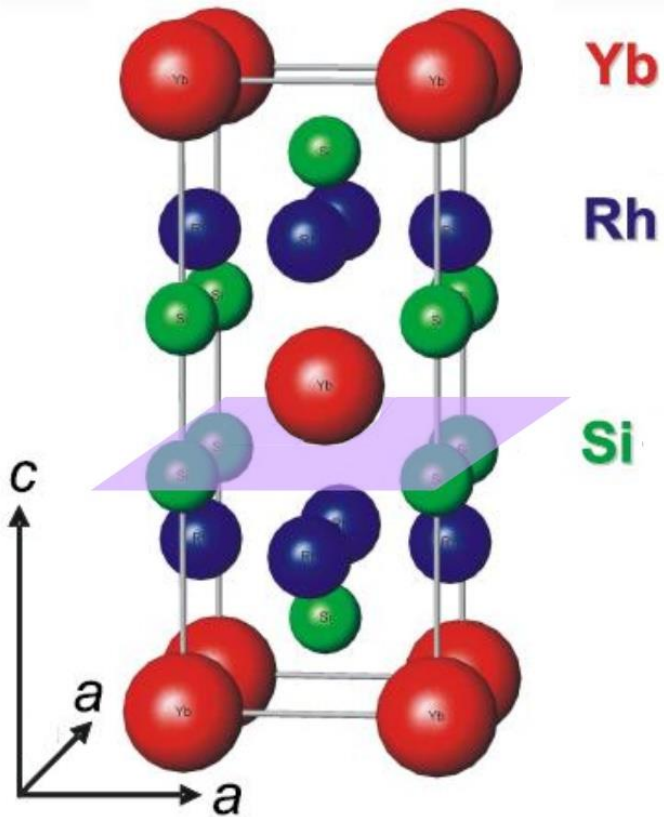
Q2. the topological understanding

⇒ ● Winding number

[4] K. Seki, S. Yunoki, PHYSICAL REVIEW B 96 (085124)2017 : <https://arxiv.org/abs/1708.00986>

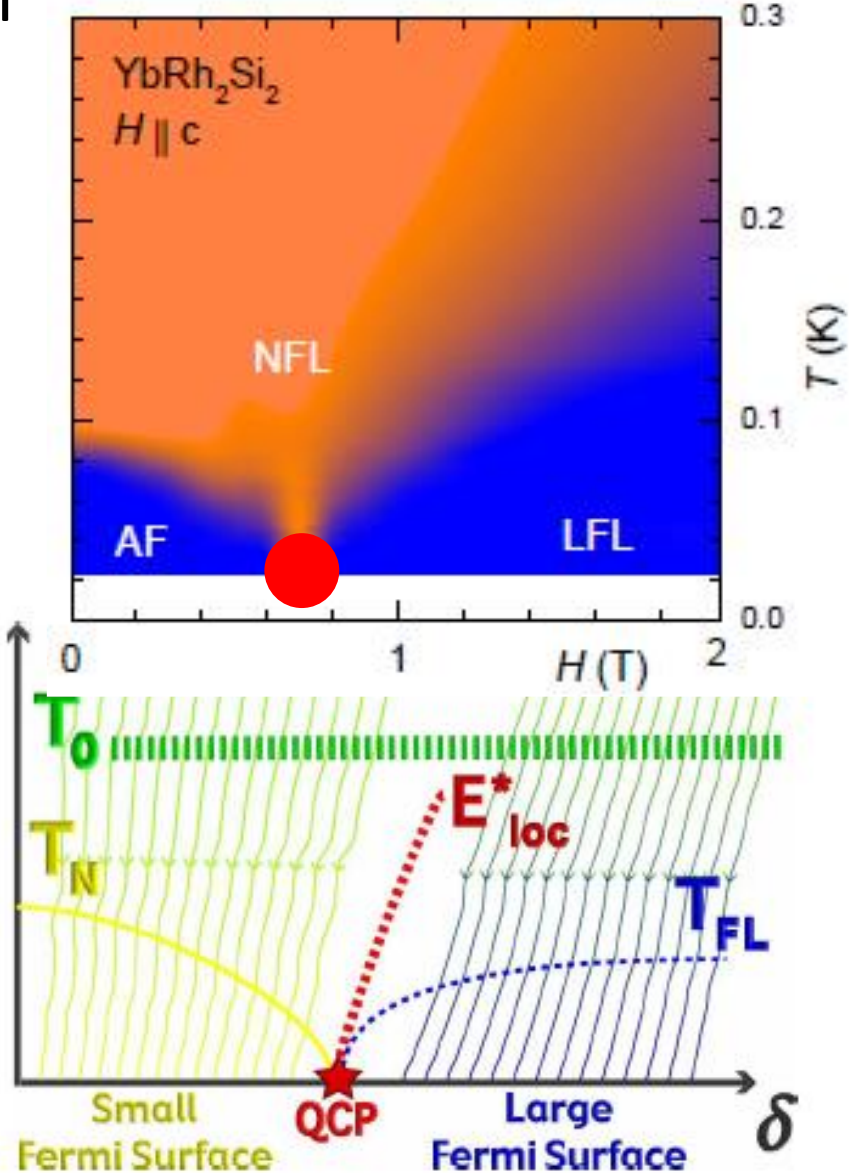
# Related experiment : heavy fermion system

Fig.2



<http://www2.cpfs.mpg.de/~wirth/rec/stm4.html>

Fig.1



Philipp Gegenwart, Qimiao Si, Frank Steglich, Nature Physics volume 4, 186–197 (2008)

# Summary and Conclusion

- Quantum criticality in two impurity Anderson model
- Method : RPT and NRG
- Violation of Luttinger-Friedel sum rule in some Fermi liquid states
- Luttinger integral as a topological invariant
  - Characterizing the two fermi liquid phases separated by the QCP
  - Induced by electron correlations
- Related experiment on a heavy fermion metal,  $\text{YbRh}_2\text{Si}_2$

Thank you for your attention.