

(3+1)-dimensional expanding universe from a Lorentzian matrix model for superstring theory in (9+1)-dimensions

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Ref.) Kim-J.N.-Tsuchiya: PRL 108 (2012) 011601
arXiv:1108.1540

1. Introduction

fundamental questions concerning our universe

1. Why (3+1)-dimensions ?
2. Why expanding ?

Answers from a nonperturbative formulation
of **superstring theory in (9+1)-dimensions**

type IIB matrix model

$$S = \frac{1}{g^2} \text{tr} \left\{ -\frac{1}{4} [A_\mu, A_\nu]^2 + \frac{1}{2} \psi_\alpha (\Gamma_\mu)_{\alpha\beta} [A_\mu, \psi_\beta] \right\}$$

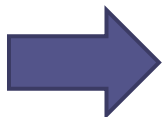
Ishibashi-Kawai-Kitazawa-Tsuchiya ('96)

nonperturbative formulation of type IIB superstring theory in 10d

SSB of SO(10) in the Euclidean model

J.N.-Okubo-Sugino, JHEP1110(2011)135, arXiv:1108.1293

- free energy of SO(d) symmetric vacua (d=2,3,4,5,6,7)
minimum at d=3
- extent of space-time finite in all directions



motivated us to consider the Lorentzian model

Seiberg's rapporteur talk (2005)
at the 23rd Solvay Conference in Physics

“Emergent Spacetime”

*Understanding **how time emerges** will undoubtedly shed new light on some of the most important questions in theoretical physics including **the origin of the Universe**.*

Indeed, the emergent spacetime seems to be naturally realized in the Lorentzian matrix model !

Plan of the talk

1. Introduction
2. Previous works on type IIB matrix model
3. Defining the Lorentzian matrix model
4. Monte Carlo results for the Lorentzian matrix model
5. Summary and discussions

2. Previous works on type IIB matrix model

type IIB matrix model Ishibashi-Kawai-Kitazawa-Tsuchiya ('96)

$$S = \frac{1}{g^2} \text{tr} \left\{ -\frac{1}{4} [A_\mu, A_\nu]^2 + \frac{1}{2} \psi_\alpha (\Gamma_\mu)_{\alpha\beta} [A_\mu, \psi_\beta] \right\}$$

proposed as a nonperturbative definition of type IIB superstring theory in 10 dim.

c.f.) Matrix Theory Banks-Fischler-Shenker-Susskind ('96)

- matrix regularization of the **Green-Schwarz worldsheet action** in the Schild gauge
- interactions between **D-branes**
- **string field theory** from SD eqs. for Wilson loops
Fukuma-Kawai-Kitazawa-Tsuchiya ('98)

The action of type IIB matrix model

$$S_b = -\frac{1}{4g^2} \text{tr}([A_\mu, A_\nu][A^\mu, A^\nu])$$

$$S_f = -\frac{1}{2g^2} \text{tr}(\Psi_\alpha (C \Gamma^\mu)_{\alpha\beta} [A_\mu, \Psi_\beta])$$

$N \times N$ Hermitian matrices SO(9,1) symmetry

A_μ ($\mu = 0, \dots, 9$) Lorentz vector

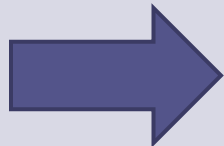
Ψ_α ($\alpha = 1, \dots, 16$) Majorana-Weyl spinor

→ raised and lowered by the metric

$$\eta = \text{diag}(-1, 1, \dots, 1)$$

Previous works

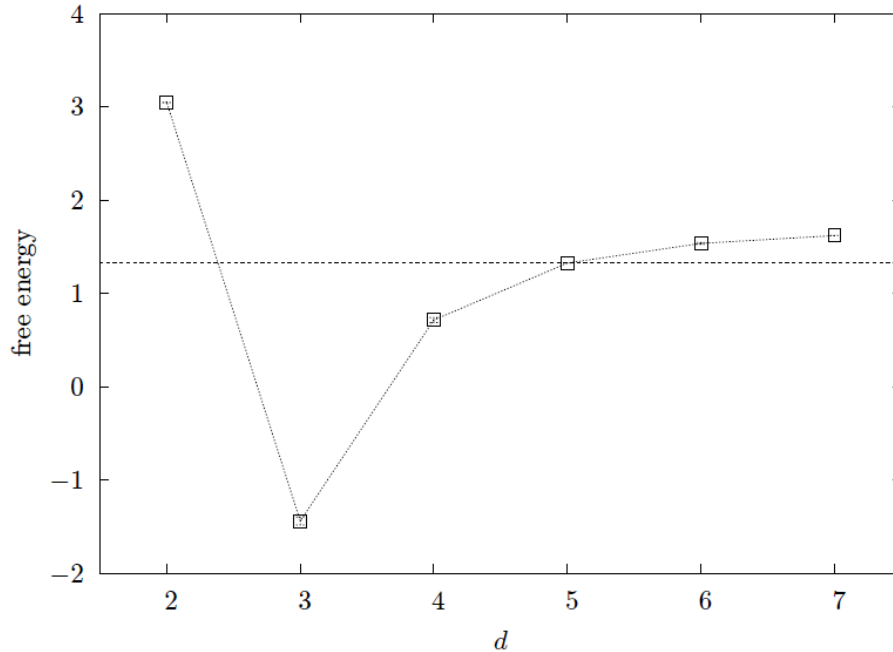
Wick rotation $(A_0 = iA_{10}, \quad \Gamma^0 = -i\Gamma_{10})$



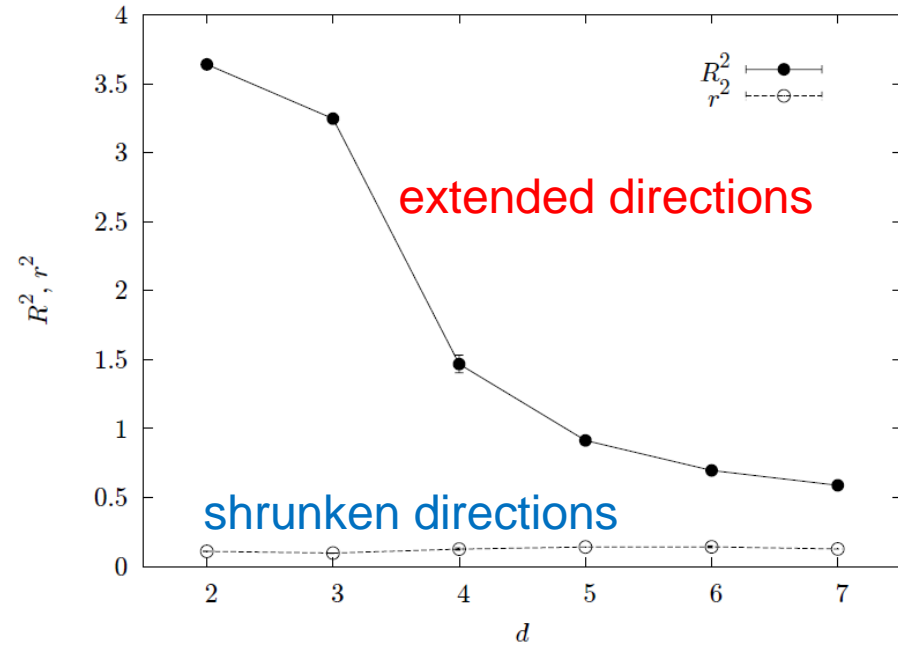
Euclidean model with SO(10) symmetry

Recent results by the Gaussian expansion method

J.N.-Okubo-Sugino, JHEP1110(2011)135, arXiv:1108.1293



Minimum of the free energy
occurs at $d=3$



Extent of space-time
finite in all directions

SSB of $SO(10)$ down to $SO(3)$:
interesting dynamical property of the Euclidean model,
but the connection to the real space-time is unclear...

3. Defining the Lorentzian matrix model

Difference between Euclidean and Lorentzian (I) bosonic action

- Euclidean model

$$S_b \propto \text{tr} (F_{\mu\nu})^2 \quad F_{\mu\nu} = -i[A_\mu, A_\nu]$$

positive definite

Classical flat direction is lifted up by quantum effects.

➡ The model is **well defined without any cutoff**.

Krauth-Nicolai-Staudacher ('98),
Austing-Wheater ('01)

- Lorentzian model

$$S_b \propto \text{tr} (F_{\mu\nu} F^{\mu\nu}) = -2 \underbrace{\text{tr} (F_{0i})^2}_{\text{opposite sign!}} + \text{tr} (F_{ij})^2$$

opposite sign !

Looks extremely **unstable** ! ➡

Hence, no one ever dared to study this model seriously!

Difference between Euclidean and Lorentzian

(II) Pfaffian (obtained by integrating out fermions)

$$\int d\Psi e^{iS_f} = \text{Pf}\mathcal{M}(A)$$

- Euclidean model $\text{Pf}\mathcal{M}(A) \in \mathbb{C}$

The phase plays a crucial role in **SSB of SO(10)**,
But it makes **Monte Carlo studies extremely difficult.**

J.N.-Vernizzi ('00), Anagnostopoulos-J.N.('02)

- Lorentzian model $\text{Pf}\mathcal{M}(A) \in \mathbb{R}$

Good news for Monte Carlo studies, but
we **lose a source of SSB.**

The definition of the partition function

- Euclidean model

$$Z = \int dA d\Psi e^{-S} = \int dA e^{-S_b} \text{Pf} \mathcal{M}(A)$$

- Lorentzian model

$$Z = \int dA d\Psi e^{iS} = \int dA e^{iS_b} \text{Pf} \mathcal{M}(A)$$

connection to the worldsheet theory

$$S = \int d^2\xi \sqrt{g} \left(\frac{1}{4} \{X^\mu, X^\nu\}^2 + \frac{1}{2} \bar{\Psi} \gamma^\mu \{X^\mu, \Psi\} \right)$$

$$\xi_0 \equiv -i\xi_2 \quad (\text{We need to Wick rotate the worldsheet coordinate, too.})$$

How to deal with the phase factor e^{iS_b} in

$$Z = \int dA d\Psi e^{iS} = \int dA e^{iS_b} \text{Pf} \mathcal{M}(A)$$

Under the scale transformation $A_\mu \mapsto \rho A_\mu$

$$S_b \mapsto \rho^4 S_b$$

$$dA \mapsto \rho^{10(N^2-1)} dA$$

$$\text{Pf} \mathcal{M}(A) \mapsto \rho^{8(N^2-1)} \text{Pf} \mathcal{M}(A)$$

Integrating over the scale factor first, we get $\delta(S_b)$

Regularizing the Lorentzian model

(1) IR cutoff in the temporal direction

$$\frac{1}{N} \text{tr} (A_0)^2 \leq \kappa \frac{1}{N} \text{tr} (A_i)^2 \quad (\text{invariant under } A_\mu \rightarrow \rho A_\mu)$$

(2) IR cutoff in the spatial direction

$$\frac{1}{N} \text{tr} (A_i)^2 \leq L^2 \quad (\text{SO}(9) \text{ symmetry is still manifest.})$$

Thus we arrive at

$$Z = \int dA \delta \left(\frac{1}{N} \text{tr} (F_{\mu\nu} F^{\mu\nu}) \right) \text{Pf} \mathcal{M}(A) \\ \times \delta \left(\frac{1}{N} \text{tr} (A_i)^2 - 1 \right) \theta \left(\kappa - \frac{1}{N} \text{tr} (A_0)^2 \right)$$

Monte Carlo simulation : Rational Hybrid Monte Carlo algorithm
no sign problem unlike in the Euclidean model

4. Monte Carlo results for the Lorentzian matrix model

The emergent time

Eigenvalue distribution of A_0

extends smoothly to infinity as $\kappa \rightarrow \infty$
thanks to SUSY !

c.f.) bosonic case

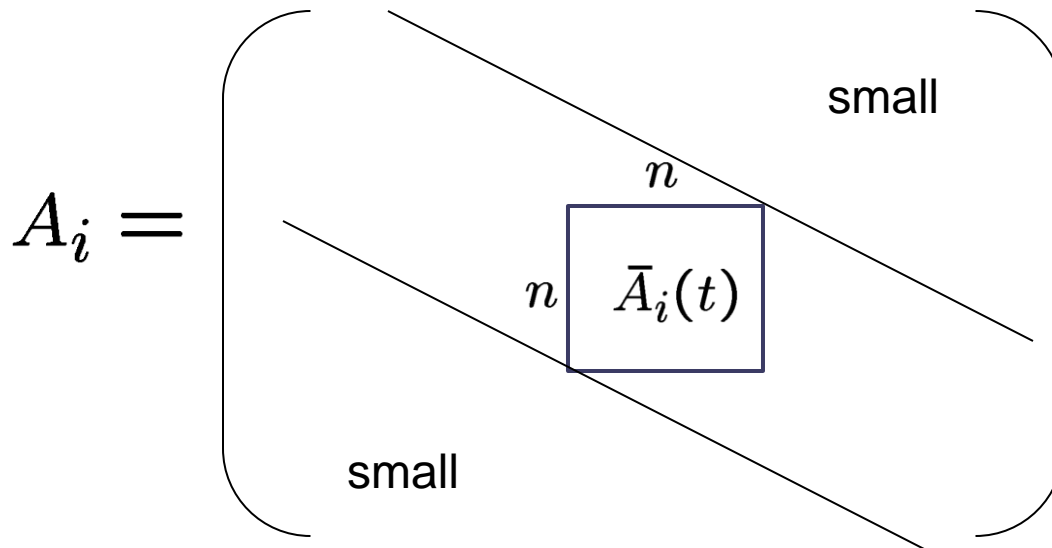
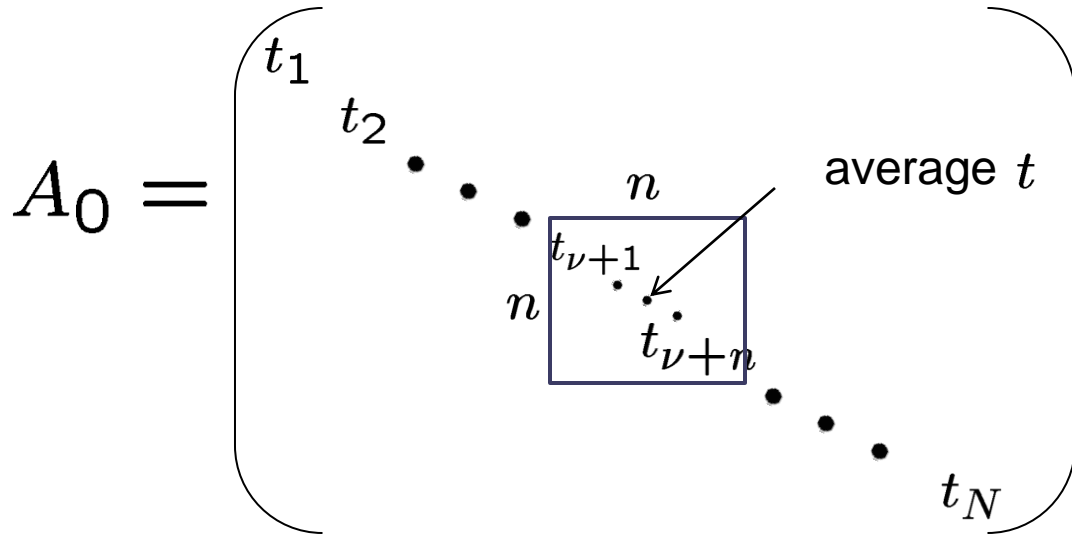
Attractive potential between the eigenvalues
induced by the one-loop effects

(The eigenvalue distribution of A_0
has finite extent even in the $\kappa \rightarrow \infty$ limit.)

SUSY plays a crucial role in the emergent time !

This does not guarantee that the notion of “time evolution” emerges, too...

Extracting the “time evolution”



We observe band-diagonal structure.
(space-time NC is small.)

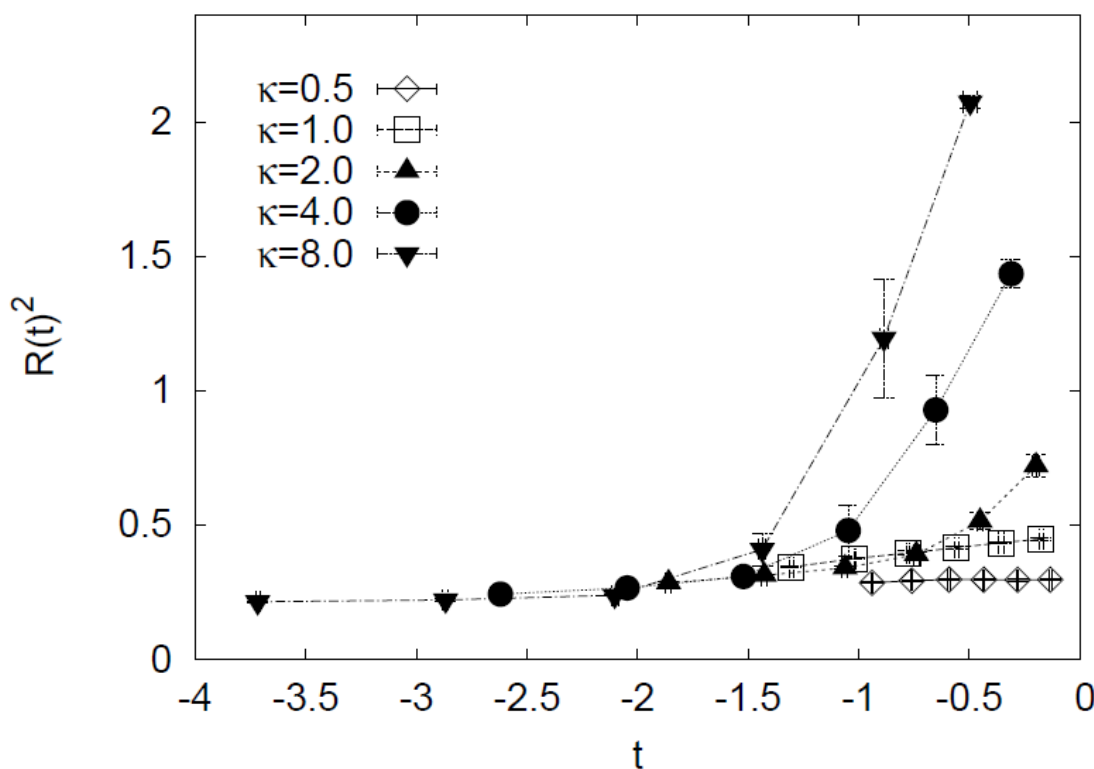
$\bar{A}_i(t)$ represents space structure at fixed time t

The size of the space v.s. time

$$R(t)^2 \equiv \frac{1}{n} \text{tr} \bar{A}_i(t)^2 \quad \text{peak at } t = 0 \text{ starts to grow}$$

for $\kappa > \kappa_{\text{cr}}$

$$N = 16, \quad n = 4$$

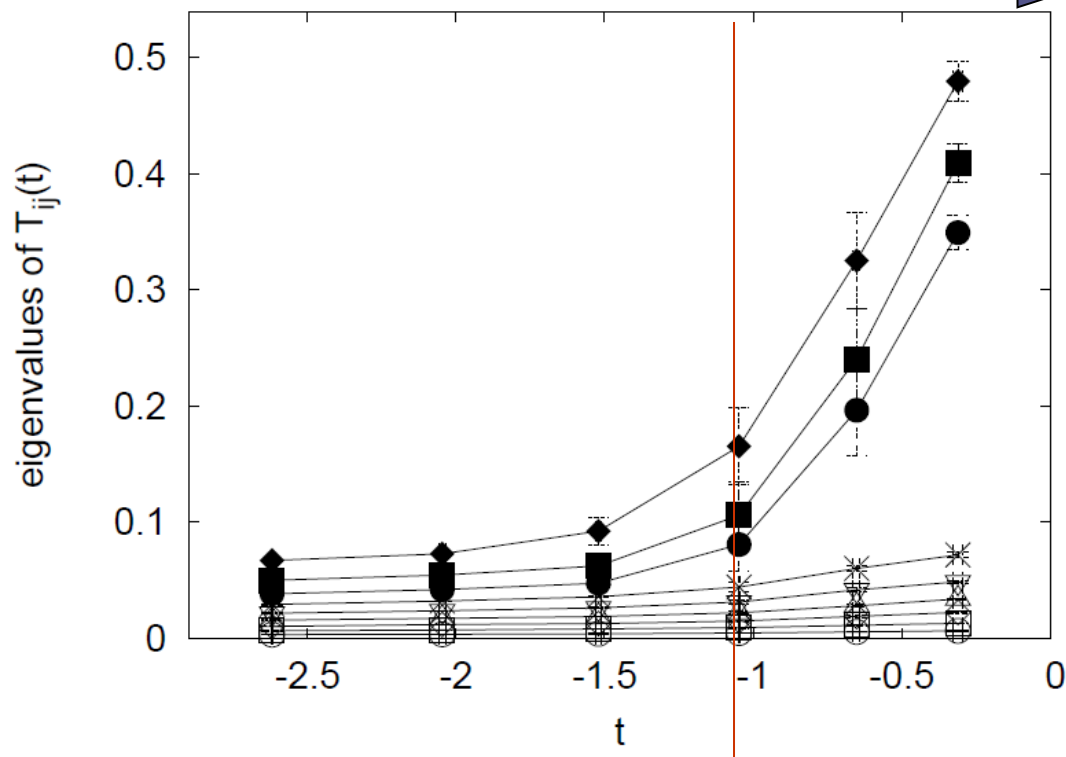


Symmetric under $t \mapsto -t$
We only show $t < 0$

Spontaneous breaking of SO(9)

$$T_{ij}(t) = \frac{1}{n} \text{tr} \{ \bar{A}_i(t) \bar{A}_j(t) \}$$

SO(9) $\xrightarrow{\text{SSB}}$ SO(3)



$N = 16$, $\kappa = 4.0$

“critical time”

Removing the two cutoffs κ and L
in the $N \rightarrow \infty$ limit

1) $\kappa \rightarrow \infty$ with $N \rightarrow \infty$ (continuum limit)

$$\kappa = \beta N^{1/4}$$

2) $L \rightarrow \infty$ with $\beta \rightarrow \infty$ (infinite volume limit)

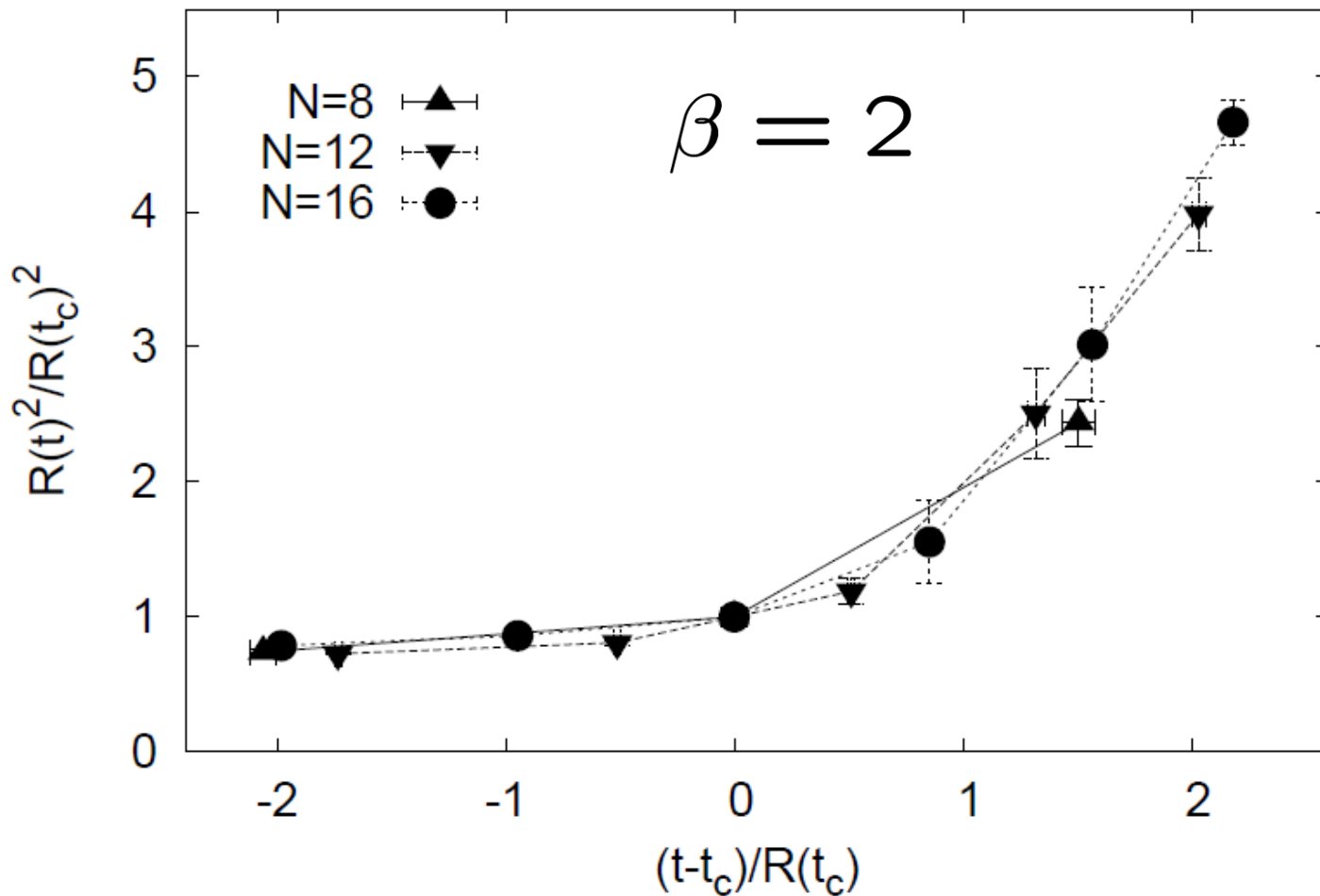
fix the scale by $R(t_c)$

The theory thus obtained has
no parameters other than one scale parameter !

[A property that nonperturbative superstring theory
is expected to have !]

Large-N scaling

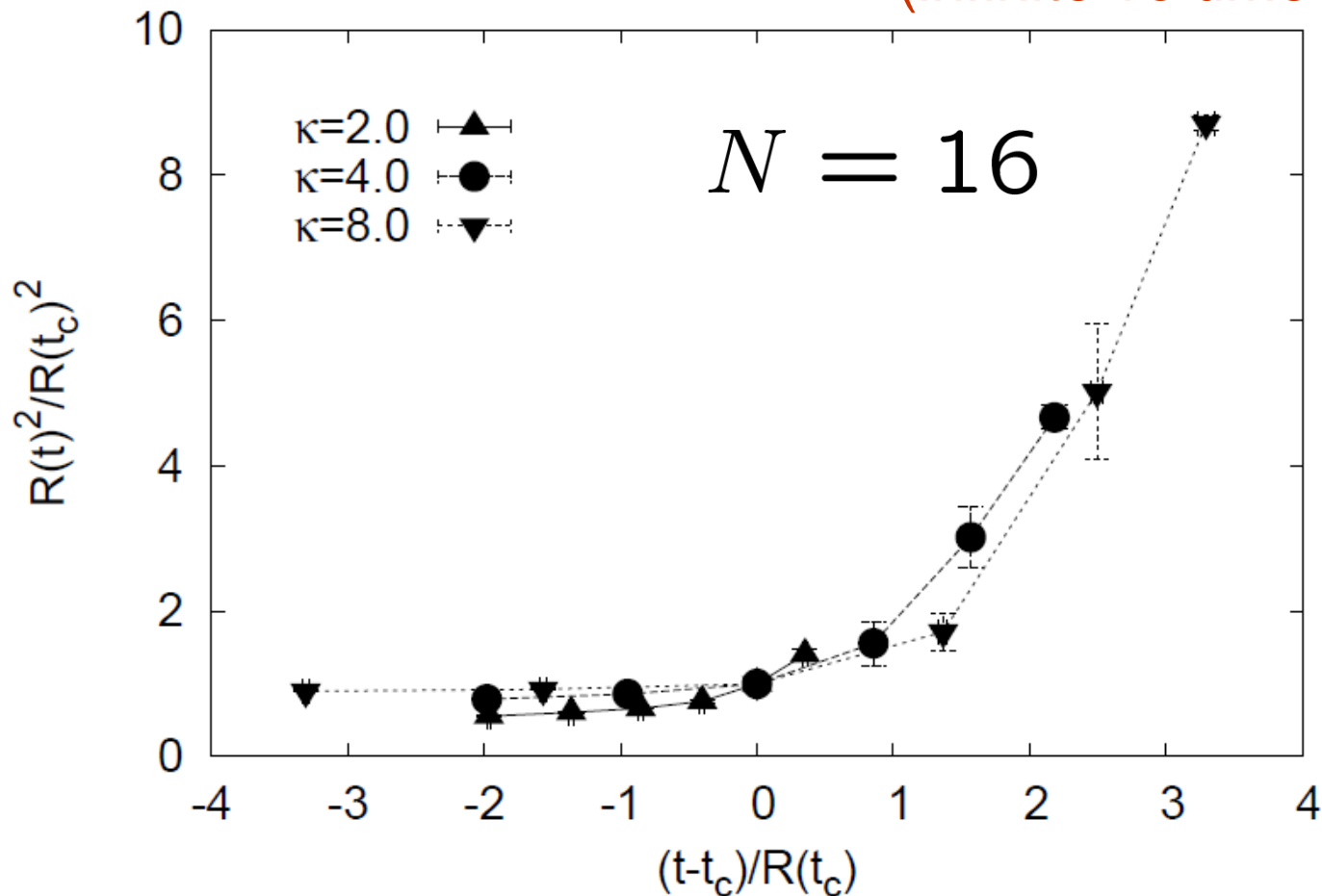
Clear large-N scaling behavior observed with $\kappa = \beta N^{1/4}$
(continuum limit)



Infinite volume limit

The extent of time increases and the size of the universe becomes very large at later time.

(infinite volume limit)



7. Summary and discussions

type IIB matrix model :

nonperturbative formulation of
type IIB superstring theory in (9+1)-dim.

Instead of making Wick rotation, we defined
the Lorentzian matrix model introducing IR cutoffs .

Monte Carlo studies revealed:

Kim-J.N.-Tsuchiya ('11)

- IR cutoffs can be **removed in the large-N limit.**
- The theory thus obtained has **no dim.less parameter.**
supporting the validity as a **nonperturbative formulation**
of superstring theory
- **“Time” emerges dynamically** thanks to **SUSY.**
- **“Time evolution”** of 9d space **emerges.**
- **3 out of 9** spatial directions start to **expand**
at some **“critical time”**

$$SO(9) \rightarrow SO(3)$$

Future directions

- Does a **local** field theory on a **commutative** space-time appear at later time ?
- How do **4 fundamental interactions** and the **matter fields** appear at later time ?



Monte Carlo simulation AND
Studies of classical solutions (+ quantum corrections)

See S.-W.Kim's poster

We hope the Lorentzian matrix model
provides a new perspective on
[particle physics beyond the standard model
microscopic descriptions of inflation, dark energy, etc..