# BPS States in $\mathcal{N}=4$ Supersymmetric Gauge Theories 

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## Goal:

Study the spectrum of BPS states in $\mathcal{N}=4$ supersymmetric SU(N) Yang-Mills theories on the Coulomb branch where the gauge group is broken to $\mathbf{U}(1)^{\mathrm{n}-1}$.

1. Introduce appropriate indices which are protected from changes under deformations of the moduli and coupling constants except at the walls of marginal stability.
2. Compute these indices.

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Helicity trace index / helicity supertrace
Suppose we have a BPS state that breaks 4n supersymmetries.
$\rightarrow$ there will be 4 n fermion zero modes (goldstino) on the world-line of the state.

Consider a pair of fermion zero modes $\psi_{0}, \psi_{0}^{\dagger}$ carrying $\mathrm{J}_{3}= \pm \mathbf{1 / 2}$ and satisfying

$$
\left\{\psi_{\mathbf{0}}, \psi_{\mathbf{0}}^{\dagger}\right\}=\mathbf{1}
$$

If $|0\rangle$ is the state annihilated by $\psi_{0}$ then

$$
|\mathbf{0}\rangle, \quad \psi_{\mathbf{0}}^{\dagger}|\mathbf{0}\rangle
$$

give a degenerate pair of states with $\mathrm{J}_{3}= \pm 1 / 4$.

$$
\begin{aligned}
& \Rightarrow \quad(-1)^{\mathrm{F}}=(-1)^{2 \mathrm{~J}_{3}}=(-1)^{ \pm 1 / 2}= \pm \mathbf{i} \\
& \Rightarrow \quad \operatorname{Tr}(-1)^{\mathrm{F}}=0, \quad \operatorname{Tr}(-1)^{\mathrm{F}}\left(2 \mathrm{~J}_{3}\right)=\mathrm{i}
\end{aligned}
$$

## Lesson: Quantization of the fermion zero modes

 produces Bose-Fermi degenerate states and makes $\operatorname{Tr}(-1)^{\mathrm{F}}$ vanish.Remedy: Define

$$
\mathrm{B}_{2 \mathrm{n}}=\frac{(-1)^{\mathrm{n}}}{(2 \mathrm{n})!} \operatorname{Tr}(-1)^{\mathrm{F}}\left(2 \mathrm{~J}_{3}\right)^{2 \mathrm{n}}=\frac{(-1)^{\mathrm{n}}}{(2 \mathrm{n})!} \operatorname{Tr}(-1)^{2 \mathrm{~J}_{3}}\left(2 \mathrm{~J}_{3}\right)^{2 \mathrm{n}}
$$

Since there are $2 n$ pairs of zero modes,

$$
\begin{aligned}
& \mathrm{B}_{2 \mathrm{n}}=\frac{(-1)^{\mathrm{n}}}{(2 n)!} \operatorname{Tr}_{\text {rest }} \operatorname{Tr}_{\text {zero }}(-1)^{2 \mathrm{~J}_{3}^{(1)}+\cdots 2 \mathrm{~J}_{3}^{(2 n)}+2 \mathrm{~J}_{3}^{\text {rest }}} \\
& \times\left(2 \mathrm{~J}_{3}^{(1)}+\cdots \mathbf{2} \mathrm{J}_{3}^{(2 \mathrm{n})}+\mathbf{2} \mathrm{J}_{3}^{\text {rest }}\right)^{2 \mathrm{n}} \\
& =(-1)^{\mathrm{n}} \mathrm{Tr}_{\text {rest }} \operatorname{Tr}_{\text {zero }}(-1)^{2 \mathrm{~J}_{3}^{(1)}+\cdots 2 \mathrm{~J}_{3}^{(2 n)}+2 \mathrm{~J}_{3}^{\text {rest }}} \times 2 \mathrm{~J}_{3}^{(1)} \times \cdots 2 \mathrm{~J}_{3}^{(2 \mathrm{n})} \\
& =(-1)^{\mathrm{n}}(\mathrm{i})^{2 \mathrm{n}} \times \mathrm{Tr}_{\text {rest }}(-1)^{2 \mathrm{~J}_{3}^{\text {rest }}}
\end{aligned}
$$

$$
\mathrm{B}_{2 \mathrm{n}}=\operatorname{Tr}_{\text {rest }}(-1)^{2 \mathrm{~J}_{3}^{\text {rest }}}
$$

Thus $\mathrm{B}_{2 \mathrm{n}}$ effectively counts $\operatorname{Tr}_{\text {rest }}(-1)^{\mathrm{F}}$, with the trace taken over modes other than the 4 n fermion zero modes associated with broken supersymmetry.

Note: $B_{2 n}$ does not receive any contribution from non-BPS states which break more than $4 n$ supersymmetries and hence have more than $4 n$ fermion zero modes.

Due to this property $B_{2 n}$ is protected from quantum corrections.

## Examples

$\mathcal{N}=4$ SYM in $\mathrm{D}=4$ has 16 supersymmetries.
1/2 BPS states break 8 supersymmetries.
$\Rightarrow$ the relevant index is $\mathbf{B}_{\mathbf{4}}$.
1/4 BPS states break 12 supersymmetries.
Thus the relevant index is $\mathrm{B}_{6}$.

## Twisted index

Suppose the theory has a global symmetry g that commutes with some of the unbroken supersymmetries of the BPS state.

Suppose further that there are 4 n broken g -invariant supersymmetries.

In that case

$$
\mathrm{B}_{2 \mathrm{n}}^{\mathrm{g}}=\frac{(-1)^{\mathrm{n}}}{(2 \mathrm{n})!} \operatorname{Tr}\left[(-1)^{2 \mathrm{~J}_{3}}\left(2 \mathrm{~J}_{3}\right)^{2 \mathrm{n}} \mathbf{g}\right]
$$

is a protected index that carries information about $\mathbf{g}$ quantum number.

## We shall mostly focus on SU(N) SYM theories

- can be geometrically realized on the world-volume of N D3-branes.

Leaving out the $3+1$ world-volume directions each D3-brane can be located at any point in the 6 transverse directions.
$\Rightarrow \mathbf{6 N}$ dimensional moduli space $\Leftrightarrow$ adjoint scalar vev
Of these 6 are associated with the center of mass $U(1)$ SYM and are irrelevant for our problem.

Complex coupling constant:

$$
\tau=\frac{\theta}{\mathbf{2} \pi}+\mathbf{i} \frac{\mathbf{4} \pi}{\mathbf{g}_{\mathbf{Y M}}^{2}}
$$

## In this description, BPS states are conveniently

 represented as string networks ending on D3-branes.
$(1,0):$ D-string, $\quad(0,1)$ : fundamental string
If $\operatorname{gcd}(p, q)=r$ then $(p, q) \equiv r$ copies of $(p / r, q / r)$.


- carries charges $\mathbf{Q}=\left(\mathbf{q}_{1}, \cdots \mathbf{q}_{5}\right), \mathbf{P}=\left(\mathbf{p}_{1}, \cdots \mathbf{p}_{5}\right)$.

Note: $\sum_{i} \mathbf{q}_{\mathbf{i}}=\mathbf{0}=\sum_{i} \mathbf{p}_{\mathbf{i}} \Rightarrow$ no $\mathbf{U}(\mathbf{1})$ charge

## Rules for string network

## 1. The network must be planar.

2. $\mathbf{A}(\mathbf{p}, \mathbf{q})$ string should lie along $\mathrm{e}^{\mathrm{i} \alpha}(\mathbf{p} \bar{\tau}+\mathbf{q})$ (class $\left.\mathbf{A}\right)$ or $\mathbf{e}^{\mathrm{i} \alpha}(\mathbf{p} \tau+\mathbf{q})$ (class B).
$\alpha$ : a constant for a given network.
Schwarz; Aharony, Hanany, Kol; Dasgupta, Mukhi; A.S.

Half BPS states correspond to single ( $\mathbf{p}, \mathbf{q}$ ) strings stretched between two D3-branes.

$$
1 \underbrace{(p, q)}_{2}
$$

$5 \quad 3$
4
$\mathbf{Q}=(\mathbf{q},-\mathbf{q}, \mathbf{0}, \mathbf{0}, \mathbf{0}), \quad \mathbf{P}=(\mathbf{p},-\mathbf{p}, \mathbf{0}, \mathbf{0}, \mathbf{0})$
Note: $\mathbf{Q}$ and $\mathbf{P}$ are always parallel.
For these states $\mathrm{B}_{4}=1$ if $\operatorname{gcd}(\mathrm{q}, \mathrm{p})=1$ and 0 otherwise.

- follows from S-duality invariance and known spectrum of $(0, q)$ states.


## Quarter BPS states

The relevant index is $\mathrm{B}_{6}$.
A configuration with strings ending on four or more D-branes is non-planar at a generic point in the moduli space and hence non-supersymmetric.


4
$\Rightarrow$ has $\mathbf{B}_{6}=\mathbf{0}$.

Thus $B_{6}$ receives contribution only from 3-string junctions.


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We would like to compute $B_{6}$ for an arbitrary 3 -string junction.

Although the string junction picture is useful in determining the region of existence of $1 / 4$ BPS states, it is not very useful in finding the index $\mathrm{B}_{6}$.

This can be done in special cases by representing them as bound states of multiple monopoles in gauge theories and solving the associated supersymmetric quantum mechanics problem. Bak, Lee, $\mathrm{Y}_{i}$ Gauntett, Kim, Park, $\mathrm{Y}_{i}$ Stern, $\mathrm{Y}_{\mathrm{i}}$


For this configuration $\mathbf{B}_{6}=(-1)^{\mathbf{s}+1} \mathbf{s}$

A three string junction exists in a certain region of the moduli space bounded by walls of marginal stability.


Along each wall the 3 -string junction becomes marginally unstable against decay into a pair of half BPS states.

Beyond these walls the state stops existing.
$\Rightarrow \quad B_{6}=\Delta B_{6}$ across the wall

## Relevant decays are to a pair of half BPS states.

A.S.; Mukherjee, Mukhi, Nigam; Dabholkar, Guica, Murthy, Nampuri

Across a wall along which ( $\mathrm{Q}, \mathrm{P}$ ) decays into a pair of half BPS states with primitive charges ( $\mathbf{Q}_{1}, \mathbf{P}_{1}$ ) and $\left(\mathbf{Q}_{2}, \mathbf{P}_{2}\right)$ the jump in the index $\mathrm{B}_{6}$ is

$$
(-\mathbf{1})^{\mathbf{Q}_{1} \cdot \mathbf{P}_{2}-\mathbf{P}_{1} \cdot \mathbf{Q}_{2}+1}\left|\mathbf{Q}_{1} \cdot \mathbf{P}_{2}-\mathbf{Q}_{2} \cdot \mathbf{P}_{1}\right| \mathbf{B}_{4}\left(\mathbf{Q}_{1}, \mathbf{P}_{1}\right) \mathbf{B}_{4}\left(\mathbf{Q}_{2}, \mathbf{P}_{2}\right)
$$



Consider the wall along which the ( $0, \mathrm{~s}$ ) string shrinks to zero-size.

- decays to $(1, k)$ string connecting a pair of

D3-branes and a ( $-1,-k-s$ ) string connecting a pair of D3-branes, each with $B_{4}=1$.

The jump in $B_{6}$ across this wall is given by $(-1)^{s+1} s$ in agreement with direct index computation.

Can we calculate the $B_{6}$ of a general 3-string junction?


In general the decays are not primitive, e.g. for

none of the decays are primitive.

## We need to use general wall crossing formula for

 non-primitive decays in $\mathcal{N}=4$ supersymmetric string / field theories.
## For the decay

$$
\begin{gathered}
(\mathbf{Q}, \mathbf{P}) \rightarrow\left(\mathbf{Q}_{1}, \mathbf{P}_{1}\right)+\left(\mathbf{Q}_{2}, \mathbf{P}_{2}\right) \\
\Delta \mathbf{B}_{6}=\left(-\mathbf{\mathbf { Q } _ { 1 }} \cdot \mathbf{P}_{2}-\mathbf{Q}_{2} \cdot \mathbf{P}_{1}+\mathbf{1}\left|\mathbf{Q}_{1} \cdot \mathbf{P}_{2}-\mathbf{Q}_{2} \cdot \mathbf{P}_{1}\right|\right. \\
\times \sum_{\mathbf{r}_{1} \mid \mathbf{Q}_{1}, \mathbf{P}_{1}} \mathbf{B}_{4}\left(\mathbf{Q}_{1} / \mathbf{r}_{1}, \mathbf{P}_{1} / \mathbf{r}_{1}\right) \sum_{\mathbf{r}_{2} \mid \mathbf{Q}_{2}, \mathbf{P}_{2}} \mathbf{B}_{4}\left(\mathbf{Q}_{\mathbf{2}} / \mathbf{r}_{\mathbf{r}}, \mathbf{P}_{2} / \mathbf{r}_{2}\right)
\end{gathered}
$$

For SYM theories,

$$
\sum_{r \mid Q, P} \mathbf{B}_{4}(\mathbf{Q} / \mathbf{r}, \mathbf{P} / \mathbf{r})=\mathbf{1}
$$

$\Rightarrow$ simple formula for $\mathbf{B}_{6}$.

For


$$
\mathbf{B}_{6}=(-1)^{p_{1} q_{2}-p_{2} q_{1}+1}\left|p_{1} q_{2}-\mathbf{p}_{2} \mathbf{q}_{1}\right|
$$

- symmetric in the three strings.

This solves completely the problem of computing $B_{6}$ for $\mathcal{N}=4$ supersymmetric $\operatorname{SU}(\mathrm{N})$ theories.

For other gauge groups we can compute $B_{6}$ by identifying various $\mathrm{SU}(3)$ subgroups and computing $B_{6}$ for different chage vectors in each SU(3).

We shall now return to more general planar string network (possibly with internal loops).


As argued before, $\mathrm{B}_{6}$ and any other index that is defined everywhere in the moduli space vanish for this configuration.

## Strategy

Introduce an index that can be defined only in special subspace of the moduli space where all the D3-branes lie in a plane.
$\Leftrightarrow$ only two of the six adjoint Higgs fields take vev.
Make use of the $\mathbf{S O}(4) \equiv \mathbf{S U}(2) \mathrm{L} \times \mathbf{S U}(2)_{\mathrm{R}}$ rotational symmetry in the four directions transverse to the plane of the 3-branes to introduce a twisted index.
$\mathbf{S U}(2)_{\mathrm{L}} \times \mathbf{S U}(2)_{\mathrm{R}} \times \mathbf{S U}(2)_{\text {rotation }}$ transformation laws of various supersymmetries:

| State | unbroken susy | broken susy |
| :--- | :--- | :--- |
| Half BPS | $(1,2,2)+(2,1,2)$ | $(1,2,2)+(2,1,2)$ |
| Class A quarter BPS | $(1,2,2)$ | $(1,2,2)+2(2,1,2)$ |
| Class B quarter BPS | $(2,1,2)$ | $2(1,2,2)+(2,1,2)$ |

Class A states and half BPS states have four broken and four unbroken SU(2)L invariant SUSY

Of these two of each are invariant under $\mathrm{I}_{3 \mathrm{R}}+\mathrm{J}_{3}$
$\left(I_{3 L}, I_{3 R}, J_{3}\right)$ : Cartan gen. of $\mathrm{SU}(2)_{\mathrm{L}} \times S U(2)_{\mathrm{R}} \times \mathrm{SU}(2)_{\text {rotation }}$

Following earlier logic we can now introduce protected index

$$
\begin{gathered}
\mathbf{B}_{\mathbf{2}}(\mathbf{z})=-\frac{\mathbf{1}}{\mathbf{2 !}} \operatorname{Tr}\left\{(-\mathbf{1})^{\left.2 \mathrm{~J}_{3} \mathbf{z}^{2 \mathrm{I}_{3 \mathrm{~L}}}\left(\mathbf{2} \mathrm{~J}_{3}\right)^{2}\right\}}\right. \\
\mathbf{B}_{1}(\mathbf{y}, \mathbf{z})=-\frac{\mathbf{1}}{\mathbf{y}-\mathbf{y}^{-1}} \operatorname{Tr}\left\{\mathbf{e}^{2 \mathrm{i} \pi \mathrm{~J}_{3}} \mathbf{z}^{2 \mathrm{I}_{3 \mathrm{~L}}} \mathbf{y}^{21_{3 \mathrm{~B}}+2 \mathrm{~J}_{3}}\left(\mathbf{2 J _ { 3 }}\right)\right\}
\end{gathered}
$$

Similar index for $\mathbf{N}=\mathbf{2}$ SUSY theories are known.

Gaiotto, Moore, Neitzke

One can show that for class A states

$$
\begin{gathered}
\mathbf{B}_{2}(\mathbf{z})=\lim _{y \rightarrow 1} \mathrm{~B}_{1}(\mathbf{y}, \mathbf{z}) \\
\mathbf{B}_{6}=\lim _{\mathbf{z} \rightarrow \mathbf{1}}\left(\mathbf{z}+\mathbf{z}^{-1}-\mathbf{2}\right)^{-\mathbf{2}} \mathbf{B}_{\mathbf{2}}(\mathbf{z})
\end{gathered}
$$

Thus $B_{1}(\mathbf{y}, \mathbf{z})$ is the most general index.

Can we compute $B_{1}(y, z)$ for a general string network?

1. For half BPS states we have all the information and $B_{1}(y, z)$ is straightforward to compute.

$$
\mathbf{B}_{1}(\mathbf{y}, \mathbf{z})=\left(\mathbf{z}+\mathbf{z}^{-1}-\mathbf{y}-\mathbf{y}^{-1}\right)\left(\mathbf{y}-\mathbf{y}^{-1}\right)
$$

for each primitive half BPS state.
2. For collinear configuration of D3-branes only half BPS states contribute to $B_{1}(\mathbf{y}, \mathbf{z})$.

Strategy: Start from this collinear configuration and apply wall crossing formula to find the result elsewhere in the moduli space.

Caution: The structure of marginal stability walls is more complicated than before.

# $B_{1}(\mathbf{y}, \mathbf{z})$ follows a wall crossing formula similar to the motivic KS formula. 

There are many physical 'derivations' of this formula by now.

Cecotti, Vafa; Gaiotto, Moore, Neitzke; Dimofte, Gukov; Andriyash, Denef, Jafferis, Moore; Lee, Yi; Kim, Park, Wang, Yi; Manschot, Pioline, A.S . .

We can derive the wall crossing formula for $\mathrm{B}_{1}(\mathbf{y}, \mathbf{z})$ using any of these approaches.

1. Given $\alpha=(\mathbf{Q}, \mathbf{P})$ and $\alpha^{\prime}=\left(\mathbf{Q}^{\prime}, \mathbf{P}^{\prime}\right)$, define

$$
\left\langle\alpha, \alpha^{\prime}\right\rangle=\mathbf{Q} \cdot \mathbf{P}^{\prime}-\mathbf{Q}^{\prime} \cdot \mathbf{P}
$$

2. Define

$$
\overline{\mathbf{B}}_{\mathbf{1}}(\alpha ; \mathbf{y}, \mathbf{z}) \equiv \sum_{\mathbf{m} / \alpha} \mathbf{m}^{-\mathbf{1}} \frac{\mathbf{y}-\mathbf{y}^{-\mathbf{1}}}{\mathbf{y}^{\mathbf{m}}-\mathbf{y}^{-\mathbf{m}}} \mathbf{B}_{1}\left(\alpha / \mathbf{m} ; \mathbf{y}^{\mathbf{m}}, \mathbf{z}^{\mathbf{m}}\right)
$$

3. Introduce the algebra:

$$
\left[\mathbf{e}_{\alpha}, \mathbf{e}_{\alpha^{\prime}}\right]=\frac{(-\mathbf{y})^{\left\langle\alpha, \alpha^{\prime}\right\rangle}-(-\mathbf{y})^{-\left\langle\alpha, \alpha^{\prime}\right\rangle}}{\mathbf{y}-\mathbf{y}^{-1}} \mathbf{e}_{\alpha+\alpha^{\prime}}
$$

Then wall crossing formula tells us that:

$$
\mathbf{P}\left(\prod_{\alpha} \exp \left[\overline{\mathbf{B}}_{1}(\alpha ; \mathbf{y}, \mathbf{z}) \mathbf{e}_{\alpha}\right]\right)
$$

is unchanged across a wall.
P: ordering according to Arg(central charge).

Using the wall crossing formula and the result for half BPS states we can compute $B_{1}(y, z)$ for any class $A$ quarter BPS states in any chamber in the moduli space.

The corresponding index for class B states can be defined and computed in a similar way by exchanging

$$
\mathbf{S U}(2)_{\mathrm{L}} \Leftrightarrow \mathbf{S U}(2)_{\mathbf{R}}
$$

## Example 1: Consider the network



We can shrink the $\left(0, s_{i}\right)$ strings one by one and apply the primitive wall crossing formula.

Final result for $\mathbf{B}_{1}(\mathbf{y}, \mathbf{z})$ :

$$
(-1)^{\sum\left|s_{i}\right|+n}\left\{z+z^{-1}-\mathbf{y}-\mathbf{y}^{-1}\right\}^{\mathbf{n + 1}} \prod_{i} \frac{\mathbf{y}^{\left|s_{i}\right|}-\mathbf{y}^{-\left|s_{i}\right|}}{\mathbf{y}-\mathbf{y}^{-1}}
$$

We get the same result by shrinking the ( $-1, k$ ) string and applying semi-primitive wall crossing formula.

$$
\mathbf{B}_{1}(\mathbf{y}, \mathbf{z})=(-\mathbf{1})^{\sum\left|s_{i}\right|+\mathbf{n}}\left\{\mathbf{z}+\mathbf{z}^{-1}-\mathbf{y}-\mathbf{y}^{-\mathbf{1}}\right\}^{\mathbf{n}+\mathbf{1}} \prod_{\mathbf{i}} \frac{\mathbf{y}^{\left|\mathbf{s}_{\mathrm{i}}\right|}-\mathbf{y}^{-\left|\mathbf{s}_{i}\right|}}{\mathbf{y}-\mathbf{y}^{-1}}
$$

Consistency check:

1. For $y=1, z=-1$ we get $-4 \prod_{i}(-1)^{s_{i}}\left(4 s_{i}\right)$

- agrees with an appropriate index computed in supersymmetric quantum mechanics of multiple monopoles.

This has also been derived by applying primitive wall crossing formula.

Dabholkar, Nampuri, Narayan
2. y-dependence agrees with quiver quantum mechanics analysis.

## Example 2:



We can try to compute the index by shrinking any of the external strings to zero size.

(a)
(b)

Result for $B_{1}(y, z)$ for cases (a) and (b):

$$
\begin{gathered}
\left\{\mathbf{z}+\mathbf{z}^{-1}-\mathbf{y}-\mathbf{y}^{-1}\right\}^{2}\left\{-\mathbf{y}^{3}-\frac{1}{\mathrm{y}^{3}}-\mathrm{y}-\frac{1}{\mathrm{y}}\right\} \\
\left\{\mathbf{z}+\mathbf{z}^{-1}-\mathbf{y}-\mathbf{y}^{-1}\right\}^{2}\left\{-\mathbf{y}^{3}-\frac{1}{\mathbf{y}^{3}}-\mathbf{2 y}-\frac{2}{\mathbf{y}}+\mathbf{z}+\frac{\mathbf{1}}{\mathbf{z}}\right\}
\end{gathered}
$$

$\Rightarrow$ there must be marginal stability wall separating (a) and (b).


- can break apart into the (-2,-1) string and the rest.

The jump in $B_{1}(\mathbf{y}, \mathbf{z})$ across this wall precisely accounts for the previous difference.

## Conclusion

The protected information about the spectrum of BPS states in $\mathcal{N}=4$ supersymmetric Yang-Mills theories is encoded in the index $B_{1}(\mathbf{y}, \mathbf{z})$.

We now have a complete algorithm for computing this index for any charge vector at any point in the moduli space.

