

CLASSIFICATION OF PRETZEL KNOTS

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A *pretzel knot* is a knot given by a knot diagram consisting of a row of 2-strand braids. Fig. 1 shows a pretzel knot with a row of braids of q_1, q_2, \dots, q_m -half twists, which we denote by $k(q_1, q_2, \dots, q_m)$. We assume that $q_i \neq 0$, $i = 1, 2, \dots, m$. Let $q_{j_1}, q_{j_2}, \dots, q_{j_n} (j_1 < j_2 < \dots < j_n)$ be the non-unit integers in the q_j 's. Let $p_i = q_{j_i}$, $i = 1, 2, \dots, n$. Let $b = \sum_{j=1}^m q_j - \sum_{i=1}^n p_i$. By turning, if necessary, the braids of p_i -half twists, we can deform $k(q_1, q_2, \dots, q_m)$ into a knot with diagram, illustrated in Fig. 2, which we denote by $k(-b; p_1, p_2, \dots, p_n)$. Since it is a knot, only the following two cases occur:

- (1) All of the p_i 's and $n + b$ are odd and $n \geq 0$,
- (2) Exact one of the p_i 's is even and b is arbitrary and $n \geq 1$.

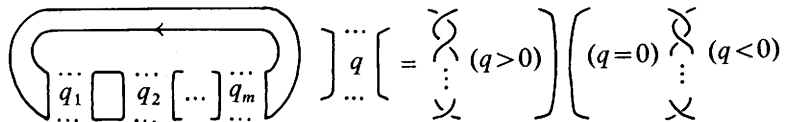


Fig. 1

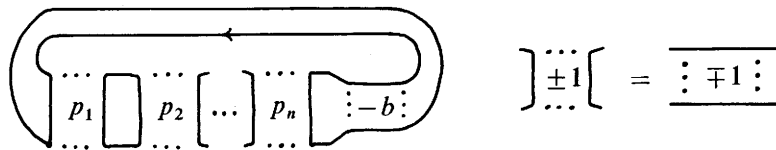


Fig. 2

We say that $k(-b; p_1, p_2, \dots, p_n)$ is *odd* (or *even*, resp.) if it is in the case (1) (or (2), resp.). Two oriented knots k, k' are *equivalent* and denoted by $k \cong k'$, if there is an orientation-preserving auto-homeomorphism of S^3 sending k to k' orientation-preservingly. We orient $k(-b; p_1, p_2, \dots, p_n)$ by the orientation indicated in Fig. 2. When $(p'_1, p'_2, \dots, p'_n)$ is a cyclic translation of (p_1, p_2, \dots, p_n) , we write $(p'_1, p'_2, \dots, p'_n) \cong (p_1, p_2, \dots, p_n)$. Then we have easily $k(-b; p'_1, p'_2, \dots, p'_n) \cong k(-b; p_1, p_2, \dots, p_n)$. The inverse, the reflection and the reflected inverse of $k(-b; p_1, p_2, \dots, p_n)$ are equivalent to $k(-b; p_n, \dots, p_2, p_1)$, $k(b; -p_1, -p_2, \dots, -p_n)$ and $k(b; -p_n, \dots, -p_2, -p_1)$, respectively. For even pretzel knots, one can show that $k(-b; p_n, \dots, p_2, p_1) \cong k(-b; p_1, p_2, \dots, p_n)$. Fig. 3

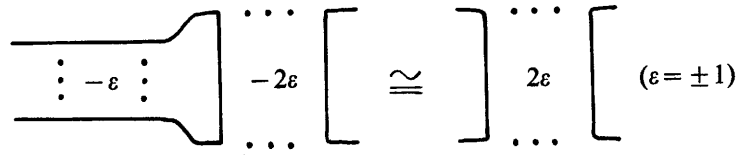


Fig. 3

also shows that $k(-b; p_1, \dots, p_i, \dots, p_n) \cong k(-b'; p_1, \dots, p'_i, \dots, p_n)$ if for some i , $|p_i| = |p'_i| = 2$ and $\varepsilon(p_i)(b' - b) = \varepsilon(p'_i)(b - b') = 1$, where $\varepsilon(p) = p/|p|$. Then according to if $|b| < |b'|$ or $|b'| < |b|$, $k(-b; p_1, \dots, p_i, \dots, p_n)$ or $k(-b'; p_1, \dots, p'_i, \dots, p_n)$ is said to have a *minimal presentation*. Unless otherwise stated, only pretzel knots with minimal presentations will be considered for pretzel knots with braids of ± 2 -half twists. We define the Euler number $e(k) (\neq 0)$ and for $n \leq 2$ the character $c(k) (\neq 0)$ of $k = k(-b; p_1, p_2, \dots, p_n)$ by

$$e(k) = b + \sum_{i=1}^n 1/p_i, \quad \text{and}$$

$$c(k) = -1/e(k) \text{ (if } n \leq 1) \quad \text{or} \quad (bp_1 + 1)/p_1 p_2 e(k) \text{ (if } n = 2).$$

Non-zero rational numbers x, x' are *equivalent* and denoted by $x \cong x'$, if the irreducible fractions $q/p, q'/p'$ ($p, p' > 0$) of x, x' have $p = p'$ and $q^{\pm 1} \equiv q' \pmod{p}$. A knot k is *simple* if the exterior $E(k) = S^3 - \text{Int } N(k)$, $N(k)$ being the regular neighborhood of k , has no incompressible imbedded torus that is not boundary-parallel (cf. [J]). In this note, we shall prove the following three theorems:

THEOREM I. *The pretzel knots $k = k(-b; p_1, p_2, \dots, p_n)$ and $k' = k(-b'; p'_1, p'_2, \dots, p'_n)$ are equivalent if and only if one of the following cases occurs:*

- (1) *Both n and n' are ≤ 2 and $c(k) \cong c(k')$,*
- (2) *Both k and k' are odd, $n = n' \geq 3$, $b = b'$ and $(p'_1, p'_2, \dots, p'_n) \cong (p_1, p_2, \dots, p_n)$,*
- (3) *Both k and k' are even, $n = n' \geq 3$, $b = b'$ and $(p'_1, p'_2, \dots, p'_n) \cong (p_1, p_2, \dots, p_n)$ or (p_n, \dots, p_2, p_1) .*

THEOREM II. *Every pretzel knot is simple.*

THEOREM III. *A pretzel knot is equivalent to a torus knot if and only if it is equivalent to $k(-p; -)$ for some odd p , $k(0; 3\varepsilon, 3\varepsilon, -2\varepsilon)$ or $k(0; 3\varepsilon, 5\varepsilon, -2\varepsilon)$, $\varepsilon = \pm 1$.*

It is directly checked that $k(p\varepsilon; -) (p > 0)$, $k(0; 3\varepsilon, 3\varepsilon, -2\varepsilon)$ or $k(0; 3\varepsilon, 5\varepsilon, -2\varepsilon)$ are equivalent to the torus knots of type $(p, 2\varepsilon)$, $(3, 4\varepsilon)$ and $(3, 5\varepsilon)$, respectively, $\varepsilon = \pm 1$ (cf. Fig. 4).

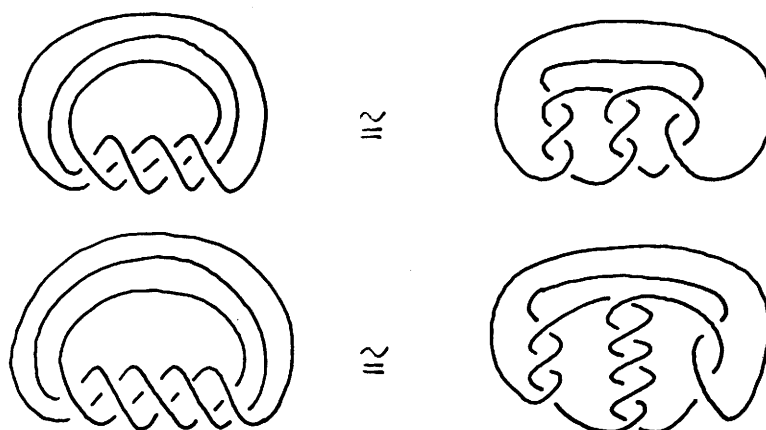


Fig. 4

To obtain THEOREM III, we shall also determine the pretzel knots whose branched double covering spaces are homeomorphic to those of torus knots. Note that a knot is a torus knot iff the exterior is a Seifert manifold (cf. Burde/Murasugi [B/M]). Then according to Thurston [TH], the pretzel knot exterior is a hyperbolic manifold except the torus knots of THEOREM III. THEOREM I is obtained by adding several remarks to Parris's arguments in [P], but for the sakes of convenience and clarity, we shall give here a full proof. After having done this work, the author learned from Boileau [B] that Bonahon/Boileau/Siebenmann have obtained similar results*) for the Montesions knots (and links) containing the pretzel knots, by using different methods. Some results of this note will be used in [K/K/S]. Spaces and maps will be considered in the piecewise-linear category.

1. Proof of Theorem I. Let $k = k(-b; p_1, p_2, \dots, p_n)$ and $G = \pi_1(S^3 - k)$. Following Reidemeister [R], Trotter [TR] and [P], we consider the quotient $G_* = G / \langle m^2 = 1 \rangle$, where m is a meridian element of k . Let x_1, x_2, \dots, x_r , $r = n + |b|$, be the meridian elements of k about the maximal points in Fig. 2, in the direction from the bottom to the top. We have

$$G_* = (x_1, x_2, \dots, x_r | x_1^2 = x_2^2 = \dots = x_r^2 = 1, \\ (x_1 x_2)^{p_1} = \dots = (x_n x_{n+1})^{p_n} = (x_{n+1} x_{n+2})^\varepsilon = \dots = (x_r x_1)^\varepsilon),$$

where $\varepsilon = \varepsilon(b)$ (if $b \neq 0$). (When $b = 0$, we understand that $x_{n+1} = x_1$ and the relation $(x_{n+1} x_{n+2})^\varepsilon = \dots = (x_r x_1)^\varepsilon$ does not appear.) Let C be the cyclic subgroup of G_* generated by $(x_1 x_2)^{p_1} = \dots = (x_r x_1)^\varepsilon$. Since C is normal in G_* , we can consider the quotient $G_{**} = G_*/C$. We have

*) H. Zieschang has also obtained them.

$$G_{**} = (x_1, x_2, \dots, x_n | x_1^2 = x_2^2 = \dots = x_n^2 = (x_1 x_2)^{p_1} = \dots = (x_n x_1)^{p_n} = 1).$$

Note that $H_1(G_*; Z) = H_1(G_{**}; Z) = Z_2$. Let QG_* , QG_{**} be the commutator (index 2) subgroups of G_* , G_{**} , respectively. Writing $a_i = x_i x_{i+1}$, $a_r = x_r x_1$, we have

$$QG_* = (a_1, a_2, \dots, a_r | a_1^{p_1} = a_2^{p_2} = \dots = a_n^{p_n} = a_{n+1}^e = \dots = a_r^e, a_1 a_2 \dots a_r = 1),$$

$$QG_{**} = (a_1, a_2, \dots, a_n | a_1^{p_1} = a_2^{p_2} = \dots = a_n^{p_n} = a_1 a_2 \dots a_n = 1).$$

Clearly, G_* and QG_* are invariants of k . Similarly, G'_* , G'_{**} , QG'_* and QG'_{**} are defined for $G' = \pi_1(S^3 - k')$ with $k' = k(-b'; p'_1, p'_2, \dots, p'_n)$.

LEMMA 1.1. *If $k \cong k'$, then n and n' are ≤ 2 or ≥ 3 at the same time.*

PROOF. For $n \leq 2$, QG_* is abelian (cyclic). We show that QG_* is non-abelian for $n \geq 3$. It suffices to show that QG_{**} is non-abelian for $n \geq 3$. According to if $\sum_{i=1}^n 1/|p_i|$ is $> n-2$ (then, $n=3$), $= n-2$ or $< n-2$, we can construct an n -sided convex polygon $P = (v_1 v_2 \dots v_n)$ in the spherical, Euclidean or hyperbolic plane (S^2 , E^2 or H^2) so that the interior angle at the vertex v_i is $\pi/|p_i|$ and for the geodesics $\ell_1, \ell_2, \dots, \ell_n$ determined by the edges $v_n v_1, v_1 v_2, \dots, v_{n-1} v_n$, $\ell_i \cap \ell_j \neq \emptyset$ iff $j \equiv i \pm 1 \pmod{n}$. Then G_{**} is a discrete group of isometries of S^2 , E^2 or H^2 such that the generators x_1, x_2, \dots, x_n correspond to the reflections in $\ell_1, \ell_2, \dots, \ell_n$ (see Coxeter/Moser [C/M], Magnus [M]). Suppose that $\sum_{i=1}^n 1/|p_i| \leq n-2$. Then G_{**} and hence QG_{**} are infinite groups. Since $H_1(QG_{**}; Z)$ is finite, QG_{**} is non-abelian. Suppose that $\sum_{i=1}^n 1/|p_i| > n-2$. Then $n=3$ and $\{|p_1|, |p_2|, |p_3|\} = \{2, 3, 3\}$ or $\{2, 3, 5\}$. For example, by Fox [F1] the natural map $QG_{**} \rightarrow H_1(QG_{**}; Z)$ has a non-trivial kernel, implying that QG_{**} is non-abelian. Similarly, QG'_* is abelian or non-abelian according to if $n' \leq 2$ or ≥ 3 . The result follows.

For $n \leq 2$, k is a 2-bridge knot. Let (α, β) be a normal form of k due to Suhubert [SC2]. Then $c(k) \cong \beta/\alpha$. In fact, Fig. 5 shows that for $n=2$ and

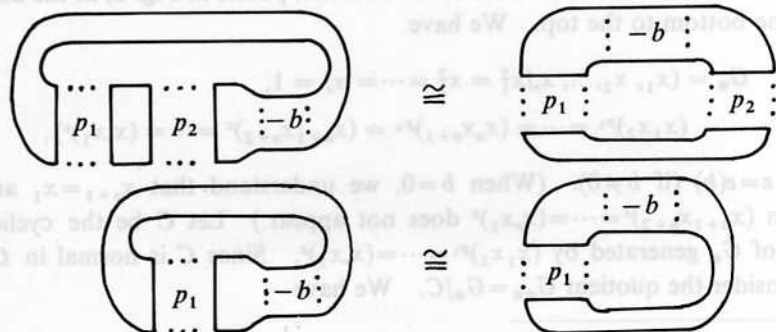


Fig. 5

$b \neq 0$, $\beta/\alpha \cong 1/(p_1 + 1/(b + 1/p_2)) = (p_1 b + 1)/p_1 p_2 e(k)$ and for $n=1$ and $b \neq 0$, $\beta/\alpha \cong 1/(p_1 + 1/b) = b/(p_1 b + 1) \cong -p_1/(p_1 b + 1) = -1/e(k)$. The other case ($n=0$ or $b=0$) is easier checked.

PROOF OF THEOREM I for $n \leq 2$. By Lemma 1.1, $n' \leq 2$. Schubert's classification of 2-bridge knots [SC2] and the above remark imply that $k \cong k'$ iff $c(k) \cong c(k')$, completing the proof.

To conclude the proof of THEOREM I, it suffices to show the "only if" part, assuming that $n, n' \geq 3$, since the "if" part was observed in the Introduction.

LEMMA 1.2. *If $k \cong k'$ and $n, n' \geq 3$, then $n = n'$, $b = b'$ and $\{p_1, p_2, \dots, p_n\} = \{p'_1, p'_2, \dots, p'_n\}$. In particular, $e(k) = e(k')$ and k, k' are odd or even at the same time.*

PROOF. The double covering spaces $S^3(k)_2, S^3(k')_2$ of S^3 branched along k, k' are Seifert manifolds over S^2 with invariants $(b; (p_1, 1), (p_2, 1), \dots, (p_n, 1))$, $(b'; (p'_1, 1), (p'_2, 1), \dots, (p'_n, 1))$, respectively (cf. Montesions [MO]). Note that there is an orientation-preserving homeomorphism $h: S^3(k)_2 \cong S^3(k')_2$. If $\pi_1(S^3(k)_2)$ is infinite, then by Orlik/Voget/Zieschang [O/V/Z] or Conner/Raymond [C/R] h is homotopic to a fiber-preserving homeomorphism. By Neumann/Raymond [N/R, Theorem 1.1] (where we understand $(p_i, 1)$ as $(|p_i|, \epsilon(p_i))$ and b as $(1, b)$), we have $n = n'$, $p_i = p'_i$ and $b = b'$, noting our assumption on minimal presentations and changing the indices of p_1, p_2, \dots, p_n , if necessary. Assume that $\pi_1(S^3(k)_2)$ is finite. Since $\pi_1(S^3(k)_2) = QG_*$, QG_{**} is finite. So, $n=3$ and $\{|p_1|, |p_2|, |p_3|\} = \{2, 3, 3\}$ or $\{2, 3, 5\}$ (cf. the proof of LEMMA 1.1). Similarly, $n'=3$ and $\{|p'_1|, |p'_2|, |p'_3|\} = \{2, 3, 3\}$ or $\{2, 3, 5\}$. By Seifert [SE], the orders of $H_1(S^3(k)_2; \mathbb{Z}), H_1(S^3(k')_2; \mathbb{Z})$ are $|p_1 p_2 p_3 e(k)|, |p'_1 p'_2 p'_3 e(k')|$, respectively. Since they are equal, it follows that $(b', p'_1, p'_2, p'_3) = (b\epsilon, p_1\epsilon, p_2\epsilon, p_3\epsilon)$ for $\epsilon = \pm 1$, noting our assumption on minimal presentations and changing the indices of p_1, p_2, p_3 , if necessary. If $\epsilon = -1$ occurs, then $S^3(k)_2$ admits an orientation-reversing auto-homeomorphism. But, [N/R, Theorem 8.2] shows that $S^3(k)_2$ never does, for $H_1(S^3(k)_2; \mathbb{Q}) = 0$. Thus, $\epsilon = 1$. This completes the proof.

PROOF OF THE "only if" part of THEOREM I for $n \geq 3$. When $n=3$ and k is odd with $(p_1, p_2, p_3) \cong (p_3, p_2, p_1)$ or even, then the result follows from LEMMA 1.2, since $\{p_1, p_2, p_3\} = \{p'_1, p'_2, p'_3\}$ implies $(p'_1, p'_2, p'_3) \cong (p_1, p_2, p_3)$ or (p_3, p_2, p_1) . So, assume that $n=3$ and k is odd with $(p_1, p_2, p_3) \not\cong (p_3, p_2, p_1)$ or $n \geq 4$. Then $\sum_{i=1}^n 1/|p_i| < n-2$ and G_{**} is a discrete group of isometries of H^2 as in the proof of LEMMA 1.1. Note that $\ell_i \cap \ell_j = \emptyset$ iff $x_i x_j$ is of infinite order in G_{**} . QG_{**} is a discrete group of orientation-preserving isometries of H^2 and it is well-known (easily proved) that the center of QG_{**} is trivial. Since $C \subseteq$ (the center of QG_*) and $QG_*/C = G_{**}$, we see that C is equal to the center of QG_* . It follows that

$G_{**} = G_*/C$ is an invariant of k . Assume that $k' \cong k$. Then by LEMMA 1.2, $n' = n$ and $b' = b$. We have an isomorphism $G'_{**} = (x'_1, x'_2, \dots, x'_n | x_1'^2 = x_2'^2 = \dots = x_n'^2 = (x'_1 x'_2)^{p'_1} = \dots = (x'_n x'_1)^{p'_n} = 1) \cong G_{**}$. Identify x'_i with the isomorphic image of it. Since x'_1, x'_2, \dots, x'_n are mutually conjugates in $G'_{**} \cong G_{**}$, we see that x'_1, x'_2, \dots, x'_n act on H^2 orientation-reversingly, so that x'_1, x'_2, \dots, x'_n are reflections in some geodesics $\ell'_1, \ell'_2, \dots, \ell'_n$. Noting that x'_1, x'_2, \dots, x'_n are mutually distinct and $x'_i x'_j$ is of infinite order unless $j \equiv i \pm 1 \pmod{n}$, we see that $\ell'_1, \ell'_2, \dots, \ell'_n$ are mutually distinct and $\ell'_i \cap \ell'_j = \emptyset$ unless $j \equiv i \pm 1 \pmod{n}$. Since $(x'_1 x'_2)^{p'_1} = \dots = (x'_n x'_1)^{p'_n} = 1$, we see that ℓ'_i and ℓ'_{i+1} meet at a point v'_i , $i = 1, 2, \dots, n$ ($\ell'_{n+1} = \ell'_1$). The ℓ'_i 's and the v'_i 's determine an n -sided convex polygon P' . Since the interior angle of P' at v'_i is a multiple of $\pi/|p'_i|$ and by LEMMA 1.2 $\{p'_1, p'_2, \dots, p'_n\} = \{p_1, p_2, \dots, p_n\}$, it follows that (the total curvature of P') $\leq \sum_{i=1}^n (\pi - \pi/|p_i|) =$ (the total curvature of P). Let D, D' be the finite regions in H^2 bounded by P, P' , respectively. By the Gauss/Bonnet theorem, (the area of D') \leq (the area of D). Since D is a fundamental region of G_{**} and D' is a union of isometric copies of D , it follows that D' is an isometric copy of D , that is, $tD' = D$ for some $t \in G_{**}$. Write $tx'_i t^{-1} = x_{j_i}$, $i = 1, 2, \dots, n$. We have $(j_1, j_2, \dots, j_n) \cong (1, 2, \dots, n)$ or $(n, \dots, 2, 1)$. By composing the isomorphism $G'_{**} \cong G_{**}$ to the inner automorphism induced by t^{-1} , we consider that $x'_i = x_{j_i}$, $i = 1, 2, \dots, n$. If k is even, we can assume by using an equivalence $k(-b; p_1, p_2, \dots, p_n) \cong k(-b; p_n, \dots, p_2, p_1)$ that $(j_1, j_2, \dots, j_n) \cong (1, 2, \dots, n)$. The following two lemmas will complete the proof :

LEMMA 1.3. *If k is odd, then we have necessarily $(j_1, j_2, \dots, j_n) \cong (1, 2, \dots, n)$.*

LEMMA 1.4. *If $(j_1, j_2, \dots, j_n) \cong (1, 2, \dots, n)$, then $(p'_1, p'_2, \dots, p'_n) \cong (p_1, p_2, \dots, p_n)$.*

PROOF OF LEMMA 1.3. Suppose $(j_1, j_2, \dots, j_n) \not\cong (1, 2, \dots, n)$. Then $(j_1, j_2, \dots, j_n) \cong (n, \dots, 2, 1)$. By changing the indices of p_{j_i}, x_{j_i} cyclically, we can assume that $x'_i = x_{n+2-i}$ and $|p'_i| = |p_{n+1-i}|$, $i = 1, 2, \dots, n$ ($x_{n+1} = x_1$). Let L, L' be the longitude elements of k, k' in G_{**}, G'_{**} , respectively. The equivalence $k' \cong k$ means that $uL'u^{-1} = L$ for some $u \in G_{**}$. We can write L, L' as follows ([TR], [P]):

$$L = [(x_1 x_2)^{-d_1} (x_2 x_3)^{-d_2} \dots (x_n x_1)^{-d_n}]^2,$$

$$L' = [(x'_1 x'_2)^{-d'_1} (x'_2 x'_3)^{-d'_2} \dots (x'_n x'_1)^{-d'_n}]^2,$$

where $d_i = (|p_i| - 1)/2 = (|p'_{n+1-i}| - 1)/2 = d'_{n+1-i}$, $i = 1, 2, \dots, n$. Then we find $w \in G_{**}$ such that $wLw^{-1} = L^{-1}$. We show that there are no such elements in G_{**} . This is due to [TR, p. 279], but we give the proof. Note that L is a translation along ℓ_1 through a distance equal to twice the perimeter of P in the direction from v_1 to v_n (cf. [TR], [P]). Regard L as a real Möbius transformation acting

on the Riemann sphere $C \cup \{\infty\}$ and H^2 as the upper half plane. Since L is of infinite order and fixes the geodesic ℓ_1 setwise, L must be a hyperbolic element (see Lehner [LE, p. 8]). By applying a real Möbius transformation, we can assume that the fixed points of L are 0 and ∞ , so that there is a constant $r > 0$ with $L(z) = rz$ for all $z \in H^2$ and ℓ_1 is the y -axis within H^2 . First assume $w^2 \neq 1$. Using that $w^2 L w^{-2} = L$, we see that the fixed points of w^2 are 0 and ∞ (cf. [LE, p.9]) and hence there is a constant $r' > 0$ such that $w^2(z) = r'z$ for all $z \in H^2$. Then $w(z) = \sqrt{r'}z$ or $-\sqrt{r'}\bar{z}$ (\bar{z} = the complex conjugation of z) for all $z \in H^2$, according to if w is orientation-preserving or -reversing. [To see this, note that $w(z)$ can be written as $(az+b)/(cz+d)$ or $-(a\bar{z}+b)/(c\bar{z}+d)$ for real a, b, c, d with $ad-bc=1$, according to if w is orientation-preserving or -reversing.] We have $wLw^{-1} = L \neq L^{-1}$, a contradiction. Thus, $w^2 = 1$. Since p_1, p_2, \dots, p_n are odd, w must be orientation-reversing. We can write $w(z) = -(a\bar{z}+b)/(c\bar{z}+a)$ for real a, b, c with $a^2 - bc = 1$. Using that $(wL)^2 = 1$, $L(z) = rz$ and $r \neq 1$, we have $a=0$ and $w(z) = b/\bar{z}$. This implies that w is a reflection in the geodesic $S^+ = \{z \in C \mid |z| = |b|, \text{Im } z > 0\}$. Since S^+ meets ℓ_1 orthogonally, at most one of the p_i 's must be even, which is a contradiction. This completes the proof.

PROOF OF LEMMA 1.4. The proof is essentially due to [P]. By changing the indices of p_{j_i} and x_{j_i} cyclically, we can assume that $x'_i = x_i$ in G_{**} and $|p'_i| = |p_i|$, $i = 1, 2, \dots, n$. For a generator g of C , we have

- (1) $x'_i = x_i g^{m_i} = g^{-m_i} x_i$, $i = 1, 2, \dots, n$,
- (2) $(x'_1 x'_2)^{p'_1} = (x'_2 x'_3)^{p'_2} = \dots = (x'_n x'_1 g^{-\varepsilon b})^{p'_n} = g^\varepsilon$, $\varepsilon = \pm 1$,
- (3) $(x_1 x_2)^{p_1} = (x_2 x_3)^{p_2} = \dots = (x_n x_1 g^{-b})^{p_n} = g$

in G_* . Note that QG_* is torsion-free [PROOF. $QG_* = \pi_1(S^3(k)_2)$ and $S^3(k)_2$ is a Seifert Z_2 -homology 3-sphere (cf. the proof of LEMMA 1.2). By [SE], $S^3(k)_2$ is irreducible. By the sphere theorem $S^3(k)_2$ is aspherical, for QG_* is infinite. So, QG_* is torsion-free (cf. Hempel [H])]. Thus, C is infinite cyclic, because C is non-trivial in QG_* . We assume that $|p'_i|$, $i = 1, 2, \dots, n-1$, are odd (≥ 3) and $|p'_n| \geq 2$. Using that C is the center of QG_* , we see that

$$g^\varepsilon = (x'_1 x'_2)^{p'_1} = (g^{-m_1} x_1 x_2 g^{m_2})^{p'_1} = (x_1 x_2)^{p'_1} g^{p'_1(m_2 - m_1)}$$

If $p'_1 = \varepsilon_1 p_1$, $\varepsilon_1 = \pm 1$, then $\varepsilon = \varepsilon_1 + p'_1(m_2 - m_1)$. For $|p'_1| \geq 3$, we have $\varepsilon = \varepsilon_1$ and $m_1 = m_2$. Similarly, we have $p'_i = \varepsilon p_i$ for $i = 1, 2, \dots, n-1$, and $m_1 = m_2 = \dots = m_n$. Note that

$$(x'_n x'_1)^{p'_n} = g^{\varepsilon b p'_n + \varepsilon} \quad \text{and} \quad (x_n x_1)^{p_n} = g^{b p_n + 1}.$$

If $p'_n = \varepsilon_n p_n$, $\varepsilon_n = \pm 1$, then $\varepsilon \varepsilon_n p_n b + \varepsilon = \varepsilon_n p_n b + \varepsilon_n$. For $|p_n| \geq 2$, $\varepsilon_n = \varepsilon$. In conclusion, we have $p'_i = \varepsilon p_i$, $i = 1, 2, \dots, n$, and $b = \varepsilon b$. Suppose $\varepsilon = -1$. Then $b = 0$ and $p'_i = -p_i$, $i = 1, 2, \dots, n$. If k is odd, then $e(k) = -e(k') \neq 0$. By LEMMA 1.2, $e(k) = e(k')$, a contradiction. If k is even, then p_n and p'_n are the unique

non-zero even numbers in the p_i 's and the p_i' 's, respectively. By LEMMA 1.2, $p_n = p_n'$, a contradiction. Therefore, $\varepsilon = 1$. This completes the proof.

2. Proof of Theorem II.

PROOF OF THEOREM II for $n \leq 3$. When $n \leq 3$, the bridge index of k is ≤ 3 . If k is not simple (i.e., k has a non-trivial companion), then by Schubert [SC, 1] k must be the sum of two non-trivial 2-bridge knots, so that $S^3(k)_2$ is not irreducible. But, it is a Seifert Z_2 -homology 3-sphere and by [SE] irreducible, which is a contradiction. This completes the proof.

For $n \geq 4$ we shall use a concept of simple tangles by Soma [SO]. Let a_1, a_2 be disjoint arcs properly imbedded in a 3-ball B . The union $t = a_1 \cup a_2$ is called a *tangle* in B . Two tangles t_1, t_2 are *equivalent* and denoted by $t_1 \cong t_2$, if there is an orientation-preserving auto-homeomorphism of B sending t_1 to t_2 setwise. A tangle t is *simple*, if t is prime and $B - t$ has no incompressible imbedded torus. Note that t is prime iff the double covering space $B(t)_2$ of B branched along t is irreducible and not homeomorphic to a solid torus (cf. Lickorish [LI]). We use the following three lemmas:

LEMMA 2.1. *Let $t = a_1 \cup a_2$ be a prime tangle in B . Assume that there is a disk D in B with $a_1 \subset \partial D$ and $\text{cl}(\partial D - a_1) \subset \partial B$ such that a_2 and $\text{Int } D$ intersect transversally in a single point and $\pi_1(B - D \cup a_2)$ is free. Then t is simple.*

LEMMA 2.2. *Let a tangle $t \subset B$ be a sum of a trivial tangle $t_0 \subset B_0$ and a prime tangle $t_1 \subset B_1$ along a disk $D^* = (\partial B_0) \cap (\partial B_1)$ such that $D^* - t_0 \cap D^*$ is incompressible in $B_0 - t_0$. Then t is simple if and only if t_1 is simple.*

LEMMA 2.3. *A knot is simple if it is a sum of two simple tangles.*

PROOF OF LEMMA 2.1. The proof is implicitly contained in [SO]. Suppose that there is an incompressible torus T in $B - t$. T splits B into two parts E_1, E_2 with $\partial E_1 = T$, $\partial E_2 = T \cup \partial B$. Note that $t \subset E_2$. T intersects D , since otherwise, we would have a monomorphism $\pi_1(T) = Z \times Z \rightarrow \pi_1(B - D \cup a_2) = \text{a free group}$, which is impossible. Let $D_0 = D - D \cap a_2$. Since D_0 is incompressible in $B - t$, we can assume that $D \cap T$ consists of essential loops in both T and D_0 . Let ℓ be a loop in $D \cap T$, innermost in D . Let D' be the disk in D bounded by ℓ . Note that $a_2 \cap D \subset D' \subset E_2$. Let $N(D')$ be a collar of D' in E_2 such that $a_2' = a_2 \cap N(D')$ is a proper unknotted arc in $N(D')$. Then a_2' is unknotted in the 3-ball $E_1 \cup N(D')$, for otherwise a_2 and hence $t = a_1 \cup a_2$ has a local knot, contradicting the primeness of t . So, E_1 is a solid torus which contradicts the incompressibility of T in $B - t$. The proof is completed.

PROOF OF LEMMA 2.2. The "if part" is proved in [SO]. To see the "only

if'' part, we take a torus T in $B_1 - t_1$. Since $B - t$ is simple, T is compressible in $B - t$. Let D be a compressible disk. Using that $D^* - t_0 \cap D^* = D^* - t_1 \cap D^*$ is incompressible in both $B_0 - t_0$ and $B_1 - t_1$, we can deform D (by an isotopy of B keeping $T \cup t$ fixed) so that $D \subset B_1 - t_1$. Thus, T is compressible in $B_1 - t_1$ and t_1 is simple, completing the proof.

LEMMA 2.3 is proved in [SO].

PROOF of THEOREM II for $n \geq 4$. Denote by $t(-b; p_1, \dots, p_m)$ the tangle illustrated in Fig. 6(a), where the p_i 's are non-zero, non-unit integers and odd except for some one. We shall show that $t(0; p_1, \dots, p_m)$ is simple for $m \geq 2$. The proof will be then completed by LEMMA 2.3, because for $n \geq 4$ $k(-b; p_1, p_2, \dots, p_n)$ is a sum of the tangles $t(0; p_1, \dots, p_{n-2})$ and $t(-b; p_{n-1}, p_n)$, and $t(-b; p_{n-1}, p_n) \cong t(0; p_{n-1}, p_n)$. The tangle $t = t(0; p_1, \dots, p_m)$ ($m \geq 2$) is prime, since $B(t)_2$ is a bounded Seifert-manifold with non-abelian fundamental group that is irreducible and not homeomorphic to $S^1 \times B^2$. Note that t is a sum of the trivial tangles $t(0; p_1), \dots, t(0; p_m)$. By LEMMA 2.1, $t(0; 2, p)$ with p odd is simple (cf. Fig. 6(b)). Now we apply LEMMA 2.2 to each arrow of the following sequence: $t(0; 2, p)$ with p odd $\rightarrow t(0; 2, p, p')$ with p, p' odd $\rightarrow t(0; p, p')$ with p, p' odd $\rightarrow t(0; p, p', p'')$ with p, p' odd and p'' even $\rightarrow t(0; p', p'')$ with p' odd and p'' even. Since $t(0; p_1, p_2) \cong t(0; p_2, p_1)$, it follows that $t(0; p_1, p_2)$ is always simple. For $m \geq 3$ we further apply LEMMA 2.2 to each arrow of the following sequence: $t(0; p_1, p_2) \rightarrow t(0; p_1, p_2, p_3) \rightarrow \dots \rightarrow t(0; p_1, p_2, \dots, p_m)$. The proof is completed.

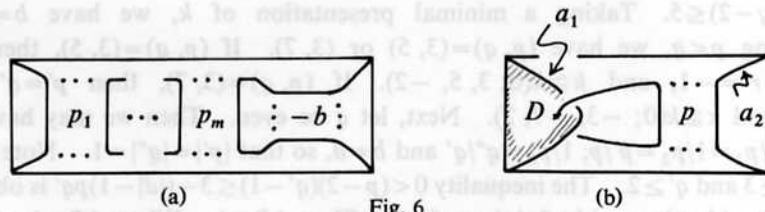


Fig. 6

3. Proof of Theorem III. Let $k_{p,q}$ be a torus knot of type (p, q) , where we can assume up to equivalence that p is odd > 1 , $q \neq 0$ and $(p, q) = 1$. Then $k_{p,q}$ is a trivial knot iff $q = \pm 1$. For $q > 1$, $S^3(k_{p,q})_2$ is so-called the Brieskorn manifold $M(p, q, 2)$, which is a Seifert manifold with an invariant given as follows (cf. [N/R, Theorems 1.1, 2.1]):

- (1) $(d; (p, p'), (q, q'), (2, r'))$, where q is odd, $|p'| < p/2$, $(p, p') = 1$, $|q'| < q/2$, $(q, q') = 1$, $|r'| = 1$ and $d + p'/p + q'/q + r'/2 = 1/2pq$, or
- (2) $(d; (p, p'), (p, p'), (q', q''))$, where q is even, $q = 2q'$, $|p'| < p/2$, $(p, p') = 1$, $|q''| \leq q'/2$, $(q', q'') = 1$ and $d + 2p'/p + q''/q' = 1/pq'$.

Note that for $q > 1$, $\pi_1(S^3(k_{p,q})_2)$ is abelian iff $q = 2$. Since $k_{p,2} \cong k(p; -)$, we see from Schubert's classification of 2-bridge knots the following lemma:

LEMMA 3.1. For $k=k(-b; p_1, \dots, p_n)$ with $n \leq 2$ and $k_{p,q}$ with p odd > 1 and $q \neq 0$, the following are equivalent:

- (1) $k \cong k_{p,q}$,
- (2) $S^3(k)_2 \cong S^3(k_{p,q})_2$ by an orientation-preserving homeomorphism,
- (3) $k \cong k_{p,q}$ where $q = \pm 1, \pm 2$,
- (4) $k \cong k(p^*; -)$ for some odd p^* .

LEMMA 3.2. For $k=k(-b; p_1, \dots, p_n)$ with $n \geq 3$ and $k_{p,q}$ with p odd > 1 and $q \neq 0$, there is an orientation-preserving homeomorphism $S^3(k)_2 \cong S^3(k_{p,q})_2$ if and only if one of the following cases occurs ($\varepsilon = \varepsilon(q)$):

- (1) $k \cong k(0; 3\varepsilon, 5\varepsilon, -2\varepsilon)$, $k_{p,q} \cong k_{3,5\varepsilon}$,
- (2) $k \cong k(0; -3\varepsilon, -7\varepsilon, 2\varepsilon)$, $k \cong k_{3,7\varepsilon}$,
- (3) $k \cong k(-\varepsilon; -3\varepsilon, -3\varepsilon, -4\varepsilon)$, $k_{p,q} \cong k_{3,8\varepsilon}$,
- (4) $k \cong k(0; -(2a+1)\varepsilon, -(2a+1)\varepsilon, a\varepsilon)$, $k_{p,q} \cong k_{|2a+1|, 2|a|\varepsilon}$ for an integer a with $|a| \geq 2$.

PROOF. It suffices to give the proof for $q > 0$ (i.e., $\varepsilon = 1$) by reversing, if necessary, the orientation of S^3 . Using that $\pi_1(S^3(k)_2)$ is non-abelian, we see that $q \geq 3$. If $S^3(k)_2 \cong S^3(k_{p,q})_2$, then there is a fiber-preserving homeomorphism $S^3(k)_2 \cong S^3(k_{p,q})_2$ as Seifert manifolds (cf. the proof of LEMMA 1.2). First, let q be odd. Then we may have that $n=3$, $1/p_1 = p'/p$, $1/p_2 = q'/q$, $1/p_3 = r'/2$ and $b=d$, so that $|p'| = |q'| = |r'| = 1$. The inequality $|2d+r'|pq \leq 2p+2q+1$ is obtained from the identity $2pqd+2p'q+2pq'+r'pq=1$. So, $|2d+r'|=1$ and $(p-2)(q-2) \leq 5$. Taking a minimal presentation of k , we have $b=d=0$. Assuming $p < q$, we have $(p, q) = (3, 5)$ or $(3, 7)$. If $(p, q) = (3, 5)$, then $p' = q' = 1$, $r' = -1$, and $k \cong k(0; 3, 5, -2)$. If $(p, q) = (3, 7)$, then $p' = q' = -1$, $r' = 1$, and $k \cong k(0; -3, -7, 2)$. Next, let q be even. Then we may have that $n=3$, $1/p_1 = 1/p_2 = p'/p$, $1/p_3 = q''/q'$ and $b=d$, so that $|p'| = |q''| = 1$. Note that p is odd ≥ 3 and $q' \geq 2$. The inequality $0 < (p-2)(q'-1) \leq 3 - (|d|-1)pq'$ is obtained from the identity $pq'd+2p'q'+pq''=1$. Then $|d| \leq 1$. When $|d|=1$, $(p-2)(q'-1) \leq 3$, i.e., $(p, q') = (3, 2), (3, 4)$ or $(5, 2)$. If $q'=2$, then $|d+q''/2| \leq 1/2p+2/p=5/2p < 1$. By taking a minimal presentation of k , we can reduce this case to the case $b=d=0$. If $(p, q') = (3, 4)$, then $(p, q) = (3, 8)$, $d=1$, $p' = q'' = -1$, and $k \cong k(-1; -3, -3, -4)$. Assume that $b=d=0$. Then $2p'q'+pq''=1$. Since $p, q' > 0$, we have $p' = -q''$ and $2q''q'+pp' = -1$. Let $a = q''q'$. Then $k \cong k(0; -(2a+1), -(2a+1), a)$ and $k_{p,q} \cong k_{|2a+1|, 2|a|}$ and $|a| \geq 2$. The converse is clear. This completes the proof.

PROOF of THEOREM III. For $n \leq 2$, it is due to LEMMA 3.1. Let $n \geq 3$. From the Introduction and LEMMA 3.2, it suffices to prove that $k(0; -3\varepsilon, -7\varepsilon, 2\varepsilon) \not\cong k_{3,7\varepsilon}$, $k(-\varepsilon; -3\varepsilon, -3\varepsilon, -4\varepsilon) \not\cong k_{3,8\varepsilon}$, $k(0; -(2a+1)\varepsilon, -(2a+1)\varepsilon, a\varepsilon) \not\cong k_{|2a+1|, 2|a|\varepsilon}$ for $a=2$ or $|a| \geq 3$. To do this, we use the following classical lemma

(See Fox [F2, pp. 140–141] for a proof):

LEMMA 3.3 *Let γ be the crossing number of a knot diagram of a non-trivial knot, and δ , the degree of the Alexander polynomial. Then $\gamma > \delta$.*

Note that $\delta(k_{p,q}) = (|p| - 1)(|q| - 1)$ and $\gamma(k(-b; p_1, \dots, p_n)) = |b| + \sum_{i=1}^n |p_i|$. Then the above non-equivalences are easily proved. This completes the proof.

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