

# Splitting a 4-manifold with infinite cyclic fundamental group, revised

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## ABSTRACT

This article is a revised version of the author's earlier paper on a TOP-splitting of a closed connected oriented 4-manifold with infinite cyclic fundamental group. We show that a closed connected oriented 4-manifold with infinite cyclic fundamental group is TOP-split if it is virtually TOP-split. As a consequence, we see that a closed connected oriented 4-manifold with infinite cyclic fundamental group is TOP-split if the intersection form is indefinite. This also implies that every closed connected oriented smooth spin 4-manifold with infinite cyclic fundamental group is TOP-split.

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## 1. Introduction

A closed connected oriented 4-manifold  $M$  is called a  $Z^{\pi_1}$ -manifold if the fundamental group  $\pi_1(M)$  is isomorphic to  $Z$ , and a  $Z^{H_1}$ -manifold if the first homology group  $H_1(M; Z)$  is isomorphic to  $Z$ . A  $Z^{\pi_1}$ -manifold  $M$  is *TOP-split* if  $M$  is homeomorphic to the connected sum  $S^1 \times S^3 \# M_1$  for a simply connected closed 4-manifold  $M_1$ , and *virtually TOP-split* if a finite covering of  $M$  is TOP-split. Here, we do not assume that a closed 4-manifold is a smooth or piecewise-linear manifold, but we can use smooth and piecewise-linear techniques for it because a punctured manifold of it is smoothable (see Freedman-Quinn [2]). The purpose of this paper is to make a revised version of the author's earlier paper [7] on TOP-splitting of a  $Z^{\pi_1}$ -manifold, which was needed because a non-TOP-split  $Z^{\pi_1}$ -manifold was given by Hambleton-Teichner in [4] (see also [8, 10, 11] for some discussions and partial results). We shall show the following theorem:

**Theorem 1.1.** Every virtually TOP-split  $Z^{\pi_1}$ -manifold is TOP-split.

The non-TOP-split  $Z^{\pi_1}$ -manifold given by Hambleton-Teichner is obtained from their non-trivial  $\Lambda$ -Hermitian form by using a construction technique of a topological 4-manifold with a given  $\Lambda$ -Hermitian form by Freedman-Quinn [2], where  $\Lambda = Z[Z] = Z[t, t^{-1}]$  denotes the integral Laurent polynomial ring in  $t$ . As it is observed by Friedl, Hambleton, Melvin and Teichner in [3] and seen also from Theorem 1.1, the Hambleton-Teichner example is virtually non-TOP-split. In the proof of [4], the non-TOP-splitting comes from the property that the intersection form of the Hambleton-Teichner example is definite. For a  $Z^{\pi_1}$ -manifold with indefinite intersection form, we show the following theorem.

**Theorem 1.2.** Every  $Z^{\pi_1}$ -manifold with indefinite intersection form is TOP-split.

A key to this theorem is to show the following lemma with which Theorem 1.1 implies Theorem 1.2.

**Lemma 1.3.** Every  $Z^{\pi_1}$ -manifold with indefinite intersection form is virtually TOP-split.

By the proof of Hillman-Kawauchi [5] using Theorem 1.2 in place of [7], we have:

**Corollary 1.4 (Hillman-Kawauchi).** Every orientable surface-knot  $F$  in  $S^4$  is topologically unknotted if the fundamental group  $\pi_1(S^4 \setminus F)$  is isomorphic to  $Z$ .

For an  $S^2$ -knot  $K$  in a simply connected 4-manifold  $M_1$ , we have the following unknotting result, where  $K$  is *of Dehn's type* in  $M_1$  if there is a map  $f$  from the 3-disk  $D^3$  to  $M_1$  such that the image  $f(\partial D^3) = K$  and the singular set  $\Sigma(f) \subset \text{int}D^3$ .

**Corollary 1.5.** Let  $M_1$  be a closed simply connected 4-manifold with indefinite intersection form. An  $S^2$ -knot  $K$  in  $M_1$  is topologically unknotted if we have one of the following two conditions:

- (1) The fundamental group  $\pi_1(M_1 \setminus K)$  is isomorphic to  $Z$ .
- (2) The  $S^2$ -knot  $K$  is of Dehn's type in  $M_1$ .

**Proof.** We can obtain a  $Z^{\pi_1}$ -manifold  $M$  with indefinite intersection form from  $M_1$  by surgery replacing a normal disk-bundle  $K \times D^2$  of  $K$  in  $M_1$  with  $D^3 \times \partial D^2$ . By Theorem 1.2,  $M$  is TOP-split. Since a simple loop  $\ell$  in  $M$  representing a generator of  $\pi_1(M) \cong Z$  is unique up to isotopies of  $M$ , we see that  $K$  is topologically unknotted in  $M_1$ . If  $K$  is of Dehn's type, then we have also  $\pi_1(M_1 \setminus K) \cong Z$  by the proof of [5, Corollary 4.2], so that  $K$  is topologically unknotted.  $\square$

By Donaldson's famous result [1], there is no smooth spin 4-manifold with definite intersection form. Hence we have the following corollary.

**Corollary 1.6.** Every smooth spin  $Z^{\pi_1}$ -manifold is TOP-split.

Friedl, Hambleton, Melvin and Teichner in [3] showed that the non-TOP-split  $Z^{\pi_1}$ -manifold given by Hambleton-Teichner is non-smoothable and further virtually non-smoothable. It appears unknown whether every smooth non-spin  $Z^{\pi_1}$ -manifold with definite intersection form is TOP-split (see [10, p.209] as well as [3]). By [2], it is known that every non-singular  $\Lambda$ -Hermitian form on a free  $\Lambda$ -module of finite rank is realized as the  $\Lambda$ -intersection form  $\text{Int}_\Lambda : H_2(\tilde{M}; Z) \times H_2(\tilde{M}; Z) \rightarrow \Lambda$  of the infinite cyclic covering  $\tilde{M}$  of a  $Z^{\pi_1}$ -manifold  $M$ . Thus, we obtain from Theorems 1.1 and 1.2 the following purely algebraic result:

**Corollary 1.7.** A non-singular  $\Lambda$ -Hermitian form

$$I_\Lambda : \Lambda^n \times \Lambda^n \rightarrow \Lambda$$

admits a  $\Lambda$ -basis  $x_1, x_2, \dots, x_n$  of  $\Lambda^n$  such that  $I_\Lambda(x_i, x_j)$  is an integer for all  $i, j$  if we have one of the following two conditions:

- (1) For a positive integer  $m$ , we regard  $\Lambda^n$  as a free  $\Lambda^{(m)}$ -module of rank  $mn$  over the subring  $\Lambda^{(m)} = Z[t^m, t^{-m}]$  of  $\Lambda$ , so that  $I_\Lambda$  induces a non-singular  $\Lambda^{(m)}$ -Hermitian form

$I_{\Lambda^{(m)}}$ . Then for some positive integer  $m$ , there is a  $\Lambda^{(m)}$ -basis  $x_{ik}$  ( $i = 1, 2, \dots, n; k = 1, 2, \dots, m$ ) for  $\Lambda^n$  such that  $I_{\Lambda^{(m)}}(x_{ik}, x_{i'k'})$  is an integer for all  $i, i', k, k'$ .

(2) The nonsingular symmetric bilinear form  $I : Z^n \times Z^n \rightarrow Z$  obtained from  $I_{\Lambda}$  by taking  $t = 1$  is indefinite.

The idea of proof of Theorem 1.1 is to find an exact leaf of a  $Z^{\pi_1}$ -manifold, whose notion was developed in [10, 11] for the infinite cyclic covering  $\tilde{M}$  of a  $Z^{H_1}$ -manifold  $M$ . The idea of proof of Lemma 1.3 which is a key to Theorem 1.2 is to find a connected summand  $S^2 \times S^2$  in the infinite cyclic covering  $\tilde{M}$  of a  $Z^{\pi_1}$ -manifold  $M$ .

We note that this paper is done on a basis of the author's earlier paper [7]. In fact, in this paper we shall use the results [10, Corollary 3.4] and [11, Theorem 2.3, Lemma 3.6] on exactness of a  $Z^{\pi_1}$ -manifold, but behind them we used the result that every  $Z^{\pi_1}$ -manifold  $M$  is homology cobordant to the connected sum  $S^1 \times S^3 \# M_1$  which has been shown in [7].

## 2. Proof of Theorem 1.1.

The following lemma is needed to reduce the proof of Theorem 1.1 to an argument on the double covering of  $Z^{\pi_1}$ -manifold.

**Lemma 2.1.** Let  $M$  be a virtually topological split  $Z^{\pi_1}$ -manifold. Then there is a positive integer  $m$  such that the  $2^m$ -fold cyclic covering  $M^{(2^m)}$  of  $M$  is TOP-split.

**Proof.** Let  $\tilde{M}$  be the infinite cyclic covering of  $M$ . Then we have a 3-sphere  $S^3$  in  $\tilde{M}$  with  $[S^3] \in H_3(\tilde{M}; Z) \cong Z$  a generator such that there is a constant  $n_0 > 0$  with  $S^3 \cap t^n(S^3) = \emptyset$  for all  $n > n_0$ . Take  $2^m > n_0$ .  $\square$

By induction on  $m$  in Lemma 2.1, Theorem 1.1 follows from the following lemma:

**Lemma 2.2.** A  $Z^{\pi_1}$ -manifold  $M$  is TOP-split if  $M^{(2)}$  is TOP-split.

A leaf  $V$  of a  $Z^{H_1}$ -manifold  $M$  is *exact* if the natural semi-exact sequence

$$0 \rightarrow \text{tor}H_2(\tilde{M}, \tilde{V}; Z) \rightarrow \text{tor}H_1(\tilde{V}; Z) \rightarrow \text{tor}H_1(\tilde{M}; Z)$$

on the  $Z$ -torsion parts induced from the homology exact sequence of the pair  $(\tilde{M}, \tilde{V})$  is exact, where the pair  $(\tilde{M}, \tilde{V})$  denotes the lift of the pair  $(M, V)$  under the infinite cyclic connected covering  $\tilde{M} \rightarrow M$  (see [10, 11]). A  $Z^{H_1}$ -manifold  $M$  is *exact* if  $M$  admits an exact leaf. Because an exact  $Z^{\pi_1}$ -manifold  $M$  is TOP-split (see [10,

Corollary 3.4]), Lemma 2.2 is obtained by a combination of the following Lemmas 2.3 and 2.4:

**Lemma 2.3.** For a  $Z^{\pi_1}$ -manifold  $M$ , if  $M^{(2)}$  is TOP-split, then  $M$  has a connected leaf  $V$  with  $H_1(V; Z)$  a free abelian group.

**Lemma 2.4.** If a  $Z^{H_1}$ -manifold  $M$  has a connected leaf  $V$  with  $H_1(V; Z)$  a free abelian group, then  $M$  is exact.

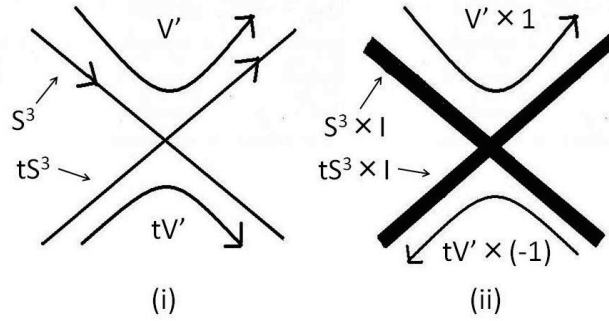


Figure 1: Creating  $t$ -interchangable 3-manifolds

**Proof of Lemma 2.3.** Let  $S^3$  be an oriented 3-sphere leaf of  $M^{(2)}$  such that  $S^3$  and  $t(S^3)$  meet transversely for the covering involution  $t$  of  $M^{(2)}$ . Then the intersection  $F = S^3 \cap t(S^3)$  is a closed orientable (possibly disconnected) 2-manifold  $F$ . Let  $E_+, E_-$  be the oriented 3-manifolds obtained from  $S^3$  by splitting along  $F$ , and  $tE_+, tE_-$  be the oriented 3-manifolds obtained from the oriented 3-sphere  $t(S^3)$  given by the orientation of  $S^3$  and  $t$  by splitting along  $tF = F$ . We consider that  $F$  is oriented so that  $\partial E_+ = \partial tE_+ = F$  and  $\partial E_- = \partial tE_- = -F$ . The 3-manifolds  $V' = E_+ \cup tE_-$  and  $tV' = tE_+ \cup E_-$  are closed oriented (possibly disconnected) 3-manifolds which are interchangable by the  $t$ -action (see Fig. 1(i)<sup>1</sup>). By the same local modification, the closed oriented 3-manifold  $V'$  can be also obtained from the immersed image  $S^3_{\#}$  of  $S^3$  in  $M$  under the double covering projection  $M^{(2)} \rightarrow M$ . We note that the homomorphism  $H_1(M^{(2)}; Z) \rightarrow H_1(M; Z)$  induced from the covering projection sends a generator  $x^{(2)} \in H_1(M^{(2)}; Z) \cong Z$  to the double of a generator  $x \in H_1(M; Z) \cong Z$ .

<sup>1</sup>A precise local picture is obtained from this picture by taking the product of this picture and an open disk.

Since  $\text{Int}_{M^{(2)}}(S^3, x^{(2)}) = +1$  and  $[S_{\#}^3] = [V'] \in H_3(M; Z)$ , we have

$$\text{Int}_M(S_{\#}^3, 2x) = \text{Int}_M(V', 2x) = +2.$$

Hence  $\text{Int}_M(V', x) = +1$ . Let  $V$  be a component of  $V'$  with  $\text{Int}_M(V, x) > 0$ . Representing  $x$  by a simple loop  $\ell$  in  $M$ , we can construct from  $\ell$  a simple loop  $\ell'$  in  $M$  meeting  $V$  transversely in a single point because  $V$  is connected. This means that  $V$  and  $\ell'$  represent generators of  $H_3(M; Z) \cong Z$  and  $H_1(M; Z) \cong Z$ , respectively, and the natural homomorphism  $H_1(V; Z) \rightarrow H_1(M; Z)$  is the zero map. Thus,  $V$  is a connected leaf of  $M$ . We show that  $H_1(V; Z)$  is a free abelian group. To see this, for  $I = [-1, 1]$  we consider normal  $I$ -bundles  $S^3 \times I$  and  $t(S^3) \times I$  of  $S^3$  and  $t(S^3)$ , respectively, whose union  $W$  is a compact connected oriented 4-manifold (see Fig. 1(ii)<sup>2</sup>). We observe the following sublemma:

**Sublemma 2.3.1.** For the 4-manifold  $W = S^3 \times I \cup t(S^3 \times I)$ , the homology groups  $H_d(W; Z)$  ( $d = 1, 2$ ) are free abelian groups and the intersection form  $\text{Int} : H_2(W; Z) \times H_2(W; Z) \rightarrow Z$  is the zero form.

Assuming this sublemma, we obtain by Poincaré duality that  $H_2(W, \partial W; Z)$  is a free abelian group and the natural homomorphism  $H_2(W; Z) \rightarrow H_2(W, \partial W; Z)$  is the zero map, because this homomorphism induces the intersection form

$$\text{Int} : H_2(W; Z) \times H_2(W; Z) \rightarrow Z$$

from the non-singular intersection form

$$\text{Int} : H_2(W; Z) \times H_2(W, \partial W; Z) \rightarrow Z.$$

Then the exact sequence

$$0 \rightarrow H_2(W, \partial W; Z) \rightarrow H_1(\partial W; Z) \rightarrow H_1(W; Z)$$

implies that  $H_1(\partial W; Z)$  is a free abelian group. Since  $V$  is a component of the boundary  $\partial W$ , we see that  $H_1(V; Z)$  is a free abelian group.  $\square$

**Proof of Sublemma 2.3.1.** By Mayer-Vietoris sequence, we have

$$H_2(W; Z) \cong H_1(F; Z) \cong Z^{2g} \quad \text{and} \quad H_1(W; Z) \cong \tilde{H}_0(F; Z) \cong Z^{c-1},$$

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<sup>2</sup>A precise local picture is obtained from this picture by taking the product of this picture and an open disk.

where  $g$  and  $c$  denote the total genus of  $F$  and the number of the connected components of  $F$ , respectively. Thus, the homology groups  $H_d(W; Z)$  ( $d = 1, 2$ ) are free abelian groups. Since the boundary operators  $\partial_* : H_2(S^3, F; Z) \rightarrow H_1(F; Z)$  and  $\partial'_* : H_2(t(S^3), F; Z) \rightarrow H_1(F; Z)$  are isomorphisms, we see from the excision isomorphisms

$$\begin{aligned} H_2(S^3, F; Z) &\cong H_2(E^+, \partial E^+; Z) \oplus H_2(E^-, \partial E^-; Z), \\ H_2(t(S^3), F; Z) &\cong H_2(tE^+, \partial tE^+; Z) \oplus H_2(tE^-, \partial tE^-; Z) \end{aligned}$$

that  $H_1(F; Z)$  has a basis  $[\partial C_i^+], [\partial C_i^-]$  ( $i = 1, 2, \dots, g$ ) where  $C_i^+$  is a 2-chain in  $E^+$  and  $C_i^-$  is a 2-chain in  $E^-$ , and further we can write  $\partial C_i^+ = \partial D_i^{++} + \partial D_i^{+-}$  and  $\partial C_i^- = \partial D_i^{-+} + \partial D_i^{--}$  where  $D_i^{++}, D_i^{-+}$  are 2-chains in  $tE^+$  and  $D_i^{+-}, D_i^{--}$  are 2-chains in  $tE^-$ . The homology classes  $z_i = [C_i^+ - (D_i^{++} + D_i^{+-})], z'_i = [C_i^- - (D_i^{-+} + D_i^{--})]$  ( $i = 1, 2, \dots, g$ ) form a basis for  $H_2(W; Z)$ . Using the thickness  $t(S^3) \times I$  of  $t(S^3)$  in  $W$ , we see that  $\text{Int}(z_i, z'_j) = 0$  for all  $i, j$ . Since  $\text{Int}(\partial C_i^+, \partial C_j^+) = \text{Int}(\partial C_i^-, \partial C_j^-) = 0$  in  $F$  for all  $i, j$ , we also see that  $\text{Int}(z_i, z_j) = \text{Int}(z'_i, z'_j) = 0$  for all  $i, j$ .  $\square$

**Proof of Lemma 2.4.** If  $H_2(M, V; Z)$  and  $H_1(V; Z)$  are free abelian groups, then we have  $\text{tor}H_2(\tilde{M}, \tilde{V}; Z) = \text{tor}H_1(\tilde{V}; Z) = 0$  and  $V$  is an exact leaf. Assume that  $H_2(M, V; Z)$  is not free abelian. We shall construct a connected leaf  $V^*$  of  $M$  such that  $H_1(V^*; Z)$  and  $H_2(M, V^*; Z)$  are free abelian groups, which is an exact leaf of  $M$ . To see this, we consider a free abelian subgroup  $G$  of  $H_2(M; Z)$  such that the quotient group  $H_2(M; Z)/G$  is a free abelian group and the image  $H$  of the natural homomorphism  $H_2(V; Z) \rightarrow H_2(M; Z)$  is a finite index subgroup of  $G$ . Then there are a basis  $x_i$  ( $i = 1, 2, \dots, v$ ) for  $H_2(V; Z)$  and a basis  $y_i$  ( $i = 1, 2, \dots, u$ ) for  $G$  with  $u \leq v$  such that the natural homomorphism  $H_2(V; Z) \rightarrow H_2(M; Z)$  sends the first  $m$  ( $\leq u$ ) elements  $x_i$  ( $i = 1, 2, \dots, m$ ) to the elements  $k_i y_i$  ( $i = 1, 2, \dots, m$ ) for some integers  $k_i > 1$  ( $i = 1, 2, \dots, m$ ) and the elements  $x_i$  ( $i = m+1, m+2, \dots, u$ ) to  $y_i$  ( $i = m+1, m+2, \dots, u$ ) and the elements  $x_i$  ( $i = u+1, m+2, \dots, v$ ) to 0. Then we have

$$G/H \cong Z_{k_1} \oplus Z_{k_2} \oplus \cdots \oplus Z_{k_m}.$$

By an argument of [9], every  $x_i$  is represented by a closed connected oriented surface  $S_i^x$  in  $V$ . Regarding  $x_i$  as an element in  $H_2(M; Z)$ , we have  $\text{Int}(x_i, x_j) = \text{Int}(y_i, y_j) = \text{Int}(x_i, y_j) = 0$  in  $M$  for all  $i, j$ . Thus, we can represent  $y_i$  ( $i = 1, 2, \dots, m$ ) by mutually disjoint closed connected oriented surfaces  $S_i^y$  ( $i = 1, 2, \dots, m$ ) in  $M$ . Let  $\ell_1 = V \cap S_1^y$  be a closed oriented 1-manifold. Since  $k_1 S_1^y$  is homologous to  $S_1^x$  in  $M$  and hence  $\text{Int}(k_1 S_1^y, S_i^x) = 0$  in  $M$  for all  $i$ , the intersection number of  $k_1 \ell_1$  and  $S_i^x$  in  $V$  must be 0 for all  $i$ . Using that  $H_1(V; Z)$  is free abelian, we obtain by Poincaré duality that  $k_1 \ell_1$  and hence  $\ell_1$  are null-homologous in  $V$ . Thus, the 1-manifold  $\ell_1$  bounds an oriented surface  $\Delta_1$  in  $V$ . Let  $S_1^*$  be a closed (possibly disconnected)

oriented surface in  $M \setminus V$  obtained from  $S_1^y$  by cutting along  $\ell_1$  and then adding parallel copies of  $\Delta_1$  in a collar neighborhood of  $V$  in  $M$ . Since  $V$  is connected, the complement  $M \setminus V$  is also connected. We can construct a closed connected oriented surface  $S_1^{**}$  by piping the components of  $S_1^*$  in the complement  $M \setminus V$ . Then we have  $y_1 = [S_1^{**}] \in H_2(M; Z)$ . Since  $S_1^{**}$  admits a trivial normal  $D^2$ -bundle  $S_1^{**} \times D^2$  in  $M$ , we can take a connected sum of  $V$  and  $S_1^{**} \times \partial D^2$  to obtain a connected leaf  $V'$  of  $M$ . Then  $H_1(V'; Z)$  is a free abelian group. Let  $H'$  be the image of the natural homomorphism  $H_2(V'; Z) \rightarrow H_2(M; Z)$ . By construction, we have  $H' \subset G$  and

$$G/H' \cong Z_{k_2} \oplus Z_{k_3} \oplus \cdots \oplus Z_{k_m}.$$

By continuing this process, we have a connected leaf  $V^*$  with  $H_1(V^*; Z)$  a free abelian group such that the image of the natural homomorphism  $H_2(V^*; Z) \rightarrow H_2(M; Z)$  coincides with  $G$ . The exact sequence

$$0 \rightarrow H_2(M; Z)/G \rightarrow H_2(M, V^*; Z) \rightarrow H_1(V^*; Z)$$

induced from the homology exact sequence of  $(M, V)$  implies that  $H_2(M, V^*; Z)$  is a free abelian group.  $\square$

### 3. Proof of Lemma 1.3.

First, we show the following lemma.

**Lemma 3.1.** If the intersection form on  $Z^{H_1}$ -manifold  $M$  is indefinite, then there is a pair of elements  $x, y \in H_2(\tilde{M}; Z)$  such that the ordinary intersection numbers of  $x, y$  in  $\tilde{M}$  have

$$\text{Int}(x, x) = \text{Int}(y, y) = 0 \quad \text{and} \quad \text{Int}(x, y) = 1.$$

**Proof of Lemma 3.1.** For  $\Lambda = Z[t, t^{-1}]$ , we consider the subring

$$\Lambda^+ = \left\{ \frac{f(t)}{g(t)} \in Q(\Lambda) \mid f(t), g(t) \in \Lambda \text{ with } g(1) = \pm 1 \right\}$$

of the quotient field  $Q(\Lambda)$ . For a  $\Lambda$ -module  $H$ , we denote the  $\Lambda^+$ -module  $H \otimes_{\Lambda} \Lambda^+$  by  $H^+$ . The  $\Lambda$ -intersection number  $\text{Int}_{\Lambda}(x, y)$  for  $x, y \in H_2(\tilde{M}; Z)$  is defined by

$$\text{Int}_{\Lambda}(x, y) = \sum_{i=-\infty}^{+\infty} \text{Int}(x, t^{-i}y)t^i \in \Lambda,$$

which is extended to the  $\Lambda^+$ -intersection number  $\text{Int}_{\Lambda^+}(x^+, y^+)$  for any elements  $x^+, y^+$  of the second  $\Lambda^+$ -homology  $H_2(\tilde{M}; Z)^+$ . By [11, Lemma 3.6], we see that



the  $\Lambda^+$ -homology  $H_2(\tilde{M}; Z)^+$  of every  $Z^{H_1}$ -manifold  $M$  is a  $\Lambda^+$ -free module with a  $\Lambda^+$ -basis  $x_i^+$  ( $i = 1, 2, \dots, n$ ) such that the  $\Lambda^+$ -intersection numbers  $\text{Int}_{\Lambda^+}(x_i^+, x_j^+)$  are integers for all  $i, j$ . Since the intersection form  $\text{Int} : H_2(M; Z) \times H_2(M; Z) \rightarrow Z$  is indefinite (i.e., there is a non-zero element  $x_0 \in H_2(M; Z)$  with  $\text{Int}(x_0, x_0) = 0$ ), we may assume that  $\text{Int}_{\Lambda^+}(x_1^+, x_1^+) = 0$ ,  $\text{Int}_{\Lambda^+}(x_1^+, x_2^+) = 1$ ,  $\text{Int}_{\Lambda^+}(x_i^+, x_j^+) = 0$  ( $i = 1, 2, j = 3, 4, \dots, n$ ). We take elements  $g_i(t) \in \Lambda$  with  $g_i(1) = 1$  ( $i = 1, 2$ ) such that the elements  $x' = g_1(t)x_1^+, y' = g_2(t)x_2^+$  are in  $H_2(\tilde{M})$ . Then we have

$$\begin{aligned}\text{Int}_{\Lambda}(x', x') &= g_1(t^{-1})g_1(t)\text{Int}_{\Lambda^+}(x_1^+, x_1^+) = 0, \\ \text{Int}_{\Lambda}(x', y') &= g_1(t^{-1})g_2(t)\text{Int}_{\Lambda^+}(x_1^+, x_2^+) = g_1(t^{-1})g_2(t).\end{aligned}$$

Thus, if we let  $x = \sum_{i=-N}^N t^i x'$  for a large positive integer  $N$ , then we have

$$\text{Int}(x, x) = 0, \quad \text{and} \quad \text{Int}(x, y') = g_1(1)g_2(1) = 1.$$

If  $\text{Int}(y', y')$  is an even integer, say  $2m$ , then we take  $y = y' - mx$  to obtain a desired pair  $x, y$ . Otherwise, we can assume that  $\text{Int}(y', y') = 1$  by replacing  $y'$  with  $y' - mx$  when  $\text{Int}(y', y') = 2m + 1$ . The covering translated elements  $z = t^n(x)$  and  $w = t^n(y')$  for a large integer  $n$  are represented by 2-cycles disjoint from 2-cycles represented by  $x, y'$ . Since  $\text{Int}(z, z) = 0$  and  $\text{Int}(z, w) = \text{Int}(w, w) = 1$ , the pair of  $x$  and  $y = y' + z - w$  gives a desired pair.  $\square$

By the integral duality on the infinite cyclic covering  $\tilde{M}$  of a  $Z^{H_1}$ -manifold  $M$  (see [6]), we have  $H_3(\tilde{M}; Z) \cong Z$ , whose generator is called the fundamental class of the infinite cyclic covering  $\tilde{M} \rightarrow M$  (see [9]). By considering a closed oriented 3-manifold representing the fundamental class, we can complete the proof of Lemma 1.3.

**Completion of the proof of Lemma 1.3.** We shall find a 3-sphere  $S^3$  in  $\tilde{M}$  representing a generator of  $H_3(\tilde{M}; Z)$ . Then this 3-sphere  $S^3$  is embedded in the  $p$ -fold cyclic covering  $M^{(p)}$  of  $M$  for a large  $p$  so that  $S^3$  represents a generator of  $H_3(M^{(p)}; Z) \cong Z$  because the covering projection  $\tilde{M} \rightarrow M^{(p)}$  induces an isomorphism  $H_3(\tilde{M}; Z) \cong H_3(M^{(p)}; Z)$  by the Wang exact sequence. A generator of  $H_1(M^{(p)}; Z) \cong Z$  is represented by an embedded circle  $S^1$  in  $M^{(p)}$  intersecting  $S^3$  transversely in a single point. The regular neighborhood of the bouquet  $S^1 \vee S^3$  in  $M^{(p)}$  gives a connected summand  $S^1 \times S^3$  of  $M^{(p)}$ , so that  $M^{(p)}$  is TOP-split. Since  $\tilde{M}$  is a simply connected 4-manifold, the pair of  $x, y$  in Lemma 3.1 is represented by a pair of 2-spheres in  $M$  with geometric intersection number one by [2]. Thus,  $\tilde{M}$  has a connected summand  $S^2 \times S^2$ . Then we see that the  $q$ -fold cyclic covering  $M^{(q)}$  of  $M$  for a large  $q$  has a connected summand of  $S^2 \times S^2$ . Let  $M^{(q)} = M' \# S^2 \times S^2$  for a  $Z^{\pi_1}$ -manifold  $M'$ . It turns out that the  $Z^{\pi_1}$ -manifold  $M' \# kS^2 \times S^2$  for any

positive integer  $k$  has the infinite cyclic covering (non-equivariantly) homeomorphic to  $\tilde{M}$ . The connected sum  $M' \# kS^2 \times S^2$  for a large  $k$  is exact by [11, Theorem 2.4] and hence TOP-split by [10, Corollary 3.4]. We note that another proof of the TOP-splitting of the connected sum  $M' \# kS^2 \times S^2$  for a large  $k$  is known by Matumoto [12]. Then we can obtain a 3-sphere  $S^3$  in  $\tilde{M}$  representing a generator of  $H_3(\tilde{M}; Z)$ .  $\square$

There is also another proof of Lemma 1.3. For the proof, let  $M$  is a  $Z^{\pi_1}$ -manifold with indefinite intersection form. Then we have the Witt index

$$w(M) = \frac{\beta_2(M; Z) - |\text{sign}(M)|}{2} \geq 1.$$

Let  $M'$  be the  $d$ -fold cyclic covering of  $M$ . By the covering properties of Euler characteristic and signature, we have

$$\beta_2(M'; Z) = d \beta_2(M; Z) \quad \text{and} \quad \text{sign}(M') = d \text{sign}(M),$$

so that  $w(M') \geq d$ . Thus, we have the Witt index  $w(M') \geq 3$  for  $d \geq 3$ . Then Hambleton-Teichner in [4] observed that there is a  $\Lambda$ -basis  $x'_i (i = 1, 2, \dots, n')$  for the second homology  $H_2(\tilde{M}'; Z)$  of the infinite cyclic covering  $\tilde{M}'$  of  $M'$  such that the  $\Lambda$ -intersection  $\text{Int}_\Lambda(x'_i, x'_j)$  is an integer for all  $i, j$ . By [11],  $M'$  is exact and hence by [10, Corollary 3.4]  $M'$  is TOP-split, implying that  $M$  is virtually TOP-split.

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