

# A tabulation of 3-manifolds via Dehn surgery

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## Abstract

We show that every well-order of the set of lattice points induces an embedding from the set of closed connected orientable 3-manifolds into the set of links which is a right inverse of the 0-surgery map and this embedding induces further two embeddings from the set of closed connected orientable 3-manifolds into the well-ordered set of lattice points and into the set of link groups. In particular, the set of closed connected orientable 3-manifolds is a well-ordered set by a well-order inherited from the well-ordered set of lattice points and the homeomorphism problem on the 3-manifolds can be in principle replaced by the isomorphism problem on the link groups. To determine the embedding images of every 3-manifold, we propose a tabulation program on the well-ordered set of 3-manifolds which can be carried out inductively until a concrete pair of indistinguishable 3-manifolds occurs (if there is such a pair). As a demonstration, we tabulate 3-manifolds corresponding to the lattice points of lengths up to 7.

## 1. Introduction

There are two fundamental problems in the theory of 3-manifolds, that is, the homeomorphism problem and the classification problem (see J. Hempel [11, p.169]). The homeomorphism problem is the problem of giving an effective procedure for determining whether two given 3-manifolds are homeomorphic and the classification problem is the problem effectively generating a list containing exactly one 3-manifold from every (unoriented) type of 3-manifolds. In this paper, we consider the classification problem on closed connected orientable 3-manifolds by establishing an embedding from the set of closed connected orientable 3-manifolds into the set of links in the 3-sphere  $S^3$  which is a right inverse of the 0-surgery map. For this purpose, let  $\mathbb{Z}$  be the set of integers, and  $\mathbb{Z}^n$  the product of  $n$  copies of  $\mathbb{Z}$  whose elements  $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbb{Z}^n$  we will call *lattice points* of length  $\ell(\mathbf{x}) = n$ . The set  $\mathbb{X}$  of lattice points is the disjoint union of  $\mathbb{Z}^n$  for all  $n = 1, 2, 3, \dots$ . Let  $\Omega$  be any well-order in  $\mathbb{X}$ , although we define in §2 the canonical order<sup>1</sup>  $\Omega_c$ , a particular well-order in  $\mathbb{X}$  such that we have  $\mathbf{x} < \mathbf{y}$  for any  $\mathbf{x}, \mathbf{y} \in \mathbb{X}$  with  $\ell(\mathbf{x}) < \ell(\mathbf{y})$ . We are particularly interested in the delta set  $\Delta$ , a special subset of  $\mathbb{X}$  defined in §3 such that the lattice points of  $\Delta$  smaller than any given  $\mathbf{x} \in \mathbb{X}$  in  $\Omega_c$  form a finite set. The class of oriented links  $L'$  in  $S^3$  such that there is a homeomorphism  $h : S^3 \rightarrow S^3$  sending  $L$  to  $L'$  is called the *unoriented link type*  $[L]$  of an oriented link

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<sup>1</sup>The present definition is modified from the definition made in earlier research announcements to make an enumeration of lattice points easier.

$L$  in  $S^3$ , and the *oriented link type*  $\langle L \rangle$  of  $L$  if moreover  $h$  preserves the orientation of  $S^3$  and the orientations of  $L$  and  $L'$ . Let  $\mathbb{L}$  and  $\vec{\mathbb{L}}$  be the sets of unoriented link types and oriented link types in  $S^3$ , respectively. *A link type will be identified with a link belonging to the link type unless confusion might occur.* Thus,  $\mathbb{L}$  and  $\vec{\mathbb{L}}$  are understood as the sets of unoriented links and oriented links in  $S^3$ , respectively. We have a canonical surjection

$$\text{cl}\beta_\iota : \mathbb{X} \xrightarrow{\text{cl}\beta} \vec{\mathbb{L}} \xrightarrow{\iota} \mathbb{L}$$

sending a lattice point to the closure of the associated braid (see §2 for details), where  $\iota : \vec{\mathbb{L}} \rightarrow \mathbb{L}$  denotes the forgetful surjection, which simply ignores the orientations of  $S^3$  and links. On the other hand, every well-order  $\Omega$  in  $\mathbb{X}$  induces an injection

$$\sigma : \mathbb{L} \longrightarrow \mathbb{X}$$

which is a right inverse of  $\text{cl}\beta_\iota$ , so that  $\Omega$  defines a well-order in  $\mathbb{L}$ , also denoted by  $\Omega$ . This construction of  $\sigma$  is done in §2. In §3, we show that in the case of  $\Omega = \Omega_c$  the image  $\sigma(L)$  of a prime link  $L$  belongs to  $\Delta$ . In §4, we define the concept of a  $\pi$ -minimal link (depending on a choice of a well-order  $\Omega$  in  $\mathbb{X}$ ). Let  $\mathbb{L}^\pi$  be the subset of  $\mathbb{L}$  consisting of  $\pi$ -minimal links. Then we see that the restriction

$$\sigma|_{\mathbb{L}^\pi} : \mathbb{L}^\pi \longrightarrow \mathbb{X}$$

is an embedding (see Lemma 4.4). Since a  $\pi$ -minimal link is a prime link by definition, we see in the case of  $\Omega = \Omega_c$  that  $\sigma(\mathbb{L}^\pi) \subset \Delta$  and every initial segment of  $\mathbb{L}^\pi$  is a finite set. The *link group* of a link  $L$  in  $S^3$  is the fundamental group  $\pi_1 E(L)$  of the exterior  $E(L) = \text{cl}(S^3 - N(L))$  of  $L$  with  $N(L)$  a tubular neighborhood of  $L$  in  $S^3$ . Let  $\mathbb{G}$  be the set of the isomorphism types of the link groups for links in  $\mathbb{L}$ . *The isomorphism type of a group will be identified with a group belonging to the isomorphism type unless confusion might occur.* An *Artin presentation* is a finite group presentation

$$(x_1, x_2, \dots, x_n \mid x_i = w_i x_{p(i)} w_i^{-1}, i = 1, 2, \dots, n)$$

where  $p(1), p(2), \dots, p(n)$  are a permutation of  $1, 2, \dots, n$  and  $w_i$  ( $i = 1, 2, \dots, n$ ) are words in  $x_1, x_2, \dots, x_n$  which satisfy the identity

$$\prod_{i=1}^n x_i = \prod_{i=1}^n w_i x_{p(i)} w_i^{-1}$$

in the free group  $F$  on the letters  $x_1, x_2, \dots, x_n$ . Then we have a braid  $b \in B_n$  corresponding to the automorphism  $\varphi$  of  $F$  defined by

$$\varphi(x_i) = w_i x_{p(i)} w_i^{-1} \quad (i = 1, 2, \dots, n),$$

from which we see that the set  $\mathbb{G}$  is characterized as the set of groups with Artin presentation (see for example [15; p.83] as well as J. S. Birman [2;p.46]). If the closure  $\text{cl}(b)$  is prime or  $\pi$ -minimal, then we say that the Artin presentation is *prime* or  *$\pi$ -minimal*, respectively. For the map

$$\pi : \mathbb{L} \longrightarrow \mathbb{G}$$

sending every link  $L$  to the link group  $\pi_1 E(L)$ , we also see that the restriction

$$\pi|_{\mathbb{L}^\pi} : \mathbb{L}^\pi \longrightarrow \mathbb{G}$$

is an embedding (see Lemma (4.4)). Let  $\mathbb{M}$  and  $\overrightarrow{\mathbb{M}}$  be the sets of unoriented types and oriented types of closed connected oriented 3-manifolds, respectively. *The type of a closed connected oriented 3-manifold will be identified with a 3-manifold belonging to the type unless confusion might occur.* We define the map  $\chi_0 : \mathbb{L} \rightarrow \mathbb{M}$  by  $\chi_0(L) = \chi(L, 0)$ , where  $\chi(L, 0)$  denotes the 0-surgery manifold of  $L$ . The following result is our main theorem which is proved in §5:

*Theorem (1.1)* Every well-order  $\Omega$  of  $\mathbb{X}$  induces an embedding

$$\alpha : \mathbb{M} \longrightarrow \mathbb{L}^\pi \subset \mathbb{L}$$

and hence two embeddings

$$\begin{aligned} \sigma_\alpha = \sigma\alpha : \mathbb{M} &\longrightarrow \mathbb{X}, \\ \pi_\alpha = \pi\alpha : \mathbb{M} &\longrightarrow \mathbb{G} \end{aligned}$$

which satisfy properties (1) and (2) below:

- (1)  $\chi_0\alpha = 1$ .
- (2) If a lattice point  $\sigma_\alpha(M) \in \mathbb{X}$  is given, then the  $\pi$ -minimal link  $\alpha(M) \in \mathbb{L}$  with a braid presentation, the 3-manifold  $M \in \mathbb{M}$  with a 0-surgery description along a  $\pi$ -minimal link and the link group  $\pi_\alpha(M) \in \mathbb{G}$  with a  $\pi$ -minimal Artin presentation are determined.

Furthermore, when  $\Omega = \Omega_c$ , we have  $\sigma_\alpha(\mathbb{M}) \subset \Delta$  and the properties (3) and (4) below are obtained:

- (3) If a group  $\pi_\alpha(M)$  with a prime Artin presentation is given, then the lattice point  $\sigma_\alpha(M)$  is determined assuming a solution of the following problem:

*Problem.* Let  $\mathbf{x} \in \mathbb{X}$  be a lattice point induced from the prime Artin presentation of  $\pi_\alpha(M)$ , and  $\mathbf{x}_1 < \mathbf{x}_2 < \cdots < \mathbf{x}_n$  the lattice points in  $\Delta$  smaller than or equal to  $\mathbf{x}$ . Then find the smallest index  $i$  such that the link  $\text{cl}\beta(\mathbf{x}_i)$  is prime and there is an isomorphism  $\pi_1 E(\text{cl}\beta(\mathbf{x}_i)) \rightarrow \pi_\alpha(M)$ .

(4) If a 3-manifold  $M$  with the 0-surgery description along a  $\pi$ -minimal link  $L$  is given, then the lattice point  $\sigma_\alpha(M)$  is determined assuming a solution of the following problem:

*Problem.* Let  $\mathbf{x} \in \mathbb{X}$  be a lattice point induced from a  $\pi$ -minimal link  $L$ , and  $\mathbf{x}_1 < \mathbf{x}_2 < \cdots < \mathbf{x}_n$  the lattice points in  $\Delta$  smaller than or equal to  $\mathbf{x}$ . Then find the smallest index  $i$  such that the link  $\text{cl}\beta(\mathbf{x}_i)$  is  $\pi$ -minimal and the 0-surgery manifold  $\chi(\text{cl}\beta(\mathbf{x}_i), 0)$  is  $\chi(L, 0)$ .

The embedding  $\sigma_\alpha$  makes the set  $\mathbb{M}$  a well-ordered set by a well-order, inherited from the well-order  $\Omega$  of  $\mathbb{L}$  and denoted also by  $\Omega$ . The *length* of a 3-manifold  $M \in \mathbb{M}$  is the length of the lattice point  $\sigma_\alpha(M) \in \mathbb{X}$ . In §6, to determine the images  $\alpha(M)$ ,  $\sigma_\alpha(M)$  and  $\pi_\alpha(M)$  of every  $M \in \mathbb{M}$ , we take the canonical order  $\Omega_c$  and propose a classification program on  $\mathbb{M}$  based on Theorem 1.1 which we can carry out inductively until a concrete pair of indistinguishable 3-manifolds occurs (if there is such a pair). As a demonstration, we carry out this classification for 3-manifolds with lengths up to 7. The embedding  $\pi_\alpha$  implies that *two 3-manifolds  $M_i \in \mathbb{M}$  ( $i = 1, 2$ ) are homeomorphic if and only if the groups  $\pi_\alpha(M_i)$  ( $i = 1, 2$ ) are isomorphic*, and thus the homeomorphism problem on  $\mathbb{M}$  can be in principle replaced by the isomorphism problem on  $\mathbb{G}$  (see Remark (5.5)), although it appears difficult to calculate the group  $\pi_\alpha(M)$  of any given 3-manifold  $M \in \mathbb{M}$  apart from the classification program. A lifting of the embedding  $\alpha$  to the oriented version is discussed in §7 together with an observation on a relationship between oriented 3-manifold invariants and oriented link invariants.

This paper is a grow up version of a part of the research announcement “*Link corresponding to closed 3-manifold*”. A version of the remaining part will appear in [16] (see <http://www.sci.osaka-cu.ac.jp/~kawauchi/index.htm>). The author is grateful to Dr. Ikuo Tayama for finding errors from an earlier version of this paper and to the referees for finding further errors and for helpful comments.

## 2. Representing links in the set of lattice points

For a lattice point  $\mathbf{x} = (x_1, x_2, \dots, x_n)$  of length  $n$ , we denote the lattice points  $(x_n, \dots, x_2, x_1)$  and  $(|x_1|, |x_2|, \dots, |x_n|)$  by  $\mathbf{x}^T$  and  $|\mathbf{x}|$ , respectively. Let  $|\mathbf{x}|_N$  be a permutation  $(|x_{j_1}|, |x_{j_2}|, \dots, |x_{j_n}|)$  of the coordinates  $|x_j|$  ( $j = 1, 2, \dots, n$ ) of  $|\mathbf{x}|$  such that  $|x_{j_1}| \leq |x_{j_2}| \leq \cdots \leq |x_{j_n}|$ . For convenience, we use  $k^n$  for the lattice point of length  $n$  with  $k$  for every coordinate and  $-k^n$  for  $(-k)^n$ . The integers  $\min_{1 \leq i \leq n} |x_i|$  and  $\max_{1 \leq i \leq n} |x_i|$  are also denoted by  $\min |\mathbf{x}|$  and  $\max |\mathbf{x}|$ , respectively. Further, we define the *dual* lattice point  $\delta(\mathbf{x}) = (x'_1, x'_2, \dots, x'_n)$  of  $\mathbf{x}$  by

$$x'_i = \begin{cases} \text{sign}(x_i)(\max |\mathbf{x}| + 1 - |x_i|) & x_i \neq 0 \\ 0 & x_i = 0. \end{cases}$$

Defining  $\delta^0(\mathbf{x}) = \mathbf{x}$  and  $\delta^n(\mathbf{x}) = \delta(\delta^{n-1}(\mathbf{x}))$  inductively, we note that  $\delta^2(\mathbf{x}) \neq \mathbf{x}$  in general, but  $\delta^{n+2}(\mathbf{x}) = \delta^n(\mathbf{x})$  for all  $n \geq 1$ . For example, taking  $\mathbf{x} = (2^3, 3, -2, 3)$ , we have  $\delta^{2m-1}(\mathbf{x}) = (2^3, 1, -2, 1)$  and  $\delta^{2m}(\mathbf{x}) = (1^3, 2, -1, 2)$  for all  $m \geq 1$ . For a lattice point  $\mathbf{y} = (y_1, y_2, \dots, y_m)$  of length  $m$ , we denote by  $(\mathbf{x}, \mathbf{y})$  the lattice point  $(x_1, x_2, \dots, x_n, y_1, y_2, \dots, y_m)$  of length  $n + m$ . Let  $\vec{\mathbb{L}}$  be the set of oriented links. By the Alexander theorem (see J. S. Birman [2]), every oriented link  $L$  is represented by the closure  $\text{cl}(b)$  of an  $s$ -string braid  $b \in B_s$  for some  $s \geq 1$ . The braiding algorithm of S. Yamada [23] would be useful to deform a link into a closed braid form. Let  $\sigma_i$  ( $i = 1, 2, \dots, s - 1$ ) be the standard generators of the  $s$ -string braid group  $B_s$ . By convention, we regard the sign of the crossing point of the diagram  $\sigma_i$  as  $+1$ . We consider that every braid  $b$  in  $B_s$  is written as a word on the letters  $\sigma_i$  ( $i = 1, 2, \dots, s - 1$ ). When  $b$  is not written as 1, we write

$$b = \sigma_{i_1}^{\epsilon_1} \sigma_{i_2}^{\epsilon_2} \dots \sigma_{i_r}^{\epsilon_r}, \quad \epsilon_i = \pm 1 \quad (i = 1, 2, \dots, r).$$

Then we define the *lattice point*  $\mathbf{x}(b)$  of the braid  $b$  by the identity

$$\mathbf{x}(b) = (\epsilon_1 i_1, \epsilon_2 i_2, \dots, \epsilon_r i_r) \in \mathbb{Z}^r \subset \mathbb{X}.$$

When  $b$  is written as 1, we understand that  $\mathbf{x}(b) = 0 \in \mathbb{Z} \subset \mathbb{X}$ . For a non-zero lattice point  $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbb{X}$ , let  $x_{i_j}$  ( $j = 1, 2, \dots, m; i_1 < i_2 < \dots < i_m$ ) be the set of the non-zero integers in the coordinates  $x_i$  ( $i = 1, 2, \dots, n$ ) of  $\mathbf{x}$ . Then the lattice point  $\tilde{\mathbf{x}} = (x_{i_1}, x_{i_2}, \dots, x_{i_m})$  is called the *core* of  $\mathbf{x}$ . When  $\mathbf{x}$  is a zero lattice point, we understand the core  $\tilde{\mathbf{x}} = 0$ . We note that for every non-zero lattice point  $\mathbf{x}$ , there is a unique braid  $b \in B_s$  for every  $s \geq \max |\mathbf{x}| + 1$  such that  $\mathbf{x}(b) = \tilde{\mathbf{x}}$ . The braid  $b$  is called *the associated braid with index  $s$*  of  $\mathbf{x}$  and denoted by  $\beta^{(s)}(\mathbf{x})$ , and in particular for  $s = \max |\mathbf{x}| + 1$ , called *the associated braid* of  $\mathbf{x}$  and denoted by  $\beta(\mathbf{x})$ . The associated braid with index  $s$  of any zero lattice point of  $\mathbb{X}$  is understood as  $1 \in B_s$ , and in particular the associated braid as  $1 \in B_1$ . Taking the closure  $\text{cl}\beta(\mathbf{x})$  of the braid  $\beta(\mathbf{x})$ , we obtain a surjection

$$\text{cl}\beta : \mathbb{X} \longrightarrow \vec{\mathbb{L}}.$$

Then every well-order  $\Omega$  in  $\mathbb{X}$  defines an injection (which is a right inverse of the map  $\text{cl}\beta$ )

$$\vec{\sigma} : \vec{\mathbb{L}} \longrightarrow \mathbb{X}$$

by sending to a link  $L$  to the initial element of the subset  $\{\mathbf{x} \in \mathbb{X} | \text{cl}\beta(\mathbf{x}) = L\}$  of  $\mathbb{X}$  indicated by  $\Omega$ . By definition, the closed braid  $\text{cl}\beta^{(s)}(\mathbf{x})$  with  $s > \max |\mathbf{x}| + 1$  is obtained from the closed braid  $\text{cl}\beta(\mathbf{x})$  by adding a trivial link of  $(s - \max |\mathbf{x}| - 1)$  components. We introduce an equivalence relation  $\sim$  in  $\mathbb{X}$  as follows:

*Definition (2.1)* Two lattice points  $\mathbf{x}$  and  $\mathbf{y}$  in  $\mathbb{X}$  are related as  $\mathbf{x} \sim \mathbf{y}$  if we have  $\text{cl}\beta(\mathbf{x}) = \text{cl}\beta(\mathbf{y})$  in  $\vec{\mathbb{L}}$  modulo split additions of trivial links.

Clearly the relation  $\sim$  is an equivalence relation in  $\mathbb{X}$ . Let  $\mathbb{X}/\sim$  be the quotient set of  $\mathbb{X}$  by  $\sim$ , and  $\langle \mathbf{x} \rangle$  the equivalence class of a lattice point  $\mathbf{x} \in \mathbb{X}$  by  $\sim$ . The quotient map

$$\vec{\sigma}_\sim : \vec{\mathbb{L}} \longrightarrow \mathbb{X}/\sim$$

has the identity  $\vec{\sigma}_\sim(\text{cl}(b)) = \langle \mathbf{x}(b) \rangle$  and is a bijection from the quotient set of  $\vec{\mathbb{L}}$  modulo split additions of trivial links onto  $\mathbb{X}/\sim$ . In particular,  $\vec{\sigma}_\sim$  is independent of a choice of  $\Omega$ . We can describe the equivalence relation  $\sim$  only in terms of  $\mathbb{X}$  by using the braid group presentation and the Markov theorem (see J. S. Birman [2]), as stated in the following lemma:

*Lemma (2.2)* The relations (1)-(6) below are in the equivalence relation  $\sim$  in  $\mathbb{X}$ . Concersely, if we have  $\mathbf{x} \sim \mathbf{y}$  in  $\mathbb{X}$ , then  $\mathbf{y}$  is obtained from  $\mathbf{x}$  by applying the relations (1)-(6) finitely often.

- (1)  $(\mathbf{x}, 0) \sim \mathbf{x}$ ,  $\mathbf{x} \sim (\mathbf{x}, 0)$  for all  $\mathbf{x} \in \mathbb{X}$ ,
- (2)  $(\mathbf{x}, \mathbf{y}, -\mathbf{y}^T) \sim \mathbf{x}$ ,  $\mathbf{x} \sim (\mathbf{x}, \mathbf{y}, -\mathbf{y}^T)$  for all  $\mathbf{x}, \mathbf{y} \in \mathbb{X}$ ,
- (3)  $(\mathbf{x}, y) \sim \mathbf{x}$ ,  $\mathbf{x} \sim (\mathbf{x}, y)$  for all  $\mathbf{x} \in \mathbb{X}$  and  $y \in \mathbb{Z}$  such that  $|y| > \max |\mathbf{x}|$ ,
- (4)  $(\mathbf{x}, \mathbf{y}, \mathbf{z}) \sim (\mathbf{x}, \mathbf{z}, \mathbf{y})$  for all  $\mathbf{x}, \mathbf{y}, \mathbf{z} \in \mathbb{X}$  such that  $\min |\mathbf{y}| > \max |\mathbf{z}| + 1$  or  $\min |\mathbf{z}| > \max |\mathbf{y}| + 1$ ,
- (5)  $(\mathbf{x}, \varepsilon y, y + 1, y) \sim (\mathbf{x}, y + 1, y, \varepsilon(y + 1))$  for all  $\mathbf{x} \in \mathbb{X}$  and  $y \in \mathbb{Z}$  such that  $y(y + 1) \neq 0$  and  $\varepsilon = \pm 1$ ,
- (6)  $(\mathbf{x}, \mathbf{y}) \sim (\mathbf{y}, \mathbf{x})$  for all  $\mathbf{x}, \mathbf{y} \in \mathbb{X}$ .

*Proof.* The relation (1) is in  $\sim$  since  $\beta(\mathbf{x}, 0) = \beta(\mathbf{x})$ . For (2), we take  $\beta^{(s)}(\mathbf{x})$  and  $\beta^{(s)}(\mathbf{y})$  in  $B_s$  for some  $s$ . Then we have

$$\beta^{(s)}(\mathbf{x}, \mathbf{y}, -\mathbf{y}^T) = \beta^{(s)}(\mathbf{x})\beta^{(s)}(\mathbf{y})\beta^{(s)}(\mathbf{y})^{-1} = \beta^{(s)}(\mathbf{x})$$

in  $B_s$  and hence

$$\text{cl}\beta(\mathbf{x}, \mathbf{y}, -\mathbf{y}^T) = \text{cl}\beta(\mathbf{x})$$

in  $\vec{\mathbb{L}}$  modulo split additions of trivial links, showing that the relation (2) is in  $\sim$ . For (3), let  $s = |y| + 1$ . Then by the Markov theorem,

$$\text{cl}\beta(\mathbf{x}, y) = \text{cl}\beta^{(s)}(\mathbf{x})$$

in  $\vec{\mathbb{L}}$  and the last link is equal to  $\text{cl}\beta(\mathbf{x})$  modulo split additions of trivial links, showing that the relation (3) is in  $\sim$ . For (4), we take  $\beta^{(s)}(\mathbf{x})$ ,  $\beta^{(s)}(\mathbf{y})$  and  $\beta^{(s)}(\mathbf{z})$  in  $B_s$  for some  $s$ . By the assumption on  $\mathbf{y}$  and  $\mathbf{z}$ , we have

$$\beta^{(s)}(\mathbf{x}, \mathbf{y}, \mathbf{z}) = \beta^{(s)}(\mathbf{x})\beta^{(s)}(\mathbf{y})\beta^{(s)}(\mathbf{z}) = \beta^{(s)}(\mathbf{x})\beta^{(s)}(\mathbf{z})\beta^{(s)}(\mathbf{y}) = \beta^{(s)}(\mathbf{x}, \mathbf{z}, \mathbf{y})$$

in  $B_s$  which shows that

$$\text{cl}\beta(\mathbf{x}, \mathbf{y}, \mathbf{z}) = \text{cl}\beta(\mathbf{x}, \mathbf{z}, \mathbf{y})$$

in  $\overrightarrow{\mathbb{L}}$  modulo split additions of trivial links. Thus, the relation (4) is in  $\sim$ . For (5), consider  $\beta^{(s)}(\mathbf{x})$  and  $\sigma_j^{\varepsilon'}$  ( $j = |y|$ ,  $\varepsilon' = \text{sign}(y)$ ) in  $B_s$  for some  $s$ . Let  $\varepsilon' = +1$ . Then

$$\beta^{(s)}(\mathbf{x}, \varepsilon y, y + 1, y) = \beta^{(s)}(\mathbf{x}) \sigma_j^{\varepsilon} \sigma_{j+1} \sigma_j$$

and the last braid is equal to

$$\beta^{(s)}(\mathbf{x}) \sigma_{j+1} \sigma_j \sigma_{j+1}^{\varepsilon} = \beta^{(s)}(\mathbf{x}, y + 1, y, \varepsilon(y + 1))$$

in  $B_s$  by a well-known braid relation. Hence we have

$$\text{cl}\beta(\mathbf{x}, \varepsilon y, y + 1, y) = \text{cl}\beta(\mathbf{x}, y + 1, y, \varepsilon(y + 1))$$

in  $\overrightarrow{\mathbb{L}}$  modulo split additions of trivial links, showing that the relation (5) is in  $\sim$ . For  $\varepsilon' = -1$ , a similar argument gives the desired result since  $\text{sign}(y + 1) = -1$  by assumption. For (6), let  $\beta^{(s)}(\mathbf{x})$  and  $\beta^{(s)}(\mathbf{y})$  in  $B_s$  for some  $s$ . Then we have

$$\text{cl}\beta^{(s)}(\mathbf{x})\beta^{(s)}(\mathbf{y}) = \text{cl}\beta^{(s)}(\mathbf{y})\beta^{(s)}(\mathbf{x})$$

by the Markov theorem and hence

$$\text{cl}\beta(\mathbf{x}, \mathbf{y}) = \text{cl}\beta(\mathbf{y}, \mathbf{x})$$

in  $\overrightarrow{\mathbb{L}}$  modulo split additions of trivial links, showing that the relation (6) is in  $\sim$ .

Next, we assume  $\mathbf{x} \sim \mathbf{y}$ . By the relations (1) and (6), we assume  $\tilde{\mathbf{x}} = \mathbf{x}$  and  $\tilde{\mathbf{y}} = \mathbf{y}$ . Let  $b = \beta(\mathbf{x})$  and  $b' = \beta(\mathbf{y})$  be the associated braids. We show that if  $b = b'$  in  $B_s$  for an index  $s$ , then we can change  $\mathbf{x}$  into  $\mathbf{y}$  by finitely many applications of the relations (2), (4), (5) and (6). We use the group presentation of  $B_s$  with generators  $\sigma_i$  ( $i = 1, 2, \dots, s - 1$ ) and relators

$$(i) \sigma_i \sigma_j \sigma_i^{-1} \sigma_j^{-1} \quad (|i - j| \geq 2) \quad \text{and} \quad (ii) \sigma_i \sigma_{i+1} \sigma_i \sigma_{i+1}^{-1} \sigma_i^{-1} \sigma_{i+1}^{-1} \quad (1 \leq i \leq s - 2)$$

(see [2]). Let  $F$  be the free group on the letters  $\sigma_i$  ( $i = 1, 2, \dots, s - 1$ ). If  $b = b'$  in  $F$ , then the solution of the word problem on  $F$  guarantees us to change  $\mathbf{x}$  into  $\mathbf{y}$  by finitely many applications of the relations (2) and (6). If  $b = b'$  in  $B_s$ , then the word  $b(b')^{-1}$  is written in the form

$$b(b')^{-1} = \prod_{k=1}^n R_k^{\varepsilon_k} W_k$$

in  $F$ , where  $R_k^{\varepsilon_k} W_k = W_k R_k^{\varepsilon_k} W_k^{-1}$  for  $\varepsilon_k = \pm 1$  and  $R_k$  denotes a relator of the type (i) or (ii) and  $W_k$  is a word in  $F$  written on the letters  $\sigma_i$  ( $i = 1, 2, \dots, s - 1$ ). Thus,  $(\mathbf{x}, -\mathbf{y}^T)$  is changed into

$$\mathbf{a} = (\mathbf{x}(R_1^{\varepsilon_1} W_1), \mathbf{x}(R_2^{\varepsilon_2} W_2), \dots, \mathbf{x}(R_n^{\varepsilon_n} W_n))$$

by finitely many applications of the relations (2) and (6). Since we can change  $\mathbf{x}$  into  $(\mathbf{x}, -\mathbf{y}^T, \mathbf{y}) = (\mathbf{a}, \mathbf{y})$  by the relation (2), we may consider  $b(b')^{-1}b' = \beta(\mathbf{a}, \mathbf{y})$  instead of  $b = \beta(\mathbf{x})$ . We note that

$$\mathbf{x}(R_k) = (i, j, -i, -j), \quad \mathbf{x}(R_k^{-1}) = (j, i, -j, -i)$$

for the relator (i) and

$$\begin{aligned} \mathbf{x}(R_k) &= (i, i+1, i, -(i+1), -i, -(i+1)), \\ \mathbf{x}(R_k^{-1}) &= (i+1, i, i+1, -i, -(i+1), -i) \end{aligned}$$

for the relator (ii). Since

$$\mathbf{x}(R_k^{\varepsilon_k W_k}) = (\mathbf{x}(W_k), \mathbf{x}(R_k^{\varepsilon_k}), -\mathbf{x}(W_k)^T),$$

we see that  $(\mathbf{a}, \mathbf{y})$  is changed into  $\mathbf{y}$  by finitely many applications of the relations (2), (4), (5) and (6). Thus, in the case that  $b = b'$  in  $B_s$  for an index  $s$ , we showed that  $\mathbf{x}$  can be changed into  $\mathbf{y}$  by finitely many applications of the relations (2), (4), (5) and (6).

Now we consider the general case of  $b$  and  $b'$ . Applying the relation (3) to  $\mathbf{x}$  or  $\mathbf{y}$ , we can assume that  $\text{cl}(b) = \text{cl}(b')$  in  $\overrightarrow{\mathbb{L}}$ . Then the Markov theorem says that we have  $b = b'$  in  $B_s$  with a suitable index  $s$  after finitely many applications of the Markov equivalences:

$$\begin{aligned} b_1 b_2 &\leftrightarrow b_2 b_1 \quad (b_1, b_2 \in B_m), \\ b \sigma_m^{\pm 1} &\leftrightarrow b \quad (b \in B_m \subset B_{m+1}) \end{aligned}$$

for any  $m$ . This is equivalent to saying that  $b = b' \in B_s$  after finitely many applications of the relations (3) and (6) besides the relations (2), (4), (5) and (6) to  $\mathbf{x}$  and  $\mathbf{y}$ . Thus,  $\mathbf{x}$  is changed into  $\mathbf{y}$  by finitely many applications of the relations (2), (3), (4), (5) and (6).  $\square$

Composing the forgetful surjection  $\iota : \overrightarrow{\mathbb{L}} \rightarrow \mathbb{L}$  to the map  $\text{cl}\beta$ , we obtain a canonical surjection

$$\text{cl}\beta_\iota : \mathbb{X} \rightarrow \mathbb{L}$$

and an injection which is a right inverse of  $\text{cl}\beta_\iota$

$$\sigma : \mathbb{L} \longrightarrow \mathbb{X}$$

sending an unoriented link  $L$  to the initial element of the subset  $\{\mathbf{x} | \text{cl}\beta_\iota(\mathbf{x}) = L\}$  of  $\mathbb{X}$  indicated by  $\Omega$ . The *length* of a link  $L \in \mathbb{L}$  is the length of the lattice point  $\sigma(L)$ . By the rule that  $L_1 < L_2$  if and only if  $\sigma(L_1) < \sigma(L_2)$ , a well-order in  $\mathbb{L}$  is defined. Since the map  $\sigma$  is induced from  $\Omega$ , we may say that this well-order in  $\mathbb{L}$  is

induced from  $\Omega$  and denoted also by  $\Omega$ . We also introduce an equivalence relation  $\approx$  in  $\mathbb{X}$  more relaxed than  $\sim$ .

*Definition (2.3)* Two lattice points  $\mathbf{x}$  and  $\mathbf{y}$  in  $\mathbb{X}$  are related as  $\mathbf{x} \approx \mathbf{y}$  if we have  $\text{cl}\beta(\mathbf{x}) = \text{cl}\beta(\mathbf{y})$  in  $\mathbb{L}$  modulo split additions of trivial links.

It is straightforward to see that the relation  $\approx$  is an equivalence relation in  $\mathbb{X}$ . The quotient map

$$\sigma_{\approx} : \mathbb{L} \longrightarrow \mathbb{X}/\approx$$

is independent of a choice of  $\Omega$  and induces a bijection from the quotient set of  $\mathbb{L}$  modulo split additions of trivial links onto  $\mathbb{X}/\approx$ . For the natural surjection  $\mathbb{X}/\sim \rightarrow \mathbb{X}/\approx$  also denoted by  $\iota$ , we have the following commutative square:

$$\begin{array}{ccc} \vec{\mathbb{L}} & \xrightarrow{\vec{\sigma}_{\sim}} & \mathbb{X}/\sim \\ \downarrow \iota & & \downarrow \iota \\ \mathbb{L} & \xrightarrow{\sigma_{\approx}} & \mathbb{X}/\approx . \end{array}$$

In this diagram, we denote  $\iota\langle \mathbf{x} \rangle$  by  $[\mathbf{x}]$ . Then we have the identity  $\sigma_{\approx}(\text{cl}(b)) = [\mathbf{x}(b)]$ . To determine the class  $[\mathbf{x}] \in \mathbb{X}/\approx$ , it is desired to describe the equivalence relation  $\approx$  only in terms of  $\mathbb{X}$ . At present, only what we can say about  $\approx$  is the following lemma:

*Lemma (2.4)* We have the following (1) and (2):

- (1) For any  $\mathbf{x}, \mathbf{y} \in \mathbb{X}$  such that  $\mathbf{x} \sim \mathbf{y}$ , we have  $\mathbf{x} \approx \mathbf{y}$ .
- (2) For all  $\mathbf{x} \in \mathbb{X}$ , we have  $\mathbf{x} \approx \mathbf{x}^T \approx -\mathbf{x} \approx -\mathbf{x}^T$ .

*Proof.* (1) follows directly from the surjection  $\iota : \mathbb{X}/\sim \rightarrow \mathbb{X}/\approx$ . For (2), let  $-L$  denote the inverse of an oriented link  $L$ , and  $\pm\bar{L}$  the mirror image of  $\pm L$ . Then we have  $L = -L = \bar{L} = -\bar{L}$  in  $\mathbb{L}$ . Taking  $L = \text{cl}(b)$  for a braid  $b$ , we have

$$\vec{\sigma}_{\sim}(L) = \langle \mathbf{x}(b) \rangle, \vec{\sigma}_{\sim}(-L) = \langle \mathbf{x}(b)^T \rangle, \vec{\sigma}_{\sim}(\bar{L}) = \langle -\mathbf{x}(b) \rangle, \vec{\sigma}_{\sim}(-\bar{L}) = \langle -\mathbf{x}(b)^T \rangle.$$

Then the commutative square preceding to Lemma (2.4) shows (2).  $\square$

The following remark means that (1) and (2) of Lemma (2.4) are sufficient to characterize the equivalence relation  $\approx$  in the set of knots:

*Remark (2.5)* Let  $\mathbb{X}_1$  be the subset of  $\mathbb{X}$  consisting of lattice points  $\mathbf{x}$  such that  $\text{cl}\beta(\mathbf{x})$  is a knot. Then every relation  $\mathbf{x} \approx \mathbf{y}$  for  $\mathbf{x}, \mathbf{y} \in \mathbb{X}_1$  is generated by the equivalence relation  $\sim$  and the relations in (2) of Lemma (2.4). In fact, let  $K = \text{cl}\beta(\mathbf{x})$  and  $K' = \text{cl}\beta(\mathbf{y})$ . If  $\mathbf{x} \approx \mathbf{y}$ , then we have  $[K] = [K']$  modulo split additions of trivial links. Then there is an oriented knot  $K''$  which is one of the knots  $\pm K$  or  $\pm\bar{K}$  such

that  $K'' = K'$  in  $\overrightarrow{\mathbb{L}}$  modulo split additions of trivial links. Thus, we have  $\mathbf{z} \sim \mathbf{y}$  for a lattice point  $\mathbf{z}$  which is one of  $\pm\mathbf{x}, \pm\mathbf{x}^T$ . More generally, for oriented links  $L, L'$  in  $S^3$ , we have  $L = L'$  in  $\mathbb{L}$  modulo split additions of trivial links if and only if we have  $L = L'$  in  $\overrightarrow{\mathbb{L}}$  modulo split additions of trivial links after a suitable choice of orientations of  $L$  and  $S^3$ . By Lemma (2.4), this implies that in order to know the class  $\sigma_{\approx}(L) \in \mathbb{X}/\approx$  of an oriented link  $L$  in  $S^3$  with  $r(\geq 2)$ -components  $K_i$  ( $i = 1, 2, \dots, r$ ), it suffices to know a braid presentation of the link  $(-L') \cup (L \setminus L')$  for every sublink  $L'$  of  $L$  with  $1 \leq \#L' \leq \frac{r}{2}$  besides a braid presentation of  $L$ , where  $\#L'$  denotes the number of components of  $L'$ .

We now define the *canonical order*  $\Omega_c$  in  $\mathbb{X}$ . We define a well-order in  $\mathbb{Z}$  by  $0 < 1 < -1 < 2 < -2 < 3 < -3 < \dots$  and extend it to a well-order in  $\mathbb{Z}^n$  for every  $n \geq 2$  as follows: Namely, for  $\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{Z}^n$  we define  $\mathbf{x}_1 < \mathbf{x}_2$  if we have one of the following conditions (1)-(3):

- (1)  $|\mathbf{x}_1|_N < |\mathbf{x}_2|_N$  by the lexicographic order (on the natural number order).
- (2)  $|\mathbf{x}_1|_N = |\mathbf{x}_2|_N$  and  $|\mathbf{x}_1| < |\mathbf{x}_2|$  by the lexicographic order (on the natural number order).
- (3)  $|\mathbf{x}_1| = |\mathbf{x}_2|$  and  $\mathbf{x}_1 < \mathbf{x}_2$  by the lexicographic order on the well-order of  $\mathbb{Z}$  defined above.

Finally, for any two lattice points  $\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{X}$  with  $\ell(\mathbf{x}_1) < \ell(\mathbf{x}_2)$ , we define  $\mathbf{x}_1 < \mathbf{x}_2$ .

Then *this order*  $\Omega_c$  makes  $\mathbb{X}$  a *well-ordered set*. In fact, let  $S$  be any non-empty subset of  $\mathbb{X}$ . Let  $S_\ell$  be the subset of  $S$  consisting of lattice points with the smallest length, say  $n$ . Since  $\mathbb{Z}^n$  is a well-ordered set as defined above, we can find the initial lattice point of  $S_\ell$  which is the initial lattice point of  $S$  by definition. The following lemma is useful in an actual tabulation of prime links.

*Lemma (2.6)* Let  $L$  be a link without a splittable component of the trivial knot. Then in the canonical order  $\Omega_c$ , the lattice point  $\sigma(L)$  is the initial element of the equivalence class  $[\sigma(L)] \in \mathbb{X}/\approx$ . In particular, we have  $\text{cl}\beta(\sigma(L)) = L$ .

*Proof.* Let  $\mathbf{x}$  be the initial element of  $[\sigma(L)]$ . Suppose that  $\text{cl}\beta(\mathbf{x})$  has a splittable component of the trivial knot  $O$ . If a crossing point of the closed braid diagram  $\text{cl}\beta(\mathbf{x})$  is in  $O$ , then there is a shorter length lattice point  $\mathbf{x}'$  such that  $\text{cl}\beta(\mathbf{x}')$  is obtained from the diagram  $\text{cl}\beta(\mathbf{x})$  by removing the component  $O$ , contradicting the minimality of  $\mathbf{x}$ . If there are no crossing point in  $O$ , then we see from the definition of  $\beta$  that there is a lattice point  $\mathbf{x}'$  with  $\mathbf{x}' < \mathbf{x}$  such that  $\text{cl}\beta(\mathbf{x}')$  is obtained from  $\text{cl}\beta(\mathbf{x})$  by removing the component  $O$ , contradicting the minimality of  $\mathbf{x}$ . Thus, we have  $\text{cl}\beta(\mathbf{x}) = L$ . By definition, we have  $\sigma(L) = \mathbf{x}$ .  $\square$

### 3. The range of prime links in the canonical order

In this section, we consider  $\mathbb{X}$  ordered by the canonical order  $\Omega_c$  unless otherwise

stated. A lattice point  $\mathbf{x} \in \mathbb{X}$  is *minimal* if  $\mathbf{x}$  is the initial element of the class  $[\mathbf{x}]$  in  $\Omega_c$ . A *prime* link is a link which is neither a splittable link nor a connected sum of two non-trivial links. Let  $\mathbb{L}^p$  be the subset of  $\mathbb{L}$  consisting of prime links. By Lemma (2.6), the lattice point  $\sigma(L)$  is minimal for every prime link  $L$ . The following relations are consequences of the relations in Lemma (2.2) and useful in finding minimal lattice points :

*Lemma (3.1)*

- (1) (Duality relation) For any lattice point  $\mathbf{x}$ , we have  $\mathbf{x} \sim \delta(\mathbf{x})$ .
- (2) (Flype relation) For any lattice points  $\mathbf{x}, \mathbf{y}$  with  $\min |\mathbf{x}| \geq 2, \min |\mathbf{y}| \geq 2$ , any integer  $m \geq 1$  and  $\varepsilon', \varepsilon = \pm 1$ , we have  $(\varepsilon^m, \mathbf{x}, \varepsilon', \mathbf{y}) \sim (\varepsilon^m, \mathbf{y}, \varepsilon', \mathbf{x})$ .
- (3) For any lattice points  $\mathbf{x}, \mathbf{z}$ , any integers  $m, y \in \mathbb{Z}$  with  $m \geq 1, y(y+1) \neq 0$  and  $\varepsilon = \pm 1$ , we have

$$\begin{aligned} (\mathbf{x}, \varepsilon y^m, y+1, y, \mathbf{z}) &\sim (\mathbf{x}, y+1, y, \varepsilon(y+1)^m, \mathbf{z}), \\ (\mathbf{x}, y, \varepsilon(y+1)^m, -y, \mathbf{z}) &\sim (\mathbf{x}, -(y+1), \varepsilon y^m, y+1, \mathbf{z}). \end{aligned}$$

*Proof.* For (1), we note that the lattice point  $\delta(\mathbf{x})$  is obtained by changing the usual indices  $1, 2, \dots, m$  of the strings of the associated braid  $b = \beta(\mathbf{x})$  into  $m, m-1, \dots, 1$  and then overturning the braid diagram, where  $m = \max |\mathbf{x}| + 1$  by definition. Since this deformation does not change the link type of  $\text{cl}(b)$  in  $\overline{\mathbb{L}}$ , we have  $\mathbf{x} \sim \delta(\mathbf{x})$  by Definition (2.1). For (2), the closed braid diagrams of the lattice points  $(\mathbf{y}, \varepsilon^m, \mathbf{x}, \varepsilon')$  and  $(\mathbf{y}, \varepsilon', \mathbf{x}, \varepsilon^m)$  are in the braid-preserving flype relation (see J. S. Birman-W. W. Menasco [3]) [To understand this easier, we number the strings of the closed braid diagram so that the most inside string is 1]. Hence they are related by the relation  $\sim$ . Since these lattice points are related to  $(\varepsilon^m, \mathbf{x}, \varepsilon', \mathbf{y})$  and  $(\varepsilon^m, \mathbf{y}, \varepsilon', \mathbf{x})$  respectively by a relation in Lemma (2.2), the desired relation is obtained. For (3), the first equivalence is proved by induction on  $m$  using (5),(6) of Lemma (2.2). The second equivalence follows from (2),(6) of Lemma (2.2) and the first equivalence as follows:

$$\begin{aligned} (\mathbf{x}, y, \varepsilon(y+1)^m, -y, \mathbf{z}) &\sim (\mathbf{x}, -(y+1), y+1, y, \varepsilon(y+1)^m, -y, \mathbf{z}) \\ &\sim (\mathbf{x}, -(y+1), \varepsilon y^m, y+1, y, -y, \mathbf{z}) \\ &\sim (\mathbf{x}, -(y+1), \varepsilon y^m, y+1, \mathbf{z}). \quad \square \end{aligned}$$

To limit the image  $\sigma(\mathbb{L}^p) \subset \mathbb{X}$ , we introduce the delta set  $\Delta$  as follows:

*Definition (3.2)* The *delta set*  $\Delta$  is the subset of  $\mathbb{X}$  consisting of

$$0(\in \mathbb{Z}), \quad 1^n(n \geq 2)$$

and all the lattice points  $\mathbf{x} = (x_1, x_2, \dots, x_n)$  ( $n \geq 4$ ) which satisfy all the following conditions (1)-(8):

- (1)  $x_1 = 1$ ,  $|x_n| \geq 2$ ,  $n/2 \geq \max |\mathbf{x}| \geq 2$  and  $\min |\mathbf{x}| \geq 1$ .
- (2) For every integer  $k$  with  $1 < k < \max |\mathbf{x}|$ , there is an index  $i$  such that  $|x_i| = k$ .
- (3) Every lattice point obtained from  $\mathbf{x}$  by permuting the coordinates of  $\mathbf{x}$  cyclically is not of the form  $(\mathbf{x}', \mathbf{x}'')$  where  $1 \leq \max |\mathbf{x}'| < \min |\mathbf{x}''|$ .
- (4) If  $|x_i| > |x_{i+1}|$ , then  $|x_i| - 1 = |x_{i+1}|$ .
- (5) If  $|x_i| = |x_{i+1}|$ , then  $\text{sign}(x_i) = \text{sign}(x_{i+1})$ .
- (6) If  $|(x_i, x_{i+1}, \dots, x_{i+m+1})| = (k, (k+1)^m, k)$ ,  $(k^m, k+1, k)$  or  $(k, k+1, k^m)$  for some  $k, m \geq 1$  and  $|x_j| \neq k$  for all  $j < i$  and  $j > i+m+1$ , then  $(x_i, x_{i+1}, \dots, x_{i+m+1})$  is equal to  $\pm(k, -\varepsilon(k+1)^m, k)$ ,  $\pm(\varepsilon k^m, -(k+1), k)$  or  $\pm(k, -(k+1), \varepsilon k^m)$  for some  $\varepsilon = \pm 1$ , respectively. Further, if  $m = 1$ , then we have  $\varepsilon = 1$ .
- (7) If  $|(x_i, x_{i+1}, \dots, x_{i+m+1})|$  is of the form  $(k+1, k^m, k+1)$  for some  $k, m \geq 1$ , then  $(x_i, x_{i+1}, \dots, x_{i+m+1}) = \pm(k+1, \varepsilon k^m, k+1)$  for some  $\varepsilon = \pm 1$ . Further if  $m = 1$ , then we have  $\varepsilon = -1$ .
- (8)  $\mathbf{x}$  is the initial element (in the canonical order  $\Omega_c$ ) of the set of the lattice points obtained from every lattice point of  $\pm \mathbf{x}$ ,  $\pm \mathbf{x}^T$ ,  $\pm \delta(\mathbf{x})$  and  $\pm \delta(\mathbf{x})^T$  by permuting the coordinates cyclically.

See Example (6.2) for some small length lattice points in  $\Delta$ . It follows directly from the definition of  $\Omega_c$  that the lattice points in  $\Delta$  smaller than any given lattice point  $\mathbf{x} \in \mathbb{X}$  form a finite set. To analyze the image  $\sigma(L) \in \mathbb{X}$  of a prime link  $L \in \mathbb{L}^p$ , we use the following notion:

*Definition (3.3)* A lattice point  $\mathbf{x} = (x_1, x_2, \dots, x_n)$  is *reducible* if satisfies one of the following conditions:

- (1)  $\min |\mathbf{x}| = 0$  and  $\ell(\mathbf{x}) > 1$ .
- (2) There is an integer  $k$  such that  $\min |\mathbf{x}| < k < \max |\mathbf{x}|$  and  $k \neq |x_i|$  for all  $i$ .
- (3) There is a lattice point of the form  $(\mathbf{x}', \mathbf{x}'')$  obtained from  $\mathbf{x}$  by permuting the coordinates of  $\mathbf{x}$  cyclically where  $1 \leq \max |\mathbf{x}'| < \min |\mathbf{x}''|$ .

Otherwise,  $\mathbf{x}$  is *irreducible*.

In Definition (3.3), we note the following points: In (1), the core  $\tilde{\mathbf{x}}$  of  $\mathbf{x}$  has a shorter length. In (2), the link  $L = \text{cl}\beta(\mathbf{x})$  is split. In (3), the closed braid diagram  $L = \text{cl}\beta(\mathbf{x})$  is a connected sum of two closed braid diagrams. Thus,  $L$  is a non-prime link or we have a shorter length lattice point  $\mathbf{x}'$  with  $\mathbf{x}' \sim \mathbf{x}$ .

Since  $\min |\mathbf{x}| = 0$  if and only if  $\mathbf{x} = 0 \in \mathbb{Z}$  in  $\Delta$ , we see from (2) and (3) of Definition (3.2) that every lattice point in  $\Delta$  is irreducible. The following lemma is important to our argument:

*Lemma (3.4)* The lattice point  $\sigma(L) \in \mathbb{X}$  of any prime link  $L \in \mathbb{L}^p$  belongs to  $\Delta$ .

*Proof.* By Lemma (2.6),  $\sigma(L) = \mathbf{x} = (x_1, x_2, \dots, x_n)$  is a minimal lattice point and  $L = \text{cl}\beta(\mathbf{x})$ . If  $n = 1$ , then  $\mathbf{x} = 0 \in \Delta$  (and hence  $L$  is a trivial knot). In fact, if  $\mathbf{x} \neq 0$ , then

$$\mathbf{x} \sim (\mathbf{x}, 0) \sim (0, \mathbf{x}) \sim 0$$

by (1),(3) and (6) of Lemma (2.2), contradicting that  $\mathbf{x}$  is minimal. Assume that  $n > 1$ . If  $\mathbf{x}$  is reducible, then we see from the remarks following Definition (3.3) that we have a shorter length lattice point  $\mathbf{x}'$  with  $\mathbf{x}' \sim \mathbf{x}$  because  $L$  is a prime link except the trivial knot, a contradiction. Hence  $\mathbf{x}$  is irreducible. By the duality relation, we have  $\mathbf{x}' \leq \mathbf{x}$  with  $\mathbf{x}' \sim \mathbf{x}$  and  $\min|\mathbf{x}'| = 1$ . Since  $\mathbf{x}$  is minimal, we have  $\mathbf{x}' = \mathbf{x}$  and  $\min|\mathbf{x}| = 1$ . By Lemmas (2.2) and (2.4), we must have  $x_1 = 1$ . If  $\max|\mathbf{x}| = 1$ , then  $x_i = 1$  for all  $i$ , since otherwise  $\mathbf{x}$  has a shorter length lattice point  $\mathbf{x}'$  with  $\mathbf{x}' \sim \mathbf{x}$ , a contradiction. Let  $\max|\mathbf{x}| > 1$ . We show that  $\mathbf{x}$  has the properties (1)-(8) of Definition (3.2). Using that  $\mathbf{x}$  is irreducible, we see that  $\mathbf{x}$  has (1), (2), (3) except that  $|x_n| \geq 2$ . Suppose  $|x_n| = 1$ . Then by Lemma (2.2), there is a smaller lattice point  $\mathbf{x}'$  with  $\mathbf{x}' \sim \mathbf{x}$ , a contradiction. Thus, the condition  $|x_n| \geq 2$  is also satisfied. If  $|x_i| - 1 > |x_{i+1}|$ , then the lattice point  $\mathbf{x}'$  obtained from  $\mathbf{x}$  by interchanging  $x_i$  and  $x_{i+1}$  has  $\mathbf{x}' < \mathbf{x}$  and  $\mathbf{x}' \sim \mathbf{x}$  by Lemma (2.2), a contradiction. Hence we have (4). We have also (5) since otherwise  $\mathbf{x}$  has a shorter lattice point  $\mathbf{x}'$  with  $\mathbf{x}' \sim \mathbf{x}$  by Lemma (2.2). For (6), first let  $(x_i, x_{i+1}, \dots, x_{i+m+1}) = (\varepsilon k^m, \varepsilon'(k+1), \varepsilon''k)$ . When  $\varepsilon'' = \varepsilon'$ , we obtain from (3) of Lemma (3.1)

$$\mathbf{x} \sim \mathbf{x}' = (x'_1, x'_2, \dots, x'_n)$$

where  $(x'_i, x'_{i+1}, \dots, x'_{i+m+1}) = (\varepsilon'(k+1), \varepsilon'k, \varepsilon(k+1)^m)$  and  $x'_j = x_j$  for all  $j < i$  and  $j > i+m+1$ . Since  $|x'_j| \neq k$  for all  $j < i$  and  $j > i+m+1$ , we see that  $\mathbf{x}'$  is reducible, contradicting to the minimality of  $\mathbf{x}$ . Hence  $\varepsilon'' = -\varepsilon'$ . For  $(x_i, x_{i+1}, \dots, x_{i+m+1}) = (\varepsilon'k, \varepsilon''(k+1), \varepsilon k^m)$  or  $(\varepsilon''k, \varepsilon(k+1)^m, -\varepsilon'k)$ , we see that  $\varepsilon'' = -\varepsilon'$  by a similar argument using (3) of Lemma (3.1). In particular when  $m = 1$ , we have also  $\varepsilon' = \varepsilon$ . Thus, we have (6). For (7), we take  $(x_i, x_{i+1}, \dots, x_{i+m+1}) = (\varepsilon'(k+1), \varepsilon k^m, \varepsilon''(k+1))$ . When  $\varepsilon'' = -\varepsilon'$ , we obtain from (3) of Lemma (3.1)

$$\mathbf{x} \sim \mathbf{x}' = (x'_1, x'_2, \dots, x'_n)$$

where  $(x'_i, x'_{i+1}, \dots, x'_{i+m+1}) = (-\varepsilon'k, \varepsilon(k+1)^m, \varepsilon'k)$  and  $x'_j = x_j$  for all  $j < i$  and  $j > i+m+1$ . Then  $\mathbf{x}' < \mathbf{x}$ , a contradiction. Hence  $\varepsilon'' = \varepsilon'$ . When  $m = 1$  and  $\varepsilon'' = \varepsilon' = \varepsilon$ , we have

$$\mathbf{x} \sim \mathbf{x}' = (x'_1, x'_2, \dots, x'_n),$$

where  $(x'_i, x'_{i+1}, x'_{i+2}) = \varepsilon(k, k+1, k)$  and  $x'_j = x_j$  for  $j \neq i, i+1, i+2$ . Then  $\mathbf{x}' < \mathbf{x}$ , a contradiction. Hence  $\varepsilon' = \varepsilon'' = -\varepsilon$  and we have (7). Since  $\mathbf{x}$  is minimal, we have (8). Thus,  $\mathbf{x} = \sigma(L)$  is in  $\Delta$ .  $\square$

We see from Lemma (2.6) that the length of a prime link (or more generally, a link without a splittable component of the trivial knot)  $L$  in  $\Omega_c$  is nothing but the

minimal crossing number among the crossing numbers of the closed braid diagrams representing  $L$ , so that there are only finitely many prime links with the same length. This property also holds for every well-order  $\Omega$  of  $\mathbb{X}$  such that  $\ell(\mathbf{x}) < \ell(\mathbf{y})$  means  $\mathbf{x} < \mathbf{y}$  for any  $\mathbf{x}, \mathbf{y} \in \mathbb{X}$ . There are long histories on making a table of knots and links, for example, by C. F. Gauss, T. P. Kirkman, P. G. Tait, C. N. Little, M. G. Haseman, J. W. Alexander-B. G. Briggs, K. Reidemeister for earlier studies (see [15] for references) and by J. H. Conway [5], D. Rolfsen [21], G. H. Dowker-M. B. Thistlethwaite [7], H. H. Doll- M. J. Hoste [6] and Y. Nakagawa [20] for relatively recent studies. In comparison with these tabulations, our tabulation method has three points which may be noted. The first point is that every prime link has a unique expression in canonically ordered lattice points, because  $\mathbb{L}^P$  is canonically identified with a subset of the well-ordered set  $\Delta$  by  $\sigma$ . J. H. Conway's expression in [5] using basic polyhedra and algebraic tangles is excellent for enumerating knots and links together with some global features except for ordering them in a canonical way. C. H. Dowker and M. B. Thistlethwaite in [7] (for knots) and H. H. Doll- M. J. Hoste in [6] (for links) assigned integer sequences to oriented, ordered knot and link diagrams for a tabulation via computer use. As the second point, we can state in the context of their works that we can specify a unique integer sequence among lots of integer sequences representing every prime link, because our method specifies a unique closed braid diagram for every prime link. Using a result of R. W. Ghrist [9], Y. Nakagawa [20] defined an injection  $\phi$  from the set of oriented knots into the set of positive integers so that the value  $\phi(K)$  reconstructs  $K$ . Then the third point is that we can have a similar result for  $\mathbb{L}^P$  by our argument. In fact, in the forthcoming paper [17] (see [18]), we establish an embedding  $\zeta$  from  $\Delta$  into the set  $\mathbb{Q}_+$  of positive rational numbers so that the value  $\zeta(\mathbf{x})$  reconstructs  $\mathbf{x}$ . Thus, we can identify  $\mathbb{L}^P$  with a subset of  $\mathbb{Q}_+$  in the sense that the value  $\zeta\sigma(L) \in \mathbb{Q}_+$  reconstructs  $L$ . In §6, we explain how to make the table of prime links graded by the canonical order  $\Omega_c$ , and as a demonstration, we make the table for the prime links with lengths up to 7.

#### 4. $\pi$ -minimal links

Let  $K_i (i = 1, 2, \dots, r)$  be the components of an oriented link  $L$  in  $S^3$ . A *coloring*  $f$  of  $L$  is a function

$$f : \{K_i | i = 1, 2, \dots, r\} \longrightarrow \mathbb{Q} \cup \{\infty\}.$$

By a *meridian-longitude system* of  $L$  on  $N(L)$ , we mean a pair of a meridian system  $m(L) = \{m_i | i = 1, 2, \dots, r\}$  and a longitude system  $\ell(L) = \{\ell_i | i = 1, 2, \dots, r\}$  on  $N(L)$  such that  $(m_i, \ell_i)$  is the meridian-longitude pair of  $K_i$  on  $N(K_i)$  for every  $i$ . We can specify the orientations of  $m(L)$  and  $\ell(L)$  from those of  $L$  and  $S^3$  uniquely. Let  $f(K_i) = \frac{a_i}{b_i}$  for coprime integers  $a_i, b_i$  for every  $i$  where we take  $a_i = \pm 1$  and  $b_i = 0$  when  $f(K_i) = \infty$ . Then we have a (unique up to isotopies) simple loop  $s_i$  on  $\partial N(K_i)$  with  $[s_i] = a_i[m_i] + b_i[\ell_i]$  in the first integral homology  $H_1(\partial N(K_i))$ .

We note that if the different choice  $f(K_i) = \frac{-a_i}{-b_i}$  is made, then only the orientation of  $s_i$  is changed. The *Dehn surgery manifold* of a colored link  $(L, f)$  is the oriented 3-manifold

$$\chi(L, f) = E(L) \bigcup_{i=1}^r_{s_i=1 \times \partial D_i^2} S^1 \times D_i^2$$

with the orientation induced from  $E(L) \subset S^3$ , where  $\bigcup_{s_i=1 \times \partial D_i^2}$  denotes a pasting of  $S^1 \times \partial D_i^2$  to  $\partial N(K_i)$  so that  $s_i$  is identified with  $1 \times \partial D_i^2$ . In this construction, the 3-manifold  $\chi(L, f) \in \mathbb{M}$  is uniquely determined from the colored link  $(L, f)$ . In this paper, we are particularly interested in the 0-surgery manifold, that is,  $\chi(L, f)$  with  $f = 0$ . For every link  $L \in \mathbb{L}$ , we consider the subset

$$\{L\}_\pi = \{L' \in \mathbb{L} \mid \pi_1 E(L') = \pi_1 E(L)\}$$

of  $\mathbb{L}$ . Here are some examples on  $\{L\}_\pi$ .

*Example (4.1)* (1) For every prime knot  $K \in \mathbb{L}$ , we have  $\{K\}_\pi = \{K\}$  by the Gordon-Luecke theorem [10] and W. Whitten [22]. However, for example if  $K$  is the trefoil knot, then  $\{K \# K\}_\pi = \{K \# K, K \# \bar{K}\}$  where  $\bar{K}$  denotes the mirror image of  $K$ .

(2) Let  $L$  be the Whitehead link obtained from the Hopf link  $O \cup O'$  by replacing  $O'$  with the untwisted double  $D$  of  $O'$ :  $L = O \cup D$ . Further, let  $L_m$  be the link obtained by replacing  $D$  with the  $m$ -full twist  $D_m$  of  $D$  along  $O$  for every  $m \in \mathbb{Z}$  where we take  $L_0 = L$ . Then we have

$$\{L\}_\pi = \{L_m \mid m \in \mathbb{Z}\}.$$

To see (2), let  $L' \in \{L\}_\pi$ . Since  $E(L)$  is a hyperbolic 3-manifold and hence  $\pi_1 E(L) = \pi_1 E(L')$  means  $E(L) = E(L')$  (see W. Jaco [12]), the meridian system on  $L'$  indicates a coloring  $f$  of  $L$ . Since the linking number of  $O$  and  $D$  is 0, we have  $f(O) = \frac{1}{m}$  and  $f(D) = \frac{1}{n}$  for some integers  $m, n \in \mathbb{Z}$ . If  $m$  or  $n$  is not 0, then we can assume that  $m \neq 0$  since the components  $O$  and  $D$  are interchangeable. If  $m \neq 0$ , then we obtain  $L_m$  by taking  $m$  full twists along  $O$ . Since any twisted doubled knot  $K'$  is non-trivial and  $\chi(K', \frac{1}{n}) \neq S^3$  for  $n \neq 0$ , we must have  $n = 0$ , giving the desired result. On this example, one may note that since the linking number of  $L_m$  is 0, the longitude system of  $L_m$  coincides with the longitude system of  $L$  in  $\partial E(L)$ , so that  $\chi(L_m, 0) = \chi(L, 0)$  for every  $m$ .

We consider  $\mathbb{L}$  as a well-ordered set by the well-order  $\Omega$  (defined from the well-order  $\Omega$  of  $\mathbb{X}$  in §2). The following definition is needed to choose exactly one link in the set  $\{L\}_\pi$  for a link  $L \in \mathbb{L}$ :

*Definition (4.2)* A link  $L \in \mathbb{L}$  is  $\pi$ -minimal if  $L$  is the initial element of the set  $\{L\}_\pi \cap \mathbb{L}^p$  in the well-order  $\Omega$ .

The following remark gives a reason why we restrict ourselves to a link in  $S^3$ :

*Remark (4.3)* For a certain torus knot  $L \in \mathbb{L}$ , there are homotopy torus knot spaces  $E'$ , not the exterior of any knot in  $S^3$ , such that  $\pi_1(E') = \pi_1 E(L)$  (see J. Hempel [11,p.152]).

Let  $\mathbb{L}^\pi$  be the subset of  $\mathbb{L}$  consisting of  $\pi$ -minimal links. We note that

$$\mathbb{L}^\pi \subset \mathbb{L}^p \subset \mathbb{L}.$$

For the map  $\pi : \mathbb{L} \rightarrow \mathbb{G}$  sending a link to the link group, we have the following lemma:

*Lemma (4.4)* The restriction  $\pi|_{\mathbb{L}^\pi} : \mathbb{L}^\pi \rightarrow \mathbb{G}$  is injective.

*Proof.* For  $L, L' \in \mathbb{L}^\pi$ , assume that  $\pi_1 E(L) = \pi_1 E(L')$ . Since both  $L$  and  $L'$  are  $\pi$ -minimal in  $\{L\}_\pi = \{L'\}_\pi$ , we have  $L \leq L'$  and  $L \geq L'$  by definition. Hence  $L = L'$ .  $\square$

The following question is related to determining when a given prime link is  $\pi$ -minimal:

*Question (4.5)* For  $L, L' \in \mathbb{L}^p$ , does  $\pi_1 E(L) = \pi_1 E(L')$  mean  $E(L) = E(L')$  ?

This question is known to be yes for a large class of prime links, including all prime knots by W. Whitten [22], and prime links  $L$  such that  $E(L)$  does not contain any essential embedded annulus, in particular, hyperbolic links, by the Johannson Theorem (see W. Jaco [12]). Here is another class of links.

*Proposition 4.6* For links  $L, L' \in \mathbb{L}$ , assume that  $E(L)$  is a special Seifert manifold (that is, a Seifert manifold without essential embedded torus) and there is an isomorphism  $\pi_1 E(L) \rightarrow \pi_1 E(L')$ . Then there is a homeomorphism  $E(L) \rightarrow E(L')$ .

*Proof.* By a classification result of G. Burde-K. Murasugi [4], the Seifert structure of  $E(L)$  comes from a Seifert structure on  $S^3$ . By [12], the orbit surface of the Seifert manifold  $E(L)$  is

- (i) the disk with at most two exceptional fibers,
- (ii) the annulus with at most one exceptional fiber, or
- (iii) the disk with two holes and no exceptional fibers.

In particular,  $\pi_1 E(L)$  and hence  $\pi_1 E(L')$  are groups with non-trivial centers, so that  $E(L')$  is also a special Seifert fibered manifold with the same orbit data as  $E(L)$ . In the case (i), both  $L$  and  $L'$  are torus knots and  $\pi_1 E(L) \cong \pi_1 E(L')$

implies  $L = L'$  (confirmed for example by the Alexander polynomials) and hence  $E(L) = E(L')$ . In the cases of (ii) without exceptional fiber and (iii), we have  $E(L) = E(L') = S^1 \times C$  for the annulus or the disk with two holes  $C$ . Assume that  $E(L)$  and  $E(L')$  are in the case of (ii) with one exceptional fiber. Let  $(p, q)$  and  $(r, s)$  be the types of the exceptional fibers of  $E(L)$  and  $E(L')$ , respectively, where  $p, r \geq 2$ ,  $(p, q) = 1$ ,  $(r, s) = 1$ . Let

$$\begin{aligned}\pi_1 E(L) &= (t, a, b | ta = at, tb = bt, t^q = a^p) \quad \text{and} \\ \pi_1 E(L') &= (t, a, b | ta = at, tb = bt, t^s = a^r)\end{aligned}$$

be the fundamental group presentations of  $E(L)$  and  $E(L')$ , respectively, obtained from  $S^1 \times C$  with  $C$  the disk with two holes by adjoining a fibered solid torus around the exceptional fiber. Let  $\psi : \pi_1 E(L) \rightarrow \pi_1 E(L')$  be an isomorphism. Considering the central group which is the infinite cyclic group generated by  $t$ , we see that  $\psi(t) = t^{\pm 1}$ . Replacing  $-s$  with  $s$  if necessary, we may have  $\psi(t) = t$ . In the quotient groups,  $\psi$  induces an isomorphism

$$\psi_* : (a|a^p = 1) * (b|-) \cong (a|a^r = 1) * (b|-).$$

Hence  $p = r$  and  $\psi(a) = t^m a^\varepsilon$  for some integer  $m$  and  $\varepsilon = \pm 1$ . Then

$$t^q = \psi(a^p) = t^{mp} a^{\varepsilon p} = t^{mp} a^{\varepsilon r} = t^{mp+\varepsilon s}$$

and hence  $q \equiv \pm s \pmod{p}$ , which shows the types  $(p, q)$  and  $(r, s)$  are equivalent. Thus, there is a fiber-preserving homeomorphism  $E(L) \rightarrow E(L')$ .  $\square$

Here is a remark on  $\pi$ -minimal links.

*Remark (4.7)* Let  $L$  be the 2-fold connected sum of the Hopf link, and  $L'$  the  $(3, 3)$ -torus link. Then we have  $\sigma(L) = (1^2, 2^2)$  and  $\sigma(L') = (1^2, 2, 1^2, 2)$  in the canonical order  $\Omega_c$  (cf. §6). Although  $E(L) = E(L')$  and  $L < L'$ , the link  $L'$  is a  $\pi$ -minimal link. We note that  $\chi(L, 0) = S^1 \times S^2$  and  $\chi(L', 0) = P^3$  (the projective 3-space).

## 5. Proof of Theorem(1.1)

The following lemma is a folklore result obtained by the Kirby calculus (see R. Kirby [19]):

*Lemma (5.1)* The map  $\chi_0 : \mathbb{L} \rightarrow \mathbb{M}$  defined by  $\chi_0(L) = \chi(L, 0)$  is a surjection.

*Proof.* For every  $M \in \mathbb{M}$ , we have a colored link  $(L, f)$  with components  $K_i$  ( $i = 1, 2, \dots, r$ ) such that  $\chi(L, f) = M$  and  $f(K_i) = m_i$  is an even integer for all  $i$  (see S. J. Kaplan [13]). We show that there is a link  $L'_2$  with  $r+2$  components such that

$\chi(L'_2, 0) = \chi(L, f)$ . Let  $L_2 = L \cup L_H$  be the split union of the oriented link  $L$  and an oriented Hopf link  $L_H = O_1 \cup O_2$  with linking number  $\text{Link}(O_1, O_2) = -1$ . Let  $f_2$  be the coloring of  $L_2$  obtained from  $f$  and the 0-coloring of  $L_H$ . If  $m_i \neq 0$ , then we take a fusion knot  $K'_i$  of  $K_i$  and  $\frac{|m_i|}{2}$  parallels of  $\text{sign}(m_i)O_1$  and one parallel copy of  $O_2$  in the 0-framings. If  $m_i = 0$ , then we take  $K'_i = K_i$ . Doing these operations for all  $i$ , we obtain from  $(L_2, f_2)$  a colored link  $(L'_2, f'_2)$  with  $L'_2 = (\cup_{i=1}^r K'_i) \cup L_H$ , a link with  $r + 2$  components and a coloring  $f'_2$  such that

$$f'_2(K'_i) = f_2(K_i) + 2\text{Link}(\frac{m_i}{2}O_1, O_2) = m_i - m_i = 0.$$

Since  $f'_2|_{L_H} = f_2|_{L_H} = 0$ , we have  $f'_2 = 0$ . By the Kirby calculus on handle slides ([19], [15,p.245]), we have  $\chi(L'_2, 0) = \chi(L_2, f_2) = M$ .  $\square$

Let  $\mathbb{L}^\pi(M)$  be the subset of  $\mathbb{L}^\pi$  consisting of  $\pi$ -minimal links  $L$  such that  $\chi(L, 0) = M$ . When we consider a prime link  $L \in \mathbb{L}$  with  $\chi(L, 0) = M$  to find a  $\pi$ -minimal link in  $\mathbb{L}^\pi(M)$  for a given  $M \in \mathbb{M}$ , the following points should be noted: If we take the initial element  $L_0$  of the set  $\{L\}_\pi$ , then the link  $L_0$  need not be a prime link, as it is noted in Remark (4.7). If  $L_0$  is the initial element of the prime link subset of  $\{L\}_\pi$ , then  $L_0$  is a  $\pi$ -minimal link in  $\mathbb{L}^\pi(\chi(L_0, 0))$ , but in general we cannot guarantee that  $\chi(L_0, 0) = M$ , as we note in the following example:

*Example (5.2)* There are hyperbolic links  $L, L' \in \mathbb{L}$  such that  $E(L) = E(L')$ ,  $\chi(L, 0) \neq \chi(L', 0)$  and  $\{L\}_\pi = \{L'\}_\pi = \{L, L'\}$ . Thus, if  $L < L'$  in the well-order  $\Omega$ , then the link  $L$  is  $\pi$ -minimal, but  $L$  is not in  $\mathbb{L}(\chi(L', 0))$ . To obtain this example, let  $L_H = O_1 \cup O_2$  be the Hopf link with coloring  $f$  such that  $f(O_1) = 0, f(O_2) = 1$ . Then  $\chi(L_H, f) = S^3$  and the dual colored link  $(L'_H, f')$  of  $(L_H, f)$  is given by  $L'_H = L_H$  and  $f'(O_1) = -1$  and  $f'(O_2) = 0$ . By Remark 4.7 of [16], we have a normal imitation  $q : (S^3, L_H^*) \rightarrow (S^3, L_H)$  with  $\chi(L_H^*, fq) = S^3$  and a dual normal imitation  $q' : (S^3, L_H^*) \rightarrow (S^3, L'_H)$ , that is a normal imitation such that  $E(L_H^*) = E(L_H^*)$ ,  $q'|_{E(L_H^*)} = q|_{E(L_H^*)}$  and  $(L_H^*, f'q')$  is the dual colored link of  $(L_H^*, fq)$ . As it is stated in Remark 4.7 of [16], we can impose on these normal imitations the following additional properties: namely,  $L_H^*$  and  $L_H^*$  are totally hyperbolic, componentwise distinct links, and every homeomorphism  $h : E(L'') \rightarrow E(L_H^*)$  extends to a homeomorphism  $h^+ : (S^3, L'') \rightarrow (S^3, L_H^*)$  or  $h^{+'} : (S^3, L'') \rightarrow (S^3, L_H^*)$ . On the other hand, we see that  $\chi(L'_H, 0) = S^3$  and the dual colored link  $(L_H, f'')$  of  $(L'_H, 0)$  is given by  $f''(O_1) = -1$  and  $f''(O_2) = \infty$ . Further, we can assume from Theorem 4.1(2) of [16] that  $\chi(L_H^*, 0)$  and  $\chi(L_H^*, f''q) = \chi(L_H^*, 0)$  are distinct because 0 and  $f''$  are distinct from  $\infty, f$ . Thus, we can take  $L_H^*$  and  $L_H^*$  as  $L$  and  $L'$ , respectively. (We note that  $\chi(L_H^*, 0)$  and  $\chi(L_H^*, 0)$  are homology 3-spheres, because they are normal imitations of  $\chi(L_H, 0) = \chi(L'_H, 0) = S^3$ .)

In spite of Example (5.2), we can show the following lemma:

*Lemma (5.3)* For every  $M \in \mathbb{M}$ , the set  $\mathbb{L}^\pi(M)$  is an infinite set.

*Proof.* By Lemma (5.1), we take a disconnected link  $L$  in  $S^3$  such that  $\chi(L, 0) = M$ . Let  $M \neq S^3$ . By a result of [16], there are infinitely many normal imitations

$$q_i : (S^3, L_i^*) \longrightarrow (S^3, L) \quad (i = 1, 2, 3, \dots)$$

such that

- (1)  $\chi(L_i^*, 0) = \chi(L, 0) = M$ ,
- (2)  $L_i^*$  is (totally) hyperbolic, and
- (3) every homeomorphism  $h : E(L_i^*) \rightarrow E(L')$  for a link  $L'$  in  $S^3$  extends to a homeomorphism  $h^+ : (S^3, L_i^*) \rightarrow (S^3, L')$ .

Then  $L_i^*$  is  $\pi$ -minimal by (2) and (3), so that  $L_i^* \in \mathbb{L}^\pi(M)$ ,  $i = 1, 2, 3, \dots$ . For  $M = S^3$ , let  $L$  be a Hopf link. Then  $\chi(L, 0) = S^3$  and the dual link  $L'$  of the Dehn surgery is also the Hopf link. By Remark 4.7 of [16], there are infinitely many pairs of normal imitations

$$\begin{aligned} q_i &: (S^3, L_i^*) \longrightarrow (S^3, L), \\ q'_i &: (S^3, L'_i) \longrightarrow (S^3, L') \quad (i = 1, 2, 3, \dots) \end{aligned}$$

such that

- (1)  $\chi(L_i^*, 0) = \chi(L, 0) = S^3 = \chi(L', 0) = \chi(L'_i, 0)$ ,
- (2)  $E(L_i^*) = E(L'_i)$ ,
- (3)  $L_i^*$  and  $L'_i$  are (totally) hyperbolic,
- (4) every homeomorphism  $h : E(L_i^*) \rightarrow E(L'')$  for a link  $L''$  in  $S^3$  extends to a homeomorphism  $h^+ : (S^3, L_i^*) \rightarrow (S^3, L'')$  or  $h'^+ : (S^3, L'_i) \rightarrow (S^3, L'')$ .

Thus,  $\{L_i^*\}_\pi = \{L_i^*, L'_i\}$  for every  $i$ , and we can take a  $\pi$ -minimal link, say  $L_i^*$  in  $\{L_i^*\}_\pi$  for every  $i$ , so that  $L_i^* \in \mathbb{L}^\pi(S^3)$ ,  $i = 1, 2, 3, \dots$ .  $\square$

We are in a position to prove the first half of Theorem (1.1).

*Proof of Theorem (1.1).* Since  $\mathbb{L}^\pi(M) \neq \emptyset$  by Lemma (5.3), we can take the initial element  $L_M$  of  $\mathbb{L}^\pi(M)$  for every  $M \in \mathbb{M}$ . Using that the set  $\mathbb{L}^\pi(M)$  is uniquely determined by  $M$  and  $\Omega$ , we see that the well-order  $\Omega$  of  $\mathbb{X}$  induces a map

$$\alpha : \mathbb{M} \longrightarrow \mathbb{L}^\pi \subset \mathbb{L}$$

sending a 3-manifold  $M$  to the link  $L_M$ . This map  $\alpha$  must be injective, because the 0-surgery manifold  $\chi(\alpha(M), 0) = M$ . Combining this result with Lemma (4.4), we obtain the embeddings  $\sigma_\alpha$  and  $\pi_\alpha$ . If a lattice point  $\mathbf{x} = \sigma_\alpha(M)$  is given, then we obtain the link  $\alpha(M) = \text{cl}\beta(\mathbf{x})$  with braid presentation, the 3-manifold  $M = \chi(\text{cl}\beta(\mathbf{x}), 0)$  with 0-surgery description and the link group  $\pi_1 E(\text{cl}\beta(\mathbf{x}))$  with Artin presentation associated with the braid  $\beta(\sigma_\alpha(M))$ , completing the proof of

the first half. If a link group  $G = \pi_\alpha(M)$  with a prime Artin presentation is given, then we have a braid  $b$  such that  $G$  is the link group of the prime link  $\text{cl}(b)$ . Let  $\mathbf{x}_i \in \Delta$  ( $i = 1, 2, \dots, n$ ) be the lattice points smaller than or equal to the lattice point  $\mathbf{x}(b)$ . By Lemma (3.4), there is a lattice point  $\mathbf{x}_i$  with  $\mathbf{x}_i \approx \mathbf{x}(b)$ . By using a solution of the problem in (3), let  $\mathbf{x}_{i_0}$  be the smallest lattice point such that  $\text{cl}\beta(\mathbf{x}_{i_0})$  is a prime link and there is an isomorphism  $\pi_1 E(\text{cl}\beta(\mathbf{x}_{i_0})) \rightarrow G$  among  $\mathbf{x}_i$  ( $i = 1, 2, \dots, n$ ). Then the link  $\text{cl}\beta(\mathbf{x}_{i_0})$  is  $\pi$ -minimal by this construction. Thus, the desired lattice point  $\sigma_\alpha(M) = \mathbf{x}_{i_0}$  is obtained, proving (3). If a  $\pi$ -minimal link  $L$  with  $\chi(L, 0) = M$  is given, we take a braid  $b$  representing  $L$ . Let  $\mathbf{x}_i \in \Delta$  ( $i = 1, 2, \dots, n$ ) be the lattice points smaller than or equal to  $\mathbf{x}(b)$ . By Lemma (3.4), there is a lattice point  $\mathbf{x}_i$  with  $\mathbf{x}_i \approx \mathbf{x}(b)$ . By using a solution of the problem in (4), we take the smallest lattice point  $\mathbf{x}_{i_0}$  such that the link  $\text{cl}\beta(\mathbf{x}_{i_0})$  is a  $\pi$ -minimal link and  $\chi(\text{cl}\beta(\mathbf{x}_{i_0}), 0) = M$ . Thus, the desired lattice point  $\sigma_\alpha(M) = \mathbf{x}_{i_0}$  is obtained, proving (4).  $\square$

As a matter of fact, we can construct many variants of the embedding  $\alpha : \mathbb{M} \rightarrow \mathbb{L}$ . Here are remarks on constructing some other embeddings  $\alpha$ .

*Remark (5.4)* Let  $\mathbb{L}^h \subset \mathbb{L}$  be the subset consisting of hyperbolic links  $L$  (possibly with infinite volume) such that  $L$  is determined by the exterior  $E(L)$  (that is,  $E(L) = E(L')$  for a link  $L'$  means  $L = L'$ ), and  $\mathbb{L}^h(M) = \{L \in \mathbb{L}^h \mid \chi(L, 0) = M\}$ . Then we still have an embedding  $\alpha : \mathbb{M} \rightarrow \mathbb{L}^h \subset \mathbb{L}$  with  $\chi_0 \alpha = 1$  such that  $\sigma_\alpha$  and  $\pi_\alpha$  are embeddings by the proof of Theorem (1.1) using  $\mathbb{L}^h(M)$  instead of  $\mathbb{L}^\pi(M)$ . (For this proof, we use that  $\mathbb{L}^h(S^3)$  contains the Hopf link and the set  $\mathbb{L}^h(M)$  for  $M \neq S^3$  is infinite by Lemma (5.3).) In this case, the links  $\alpha(S^1 \times S^2)$ ,  $\alpha(S^3)$  and  $\alpha(M)$  for every  $M \neq S^1 \times S^2, S^3$  are the trivial knot, the Hopf link and a hyperbolic link of finite volume, respectively. If we take the subset  $\mathbb{L}(M) \subset \mathbb{L}$  consisting of all links  $L$  with  $\chi(L, 0) = M$ , then the proof of Theorem (1.1) using  $\mathbb{L}(M)$  instead of  $\mathbb{L}^\pi(M)$  shows the existence of an embedding  $\alpha : \mathbb{M} \rightarrow \mathbb{L}$  with  $\chi_0 \alpha = 1$ . However, in this case, the map  $\pi_\alpha$  is no longer injective in the canonical order  $\Omega_c$ . In fact, if  $K\#K$  is the granny knot and  $K\#\bar{K}$  is the square knot where  $K$  is a trefoil knot, then we see that  $\alpha(\chi(K\#K, 0)) = K\#K$  and  $\alpha(\chi(K\#\bar{K}, 0)) = K\#\bar{K}$ . Then we have  $\pi_\alpha(\chi(K\#K, 0)) = \pi_\alpha(\chi(K\#\bar{K}, 0))$ , although  $\chi(K\#K, 0) \neq \chi(K\#\bar{K}, 0)$  (see [14, Example 3.2]).

*Remark (5.5)* The subsets  $\mathbb{L}^h(M) \subset \mathbb{L}^\pi(M) \subset \mathbb{L}(M)$  of  $\mathbb{L}$  are defined up to automorphisms of  $M$ , but the Kirby calculus of [19] enables us to make “automorphism-free” definitions of them. In fact, for a given link  $L$ , let  $\mathbb{L}(L)$  the set of links  $L'$  such that the 0-colored link  $(L', 0)$  is obtained from the 0-colored link  $(L, 0)$  or  $(\bar{L}, 0)$  by a finite number of Kirby moves, and then we define  $\mathbb{L}^h(L)$  and  $\mathbb{L}^\pi(L)$  to be the restrictions of  $\mathbb{L}(L)$  to the hyperbolic links determined by the exteriors and the  $\pi$ -minimal links, respectively. R. Kirby’s theorem in [19] shows that for a link  $L$

with  $\chi(L, 0) = M$  we have the identities

$$\mathbb{L}(L) = \mathbb{L}(M), \quad \mathbb{L}^h(L) = \mathbb{L}^h(M) \quad \text{and} \quad \mathbb{L}^\pi(L) = \mathbb{L}^\pi(M),$$

where the right hand sides are the sets defined on before for  $M$ . Thus, the embedding  $\alpha$  is defined “automorphism-freely”. In particular, in any use of  $\mathbb{L}^h(M)$  or  $\mathbb{L}^\pi(M)$ , the embedding  $\pi_\alpha$  is defined “automorphism-freely”. This is the precise meaning of that the homeomorphism problem on  $\mathbb{M}$  can be in principle replaced by the isomorphism problem on  $\mathbb{G}$ , stated in the introduction.

## 6. A classification program

In this section, we take the canonical order  $\Omega_c$  unless otherwise stated. We consider the following mutually related three embeddings already established in Theorem (1.1):

$$\begin{aligned} \alpha : \mathbb{M} &\longrightarrow \mathbb{L}, \\ \sigma_\alpha : \mathbb{M} &\longrightarrow \mathbb{X}, \\ \pi_\alpha : \mathbb{M} &\longrightarrow \mathbb{G}. \end{aligned}$$

Since  $\sigma_\alpha(\mathbb{M}) \subset \Delta$  and every initial segment of  $\Delta$  is a finite set, we can attach (without overlapping) to every 3-manifold  $M$  in  $\mathbb{M}$  a label  $(n, i)$  where  $n$  denotes the length of  $M$  and  $i$  denotes that  $M$  appears as the  $i$ th 3-manifold of length  $n$ , so that we have

$$M_{n,1} < M_{n,2} < \cdots < M_{n,m_n}$$

for a positive integer  $m_n < \infty$ . Let

$$\alpha(M_{n,i}) = L_{n,i} \in \mathbb{L}, \quad \pi_\alpha(M_{n,i}) = G_{n,i} \in \mathbb{G} \quad \text{and} \quad \sigma_\alpha(M_{n,i}) = \mathbf{x}_{n,i} \in \Delta.$$

Our classification program is to enumerate the 3-manifolds  $M_{n,i}$  for all  $n = 1, 2, \dots$  and  $i = 1, 2, \dots, m_n$  together with the data  $L_{n,i}, G_{n,i}$  and  $\mathbf{x}_{n,i}$ , but by (2) of Theorem (1.1) it is sufficient to give the lattice point  $\mathbf{x}_{n,i}$ , because we can easily construct  $L_{n,i}, M_{n,i}$  and  $G_{n,i}$  by  $L_{n,i} = \text{cl}\beta(\mathbf{x}_{n,i})$ ,  $M_{n,i} = \chi(L_{n,i}, 0)$  and  $G_{n,i} = \pi_1 E(L_{n,i})$ . We proceed the argument by induction on the length  $n$ . Since the lattice points of lengths 1, 2, 3 in  $\Delta$  are 0,  $1^2$  and  $1^3$ , we can do the classification of  $\mathbb{M}$  with lengths 1, 2, 3 as follows (where  $T^2 \times_A S^1$  denotes the torus bundle over  $S^1$  with monodromy matrix  $A$ ):

$$\begin{aligned} \text{length 1:} \quad m_1 &= 1, \quad M_{1,1} = S^1 \times S^2, \quad L_{1,1} = O \text{ (the trivial knot),} \\ &G_{1,1} = \mathbb{Z}, \quad \mathbf{x}_{1,1} = 0. \end{aligned}$$

$$\begin{aligned} \text{length 2:} \quad m_2 &= 1, \quad M_{2,1} = S^3, \quad L_{2,1} = 2_1^1 \text{ (the Hopf link),} \\ &G_{2,1} = \mathbb{Z} \oplus \mathbb{Z}, \quad \mathbf{x}_{2,1} = 1^2. \end{aligned}$$

$$\begin{aligned} \text{length 3:} \quad m_3 &= 1, \quad M_{3,1} = T^2 \times_A S^1, \quad A = \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}, \\ &L_{3,1} = 3_1 \text{ (the trefoil knot),} \quad G_{3,1} = (x, y | xyx = yxy), \quad \mathbf{x}_{3,1} = 1^3. \end{aligned}$$

To explain our classification of  $\mathbb{M}$  with any length  $n \geq 4$ , we assume that the classification of  $\mathbb{M}$  with lengths  $\leq n - 1$  is done. Let  $\Delta_n$  be the subset of  $\Delta$  consisting of lattice points of length  $n$ . The first step of our classification program is as follows:

*Step 1.* Make an ordered list  $\Delta_n^* \subset \Delta_n$  containing all the minimal lattice points in  $\Delta_n$ .

If we take the list  $\Delta_n^*$  smaller, then our work will be simpler. It is recommended to make first the ordered list  $|\Delta_n^*| = \{|\mathbf{x}| \mid \mathbf{x} \in \Delta_n^*\}$  counting the property of  $\Omega_c$  that we have  $\mathbf{x} < \mathbf{y}$  if we have one of the following three conditions: (i)  $\ell(\mathbf{x}) < \ell(\mathbf{y})$ , (ii)  $\ell(\mathbf{x}) = \ell(\mathbf{y})$  and  $|\mathbf{x}|_N < |\mathbf{y}|_N$ , and (iii)  $|\mathbf{x}|_N = |\mathbf{y}|_N$  and  $|\mathbf{x}| < |\mathbf{y}|$ . To establish Step 1, we use the following notion:

*Definition (6.1)* A lattice point  $\mathbf{x} \in \mathbb{X}$  is *locally-minimal* if it is the initial element of the subset of  $[\mathbf{x}]$  consisting of the lattice points obtained from  $\mathbf{x}$  by the duality relation, the flype relation and the moves in Lemmas (2.2) and (2.4) except the length-increasing moves.

Every minimal lattice point is locally-minimal, but the converse is not true. It is realistic to make as  $\Delta_n^*$  a list containing all the locally-minimal lattice points of  $\Delta_n$ . The following list is such a list for Step 1.

*Example (6.2)* The following list contains all the minimal lattice points of lengths  $\leq 7$  in  $\Delta$ :

$$\begin{aligned}
\Delta_1^* &: 0, \\
\Delta_2^* &: 1^2, \\
\Delta_3^* &: 1^3, \\
\Delta_4^* &: 1^4, (1, -2, 1, -2), \\
\Delta_5^* &: 1^5, (1^2, 2, -1, 2), (1^2, -2, 1, -2), \\
\Delta_6^* &: 1^6, (1^3, 2, -1, 2), (1^3, -2, 1, -2), (1^2, 2, 1^2, 2), \\
&\quad (1^2, 2, (-1)^2, 2), (1^2, -2, 1^2, -2), (1^2, -2, 1, (-2)^2), \\
&\quad (1, -2, 1, -2, 1, -2), (1, -2, 1, 3, -2, 3), \\
\Delta_7^* &: 1^7, (1^4, 2, -1, 2), (1^4, -2, 1, -2), \\
&\quad (1^3, 2, 1^2, 2), (1^3, 2, (-1)^2, 2), (1^3, -2, 1^2, -2), \\
&\quad (1^3, -2, (-1)^2, -2), (1^3, 2, -1, 2^2), (1^3, -2, 1, (-2)^2), \\
&\quad (1^2, -2, 1^2, (-2)^2), (1^2, -2, 1, -2, 1, -2), \\
&\quad (1^2, 2, -1, -3, 2, -3), (1^2, -2, 1, 3, -2, 3), (1, -2, 1, -2, 3, -2, 3), \\
&\quad (1, -2, 1, 3, 2^2, 3), (1, -2, 1, 3, (-2)^2, 3).
\end{aligned}$$

Let  $\mathbb{L}_n^p$  be the subset of  $\mathbb{L}^p$  consisting of prime links of length  $n$ . Let  $\mathbb{D}_n^*$  be the set consisting of the link diagrams  $cl\beta(\mathbf{x})$  for all  $\mathbf{x} \in \Delta_n^*$ . By Lemma (3.4), we observe that *if  $L = cl\beta(\mathbf{x}) \in \mathbb{L}_n^p$  for a lattice point  $\mathbf{x} \in \mathbb{X}$ , then there is a minimal lattice point  $\mathbf{x}' \in \Delta_n$  with  $\mathbf{x}' \leq \mathbf{x}$  such that  $L = cl\beta(\mathbf{x}')$* . This implies that the set  $\mathbb{L}_n^p$  consists of the prime links represented by link diagrams of  $\mathbb{D}_n^*$  not belonging to  $\mathbb{L}_j^p$  ( $j = 1, 2, \dots, n-1$ ) (which are assumed to have already constructed by our inductive hypothesis). Step 2 is the following procedure:

*Step 2.* Construct  $\mathbb{L}_n^p$  from  $\mathbb{D}_n^*$ .

The link  $cl\beta(\mathbf{x})$  of a lattice point  $\mathbf{x}$  of length  $n$  such that  $\tilde{\mathbf{x}} = \mathbf{x}$  admits a braided link diagram with crossing number  $n$ . Thus, if a list of prime links with crossing numbers up to  $n$  is available, then this procedure would not be so difficult. In the following example, our main work is only to identify the lattice points of length  $n \leq 7$  in Example (6.2) with prime links in Rolfsen's table [21].

*Example (6.3)* The following list gives the elements of the sets  $\mathbb{L}_n^p$  for  $n \leq 7$  together with the corresponding lattice points.

$$\mathbb{L}_1^p : O \quad \sigma(O) = 0.$$

$$\mathbb{L}_2^p : 2_1^2 \quad \sigma(2_1^2) = 1^2.$$

$$\mathbb{L}_3^p : 3_1 \quad \sigma(3_1) = 1^3.$$

$$\begin{aligned} \mathbb{L}_4^p : 4_1^2 < 4_1 \\ \sigma(4_1^2) &= 1^4, \\ \sigma(4_1) &= (1, -2, 1, -2). \end{aligned}$$

$$\begin{aligned} \mathbb{L}_5^p : 5_1 < 5_1^2 \\ \sigma(5_1) &= 1^5, \\ \sigma(5_1^2) &= (1^2, -2, 1, -2). \end{aligned}$$

$$\begin{aligned} \mathbb{L}_6^p : 6_1^2 < 5_2 < 6_2 < 6_3^3 < 6_1^3 < 6_3 < 6_2^3 < 6_3^2 \\ \sigma(6_1^2) &= 1^6, \\ \sigma(5_2) &= (1^3, 2, -1, 2), \\ \sigma(6_2) &= (1^3, -2, 1, -2), \\ \sigma(6_3^3) &= (1^2, 2, 1^2, 2), \\ \sigma(6_1^3) &= (1^2, -2, 1^2, -2), \\ \sigma(6_3) &= (1^2, -2, 1, (-2)^2), \\ \sigma(6_2^3) &= (1, -2, 1, -2, 1, -2), \\ \sigma(6_3^2) &= (1, -2, 1, 3, -2, 3). \end{aligned}$$

$$\begin{aligned}
\mathbb{L}_7^{\mathbb{P}} : & 7_1 < 6_2^2 < 7_1^2 < 7_7^2 < 7_8^2 < 7_4^2 < 7_2^2 < 7_5^2 < 7_6^2 < 6_1 < 7_6 < 7_7 < 7_1^3 \\
& \sigma(7_1) = 1^7, \\
& \sigma(6_2^2) = (1^4, 2, -1, 2), \\
& \sigma(7_1^2) = (1^4, -2, 1, -2), \\
& \sigma(7_7^2) = (1^3, 2, 1^2, 2), \\
& \sigma(7_8^2) = (1^3, 2, (-1)^2, 2), \\
& \sigma(7_4^2) = (1^3, -2, 1^2, -2), \\
& \sigma(7_2^2) = (1^3, -2, 1, (-2)^2), \\
& \sigma(7_5^2) = (1^2, -2, 1^2, (-2)^2), \\
& \sigma(7_6^2) = (1^2, -2, 1, -2, 1, -2), \\
& \sigma(6_1) = (1^2, 2, -1, -3, 2, -3), \\
& \sigma(7_6) = (1^2, -2, 1, 3, -2, 3), \\
& \sigma(7_7) = (1, -2, 1, -2, 3, -2, 3) \\
& \sigma(7_1^3) = (1, -2, 1, 3, (-2)^2, 3).
\end{aligned}$$

The following lattice points of Example (6.2)

$$(1^2, 2, -1, 2), (1^2, 2, (-1)^2, 2), (1^3, -2, (-1)^2, -2), (1^3, 2, -1, 2^2), (1, -2, 1, 3, 2^2, 3)$$

are removed from the list, since these links are seen to be  $4_1^2, 6_3^3, 7_7^2, 6_3^2, 6_3^3$ , respectively. The links  $7_2, 7_3, 7_4, 7_5, 7_3^2$  in Rolfsen's table of [21] are also excluded from the list since these links turn out to have lengths greater than 7. In Steps 3 and 4, powers of low dimensional topology techniques will be seriously tested.

*Step 3.* Construct the subset  $\mathbb{L}_n^\pi \subset \mathbb{L}_n^{\mathbb{P}}$  by removing every link  $L \in \mathbb{L}_n^{\mathbb{P}}$  such that there is a link  $L' \in \mathbb{L}_j^{\mathbb{P}}$  ( $j \leq n$ ) with  $L' < L$  and  $\pi_1 E(L) = \pi_1 E(L')$ .

From construction, we see that the set  $\mathbb{L}_n^\pi$  consists of  $\pi$ -minimal links of length  $n$ . Among the links in Example (6.3), we see that  $E(4_1^2) = E(7_7^2)$  and  $E(5_1^2) = E(7_8^2)$  by taking one full twist along a component and that except these identities, all the links have mutually distinct link groups by using the following lemma on the Alexander polynomials:

*Lemma (6.4)* Let  $A(t_1, t_2, \dots, t_r)$  and  $A'(t_1, t_2, \dots, t_r)$  be the Alexander polynomials of oriented links  $L$  and  $L'$  with  $r$  components. If there is a homeomorphism  $E(L) \rightarrow E(L')$ , then there is an automorphism  $\psi$  of the multiplicative free abelian group  $\langle t_1, t_2, \dots, t_r \rangle$  with basis  $t_i$  ( $i = 1, 2, \dots, r$ ) such that

$$A'(t_1, t_2, \dots, t_r) = \pm t_1^{s_1} t_2^{s_2} \dots t_r^{s_r} A(\psi(t_1), \psi(t_2), \dots, \psi(t_r))$$

for some integers  $s_i$  ( $i = 1, 2, \dots, r$ ).

The proof of this lemma is direct from the definition of Alexander polynomial(see [15]). Thus, we obtain the following example:

*Example (6.5)* We have  $\mathbb{L}_n^\pi = \mathbb{L}_n^p$  for  $n \leq 6$  and

$$\mathbb{L}_7^\pi : \quad 7_1 < 6_2^2 < 7_1^2 < 7_4^2 < 7_2^2 < 6_1 < 7_5^2 < 7_6^2 < 7_6 < 7_7 < 7_1^3.$$

Let  $\mathbb{M}_n$  be the subset of  $\mathbb{M}$  consisting of 3-manifolds of length  $n$ , and  $\mathbb{L}_n^{\mathbb{M}}$  the subset of  $\mathbb{L}_n^\pi$  by removing a  $\pi$ -minimal link  $L \in \mathbb{L}_n^\pi$  such that there is a  $\pi$ -minimal link  $L' \in \mathbb{L}_j^\pi$  ( $j \leq n$ ) with  $L' < L$  and  $\chi(L, 0) = \chi(L', 0)$ . The following step is the final step of our classification program:

*Step 4.* Construct the set  $\mathbb{L}_n^{\mathbb{M}}$ .

Let  $L_i$  ( $i = 1, 2, \dots, r$ ) be the  $\pi$ -minimal links in the set  $\mathbb{L}_n^{\mathbb{M}}$ , ordered by  $\Omega_c$ . Then we have  $M_{n,i} = \chi(L_i, 0)$ ,  $\alpha(M_{n,i}) = L_i$  ( $i = 1, 2, \dots, r$ ). An important notice is that every 3-manifold in  $\mathbb{M}$  appears once as  $M_{n,i}$  without overlaps. As we shall show later, the 0-surgery manifolds of the  $\pi$ -minimal links in Example (6.5) are mutually non-homeomorphic, so that we have the complete list of 3-manifolds in  $\mathbb{M}$  with length  $\leq 7$  as it is stated in Example (6.6).

*Example (6.6)*

$$\begin{aligned} M_{1,1} &= \chi(O, 0), & \mathbf{x}_{1,1} &= 0, \\ M_{2,1} &= \chi(2_1^2, 0), & \mathbf{x}_{2,1} &= 1^2, \\ M_{3,1} &= \chi(3_1, 0), & \mathbf{x}_{3,1} &= 1^3, \\ M_{4,1} &= \chi(4_1^2, 0), & \mathbf{x}_{4,1} &= 1^4, \\ M_{4,2} &= \chi(4_1, 0), & \mathbf{x}_{4,2} &= (1, -2, 1, -2), \\ M_{5,1} &= \chi(5_1, 0), & \mathbf{x}_{5,1} &= 1^5, \\ M_{5,2} &= \chi(5_1^2, 0), & \mathbf{x}_{5,2} &= (1^2, -2, 1, -2), \\ M_{6,1} &= \chi(6_1^2, 0), & \mathbf{x}_{6,1} &= 1^6, \\ M_{6,2} &= \chi(5_2, 0), & \mathbf{x}_{6,2} &= (1^3, 2, -1, 2), \\ M_{6,3} &= \chi(6_2, 0), & \mathbf{x}_{6,3} &= (1^3, -2, 1, -2), \\ M_{6,4} &= \chi(6_3^3, 0), & \mathbf{x}_{6,4} &= (1^2, 2, 1^2, 2), \\ M_{6,5} &= \chi(6_1^3, 0), & \mathbf{x}_{6,5} &= (1^2, -2, 1^2, -2), \\ M_{6,6} &= \chi(6_3, 0), & \mathbf{x}_{6,6} &= (1^2, -2, 1, (-2)^2), \\ M_{6,7} &= \chi(6_2^3, 0), & \mathbf{x}_{6,7} &= (1, -2, 1, -2, 1, -2), \\ M_{6,8} &= \chi(6_3^2, 0), & \mathbf{x}_{6,8} &= (1, -2, 1, 3, -2, 3), \\ M_{7,1} &= \chi(7_1, 0), & \mathbf{x}_{7,1} &= 1^7, \\ M_{7,2} &= \chi(6_2^2, 0), & \mathbf{x}_{7,2} &= (1^4, 2, -1, 2), \end{aligned}$$

$$\begin{aligned}
M_{7,3} &= \chi(7_1^2, 0), & \mathbf{x}_{7,3} &= (1^4, -2, 1, -2), \\
M_{7,4} &= \chi(7_4^2, 0), & \mathbf{x}_{7,4} &= (1^3, -2, 1^2, -2), \\
M_{7,5} &= \chi(7_2^2, 0), & \mathbf{x}_{7,5} &= (1^3, -2, 1, (-2)^2), \\
M_{7,6} &= \chi(7_5^2, 0), & \mathbf{x}_{7,6} &= (1^2, -2, 1^2, (-2)^2), \\
M_{7,7} &= \chi(7_6^2, 0), & \mathbf{x}_{7,7} &= (1^2, -2, 1, -2, 1, -2), \\
M_{7,8} &= \chi(6_1, 0), & \mathbf{x}_{7,8} &= (1^2, 2, -1, -3, 2, -3), \\
M_{7,9} &= \chi(7_6, 0), & \mathbf{x}_{7,9} &= (1^2, -2, 1, 3, -2, 3), \\
M_{7,10} &= \chi(7_7, 0), & \mathbf{x}_{7,10} &= (1, -2, 1, -2, 3, -2, 3), \\
M_{7,11} &= \chi(7_1^3, 0), & \mathbf{x}_{7,11} &= (1, -2, 1, 3, (-2)^2, 3).
\end{aligned}$$

To see that the 3-manifolds in Example (6.6) are mutually non-homeomorphic, we first check the first integral homology. It is computed as follows:

- (1)  $H_1(M) = \mathbb{Z}$  for  $M = M_{1,1}, M_{3,1}, M_{4,2}, M_{5,1}, M_{6,2}, M_{6,3}, M_{6,6}, M_{7,1}, M_{7,8}, M_{7,9}, M_{7,10}$ .
- (2)  $H_1(M) = \mathbb{Z} \oplus \mathbb{Z}$  for  $M = M_{5,2}, M_{7,4}, M_{7,7}$ .
- (3)  $H_1(M) = \mathbb{Z}_2$  for  $M = M_{6,4}, M_{6,5}, M_{7,11}$ .
- (4)  $H_1(M) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$  for  $M = M_{6,7}$ .
- (5)  $H_1(M) = \mathbb{Z}_2 \oplus \mathbb{Z}_2$  for  $M = M_{4,1}, M_{6,8}, M_{7,6}$ .
- (6)  $H_1(M) = \mathbb{Z}_3 \oplus \mathbb{Z}_3$  for  $M = M_{6,1}, M_{7,2}$ .
- (7)  $H_1(M) = 0$  for  $M = M_{2,1}, M_{7,3}, M_{7,5}$ .

For (1), since the Alexander polynomial of a knot  $K$  is an invariant of the homology handle  $\chi(K, 0)$ , we see that the homology handles of (1) are mutually distinct. For (2), since the Alexander polynomial of an oriented link  $L$  with all the linking numbers 0 is also an invariant of  $\chi(L, 0)$  in the sense of Lemma (6.4), these 3-manifolds are mutually distinct. For (3), we note that  $M_{6,4} = P^3$  the projective 3-space,  $M_{6,5} = \chi(3_1, -2)$  (where we take  $3_1$  the positive trefoil knot) and  $M_{7,11} = \chi(4_1, -2)$ . We take the connected double covering spaces  $\tilde{M}$  of  $M = M_{6,4}, M_{6,5}$  and  $M_{7,11}$ . The homology  $H_1(\tilde{M})$  for  $M = M_{6,4}, M_{6,5}$  or  $M_{7,11}$  is respectively computed as  $0, \mathbb{Z}_3, \mathbb{Z}_5$ , showing that these 3-manifolds are mutually distinct. For (4), we have nothing to prove. Note that  $M_{6,7} = T^3$ . For (5), we compare the first integral homologies of the three kinds of connected double coverings of every  $M = M_{4,1}, M_{6,8}, M_{7,6}$ . For  $M = M_{4,1}$ , it is the quaternion space  $Q$  and we have  $H_1(\tilde{M}) = \mathbb{Z}_4$  for every connected double covering space  $\tilde{M}$  of  $M$ . For  $M = M_{6,8}$ , we have  $H_1(\tilde{M}; \mathbb{Z}_3) = \mathbb{Z}_3$  for every connected double covering space  $\tilde{M}$  of  $M$ . On the other hand, for  $M = M_{7,6}$ , we have  $H_1(\tilde{M}) = \mathbb{Z}_{20}$  and  $H_1(\tilde{M}; \mathbb{Z}_3) = 0$  for some connected double covering space  $\tilde{M}$  of  $M$ . Thus, these 3-manifolds are mutually distinct. For(6), we use the following lemma:

*Lemma (6.7)* Let  $H = \mathbb{Z}_p \oplus \mathbb{Z}_p$  for an odd prime  $p > 1$ . If the linking form  $\ell : H \times H \rightarrow \mathbb{Q}/\mathbb{Z}$  is hyperbolic, then the hyperbolic  $\mathbb{Z}_p$ -basis  $e_1, e_2$  of  $H$  is unique up to unit multiplications of  $\mathbb{Z}_p$ .

*Proof.* Let  $e'_1, e'_2$  be another hyperbolic  $\mathbb{Z}_p$ -basis of  $H$ . Let  $e'_i = a_{i1}e_1 + a_{i2}e_2$ . Then

$$0 = \ell(e'_i, e'_i) = \frac{2a_{i1}a_{i2}}{p} \pmod{1},$$

$$\frac{1}{p} = \ell(e'_1, e'_2) = \frac{a_{11}a_{22} + a_{12}a_{21}}{p} \pmod{1}.$$

By these identities, we have either  $e'_1 = a_{11}e_1$  and  $e'_2 = a_{22}e_2$  with  $a_{11}a_{22} = 1$  in  $\mathbb{Z}_p$  or  $e'_1 = a_{12}e_2$  and  $e'_2 = a_{21}e_1$  with  $a_{12}a_{21} = 1$  in  $\mathbb{Z}_p$ .  $\square$

By Lemma (6.7), there are just two connected  $\mathbb{Z}_3$ -coverings  $\tilde{M}$  of every  $M = M_{6,1}, M_{7,2}$  associated with a hyperbolic direct summand  $\mathbb{Z}_3$  of  $H_1(M) = \mathbb{Z}_3 \oplus \mathbb{Z}_3$ . In other words, the covering  $\tilde{M}$  is associated with a  $\mathbb{Z}_3$ -covering covering of the exterior  $E(L)$  lifting one torus boundary component trivially, where  $L = 6_1^2, 6_2^2$ . Since the link  $L$  is interchangeable, it is sufficient to check one covering for each  $M$ . For  $M = M_{6,1}$  we have  $H_1(\tilde{M}) = \mathbb{Z}_9 \oplus \mathbb{Z}_3$  and for  $M = M_{7,2}$  we have  $H_1(\tilde{M}) = \mathbb{Z} \oplus \mathbb{Z}$ . Thus, these 3-manifolds are distinct. For (7), the Dehn surgery manifolds  $\chi(7_1^2, 0)$  and  $\chi(7_2^2, 0)$  are the boundaries of Mazur manifolds (which are normal imitations of  $S^3$ ) and identified with the Brieskorn homology 3-spheres  $\Sigma(2, 3, 13), \Sigma(2, 5, 7)$  by S. Akbulut and R. Kirby [1]. Hence, we have  $M_{2,1} = S^3, M_{7,3} = \Sigma(2, 3, 13)$  and  $M_{7,5} = \Sigma(2, 5, 7)$  and these 3-manifolds are mutually distinct. Thus, we see that the 3-manifolds of Example (6.6) are mutually distinct.

For the Poincaré homology 3-sphere  $\Sigma = \Sigma(2, 3, 5)$ , the prime link  $\alpha(\Sigma)$  must have at least 10 components. [To see this, assume that  $\alpha(\Sigma)$  has  $r$  components. Using that  $\Sigma$  is a homology 3-sphere and  $\Sigma = \chi(\alpha(\Sigma), 0)$ , we see that  $\Sigma$  bounds a simply connected 4-manifold  $W$  with an  $r \times r$  non-singular intersection matrix whose diagonal entries are all 0. Since the Rochlin invariant of  $\Sigma$  is non-trivial, it follows that the signature of  $W$  is an odd multiple of 8 and  $r$  is even. Hence  $r \geq 8$ . If  $r = 8$ , then the intersection matrix is a positive or negative definite matrix which is not in our case. Thus, we have  $r \geq 10$ .] Since  $\chi(3_1, 1) = \Sigma$  for the positive trefoil knot  $3_1$ , an answer to the following question on Kirby calculus (see [13, 19, 21]) will help in understanding the link  $\alpha(\Sigma)$ :

*Question (6.8)* How is  $\Omega_c$  understood among colored links ?

We note that the cardinal numbers  $l_n = \#\mathbb{L}_n^p$  and  $m_n = \#\mathbb{M}_n$  are independent of a choice of any well-order  $\Omega$  of  $\mathbb{X}$  with the condition that any lattice points  $\mathbf{x}, \mathbf{y}$  with  $\ell(\mathbf{x}) < \ell(\mathbf{y})$  has the order  $\mathbf{x} < \mathbf{y}$ . A sequence of non-negative integers  $k_n$  ( $n = 1, 2, \dots$ ) is a *polynomial growth sequence* if there is an integral polynomial  $f(x)$  in one variable  $x$  such that  $k_n \leq f(n)$  for all  $n$ . Concerning the classifications of  $\mathbb{L}^p$  and  $\mathbb{M}$ , the following question may be interesting:

*Question (6.9)* Are the sequences  $l_n$  and  $m_n$  ( $n = 1, 2, \dots$ ) polynomial growth sequences ?

Let  $p_n$  be the number of prime links with the crossing number  $n$ . C. Ernst and D.W. Sumners [8] showed that the sequence  $p_n$  ( $n = 0, 1, 2, \dots$ ) is not any polynomial growth sequence by counting the numbers of 2-bridge knots and links.

## 7. Notes on the oriented version and oriented 3-manifold invariants

Let  $\vec{\mathbb{M}}$  be the set of closed connected oriented 3-manifolds. Using the injection  $\vec{\sigma} : \vec{\mathbb{L}} \rightarrow \mathbb{X}$ , we have a well-order in  $\vec{\mathbb{L}}$  induced from a well-order  $\Omega$  in  $\mathbb{X}$  and also denoted by  $\Omega$ . Writing

$$\vec{\mathbb{L}}^\pi = \iota^{-1}\mathbb{L}^\pi \subset \vec{\mathbb{L}},$$

we can show that the embedding  $\alpha : \mathbb{M} \rightarrow \mathbb{L}$  in Theorem (1.1) lifts to an embedding

$$\vec{\alpha} : \vec{\mathbb{M}} \longrightarrow \vec{\mathbb{L}}$$

such that  $\chi_0 \vec{\alpha} = 1$  and  $\vec{\alpha}(-M) = \overline{\vec{\alpha}(M)}$  for every  $M \in \vec{\mathbb{M}}$ , where the map  $\chi_0 : \vec{\mathbb{L}} \rightarrow \vec{\mathbb{M}}$  denotes the oriented vberion of the 0-surgery map  $\chi_0 : \mathbb{L} \rightarrow \mathbb{M}$ . To see this, for every  $M \in \vec{\mathbb{M}}$ , we note that the link  $L_0 = \text{cl}\beta\sigma_\alpha(M)$  is canonically oriented and  $\chi(L_0, 0) = \pm M$ , where  $-M$  denotes the same  $M$  but with the orientation reversed. If  $M = -M$ , then we define  $\vec{\alpha}(M) = L_0$ . If  $M \neq -M$ , then we define  $\vec{\alpha}(M)$  so as to satisfy

$$\{\vec{\alpha}(M), \vec{\alpha}(-M)\} = \{L_0, -\bar{L}_0\} \quad \text{and} \quad \chi(\vec{\alpha}(M), 0) = M.$$

As a related question, it would be interesting to know *whether or not there is an oriented link  $L \in \vec{\mathbb{L}}$  with  $L = -\bar{L}$  and  $\chi(L, 0) = M$  for every  $M \in \vec{\mathbb{M}}$  with  $M = -M$ .*

For an algebraic system  $\Lambda$ , an *oriented 3-manifold invariant* in  $\Lambda$  is a map  $\vec{\mathbb{M}} \rightarrow \Lambda$  and an *oriented link invariant* in  $\Lambda$  is a map  $\vec{\mathbb{L}} \rightarrow \Lambda$ . Let  $\text{Inv}(\vec{\mathbb{M}}, \Lambda)$  and  $\text{Inv}(\vec{\mathbb{L}}, \Lambda)$  be the sets of oriented 3-manifold invariants and oriented link invariants in  $\Lambda$ , respectively. Then we have  $\chi_0 \vec{\alpha} = 1$ . We consider the following sequence

$$\text{Inv}(\vec{\mathbb{M}}, \Lambda) \xrightarrow{\chi_0^\#} \text{Inv}(\vec{\mathbb{L}}, \Lambda) \xrightarrow{\vec{\alpha}^\#} \text{Inv}(\vec{\mathbb{M}}, \Lambda)$$

of the dual maps  $\vec{\alpha}^\#$  and  $\chi_0^\#$  of  $\vec{\alpha}$  and  $\chi_0$ . Since the composite  $\vec{\alpha}^\# \chi_0^\# = 1$ , we see that  $\chi_0^\#$  is injective and  $\vec{\alpha}^\#$  is surjective, both of which imply that *every oriented 3-manifold invariant can be obtained from an oriented link invariant*. More precisely, if  $I$  is an oriented 3-manifold invariant, then  $\chi_0^\#(I)$  is an oriented link invariant which takes the same value  $I(M)$  on the subset  $\vec{\mathbb{L}}(M) = \{L \in \vec{\mathbb{L}} \mid \chi(L, 0) = M\}$  for every  $M \in \vec{\mathbb{M}}$ . Conversely, if  $J$  is an oriented link invariant, then  $\vec{\alpha}^\#(J)$  is

an oriented 3-manifold invariant and every oriented 3-manifold invariant is obtained in this way. Here is an example creating an oriented 3-manifold invariant from an oriented link invariant when we use the right inverse  $\vec{\alpha}$  of  $\chi_0$ , defined by the canonical order  $\Omega_c$ .

*Example (7.1)* We denote by  $V$  a Seifert matrix associated with a connected Seifert surface of the link (see [15]). Then the signature  $\text{sign}(V + V')$  and the determinant  $\det(tV - V')$  give respectively oriented link invariants, that is, the signature invariant  $\lambda \in \text{Inv}(\vec{\mathbb{L}}, \mathbb{Z})$  and the (one variable) Alexander polynomial  $A \in \text{Inv}(\vec{\mathbb{L}}, \mathbb{Z}[t, t^{-1}])$  (an oriented link invariant up to multiples of  $\pm t^m$ ,  $m \in \mathbb{Z}$ ). For the right inverse  $\vec{\alpha}$  of  $\chi_0$  using the canonical order  $\Omega_c$ , we have the oriented 3-manifold invariants

$$\lambda_{\vec{\alpha}} = \vec{\alpha}^{\#}(\lambda) \in \text{Inv}(\vec{\mathbb{M}}, \mathbb{Z}) \quad \text{and} \quad A_{\vec{\alpha}} = \vec{\alpha}^{\#}(A) \in \text{Inv}(\vec{\mathbb{M}}, \mathbb{Z}).$$

For some 3-manifolds, these invariants are calculated as follows:

$$(7.1.1) \quad \lambda_{\vec{\alpha}}(S^1 \times S^2) = 0, \quad A_{\vec{\alpha}}(S^1 \times S^2) = 1.$$

$$(7.1.2) \quad \lambda_{\vec{\alpha}}(S^3) = -1, \quad A_{\vec{\alpha}}(S^3) = t - 1.$$

$$(7.1.3) \quad \lambda_{\vec{\alpha}}(\pm Q) = \mp 3, \quad A_{\vec{\alpha}}(\pm Q) = (t - 1)(t^2 + 1) \quad (\text{we note that } Q \neq -Q).$$

$$(7.1.4) \quad \lambda_{\vec{\alpha}}(P^3) = -4, \quad A_{\vec{\alpha}}(P^3) = (t - 1)^2.$$

$$(7.1.5) \quad \lambda_{\vec{\alpha}}(T^3) = 0, \quad A_{\vec{\alpha}}(T^3) = (t - 1)^4.$$

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