

Alexander duals to boundaries of polytopes

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- 1 What is understood by “boundary of a polytope”;
- 2 To recall the definition of Alexander dual complex;
- 3 To describe Alexander duals to boundaries of polytopes;
- 4 Applications (neighborly polytopes, flag polytopes, bigraded Betti numbers, Buchstaber invariant).

Common and important construction

Let Q be a simple d -dimensional polytope (i.e. any vertex of Q belongs to exactly d facets).

The polar dual polytope Q^* is simplicial (i.e. any proper facet of Q^* is a simplex). The boundary ∂Q^* can be considered as an abstract simplicial complex, associated to a simple polytope Q . In fact, ∂Q^* is a simplicial sphere (thus Gorenstein*, Cohen–Macaulay, etc.).

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The complex ∂Q^* is very important for toric topology and toric geometry. It appears in many formulas, e.g. describing cohomology and equivariant cohomology of toric spaces over Q .

General polytopes

If a polytope P is not simplicial, its boundary is not a simplicial complex anymore. Nevertheless, in this case we can associate a simplicial complex $K(P)$ to such polytope in a very natural way:

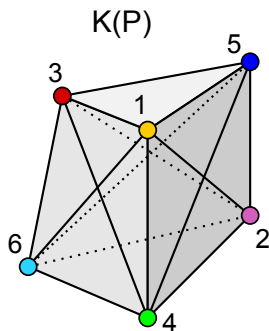
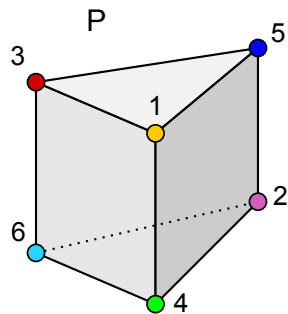
Definition of $K(P)$

Let P be a d -dimensional polytope with m vertices v_1, \dots, v_m . Define a simplicial complex $K(P)$ on the set $[m] = \{1, \dots, m\}$ by the condition $\{i_1, \dots, i_k\} \in K(P) \Leftrightarrow v_{i_1}, \dots, v_{i_k}$ lie in one proper face of P .

This construction “replaces” facets of P by abstract simplices. If P is simplicial, $K(P) = \partial P$.

In the joint work with prof. Buchstaber we called $K(P)$ **nerve-complexes**.

Example



Maximal simplices of $K(P)$ =
= facets of P :

- $\{1,3,5\}$
- $\{2,4,6\}$
- $\{1,2,4,5\}$
- $\{2,3,5,6\}$
- $\{1,3,4,6\}$

The nerve-complex $K(P)$ is not a simplicial sphere. It is even not pure in this case!

If P is non-simplicial, then $K(P)$ is not a sphere (even not Cohen–Macaulay). Anyway, the complex $K(P)$ has nice properties.

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Properties of $K(P)$

For a face $F \subsetneq P$ let $\sigma(F) \subset [m]$ denote the set of its vertices (their labels, strictly speaking). For an “empty face” we also set $\sigma(\emptyset) = \emptyset$. By definition, $\sigma(F)$ is a simplex of $K(P)$. Then

- 1 $\text{link}_{K(P)} \sigma(F) \simeq S^{d-\dim F-2}$;
- 2 If $I \in K(P)$ and $I \neq \sigma(F)$ for any F , then $\text{link}_{K(P)} I$ is contractible.

Two things follow from these properties.

- 1 The complex $K(P)$ behaves in many aspects like a sphere.
- 2 The poset of faces of P is contained in $K(P)$. One can retrieve the complete combinatorial information about P from $K(P)$.

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Some aspects of toric topology (e.g. theory of moment-angle complexes) can be developed for non-simple polytopes Q . In this general setting complexes $K(Q^*)$ play the same role as ∂Q^* in a classical case.

Motivation

Minimal non-simplices

Let K be a simplicial complex on a set $[m]$. A subset $I \subset [m]$ is called **minimal non-simplex** (or missing face) of K if $I \notin K$ but $\partial I \subseteq K$. The set of minimal non-simplices will be denoted by $N(K)$.

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In some tasks we need a convenient description of $N(K)$, when K is the boundary ∂P of a simplicial polytope or, more generally, a nerve-complex $K(P)$.

These tasks include: the study of a Buchstaber invariant, the calculation of bigraded Betti numbers (using Taylor resolution), and others... I will tell about some concrete applications later.

Alexander dual complex

Definition (Alexander dual complex)

If K is a complex on a set $[m]$ and $K \neq \Delta_{[m]}$, then its **Alexander dual** is a simplicial complex on $[m]$, defined by

$$K^\wedge = \{I \subset [m] \mid [m] \setminus I \notin K\}$$

Non-simplices of K are exactly complements to simplices of K^\wedge . So far

To study non-simplices of $K \iff$ to study simplices of K^\wedge .

Well-known facts about Alexander duality

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- $\tilde{H}_i(K; \mathbb{k}) \cong \tilde{H}^{m-3-i}(\hat{K}; \mathbb{k})$;
- If $I \in K$, then $(\text{link}_K I)^\wedge = \hat{K}_{[m] \setminus I}$ — a full subcomplex;
- If $J \notin K$, then $(K_J)^\wedge = \text{link}_{\hat{K}}([m] \setminus J)$.

Problem

To describe simplicial complexes, which are Alexander dual to $K(P)$ for convex polytopes P . In particular, to describe complexes, which are Alexander dual to boundaries of simplicial polytopes.

Constellation complexes

Let \mathbb{R}^{r+1} be a Euclidian space with the inner product $\langle \cdot, \cdot \rangle$.

Let $\mathbb{S}^r \subset \mathbb{R}^{r+1}$ be a unit sphere. For a point $x \in \mathbb{S}^r \sqcup \{0\}$ consider the set $H(x) = \{y \in \mathbb{S}^r \mid \langle x, y \rangle > 0\}$.

If $x = 0$, then $H(x)$ is empty. If $x \in \mathbb{S}^r$, the set $H(x)$ is an open hemisphere.

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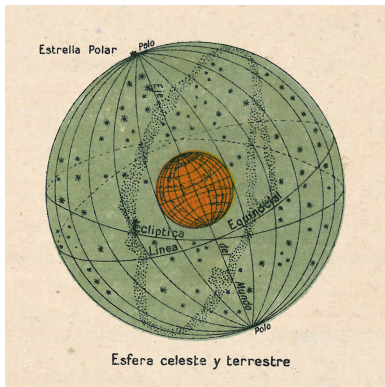
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For a finite sequence of points $X = \{x_1, \dots, x_m\} \subset \mathbb{S}^r \sqcup \{0\}$ (repetitions are allowed) consider corresponding sets $\{H(x_i)\}$.

Definition

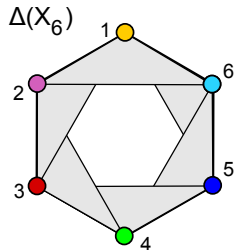
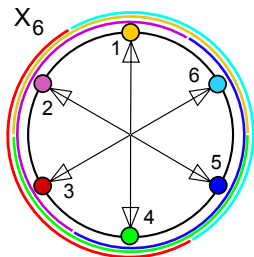
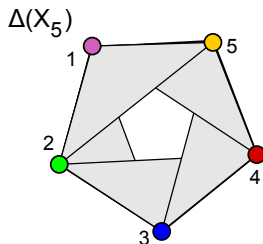
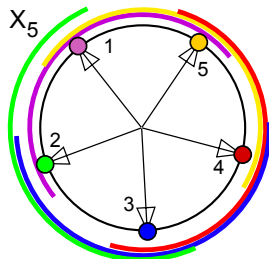
The nerve $\Delta(X)$ of the covering $\bigcup H(x_i)$ is called the **constellation complex** of X . In other words, $\{i_1, \dots, i_k\}$ is a simplex of $\Delta(X)$ if and only if $H(x_{i_1}), \dots, H(x_{i_k})$ intersect \Leftrightarrow points x_{i_1}, \dots, x_{i_k} **lie in a common open hemisphere**.



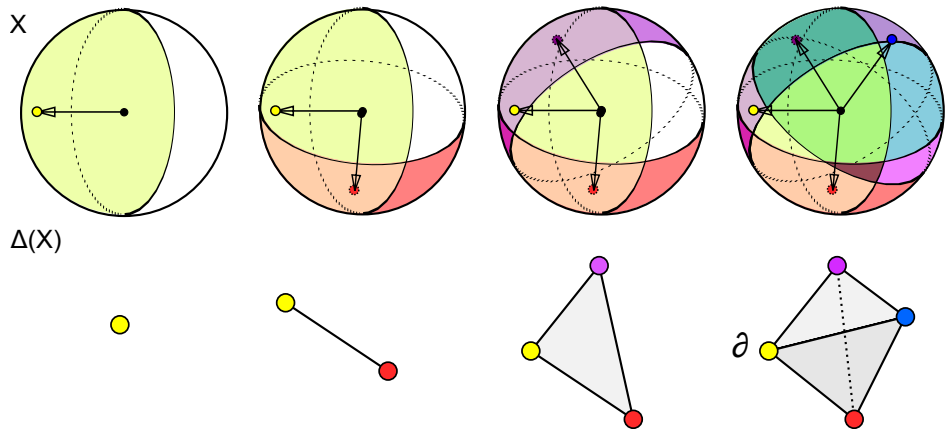
Remark

It is natural to call a set of stars on a celestial sphere a **constellation**, if they can be observed simultaneously from one point on Earth (= lie in a common hemisphere). Therefore the simplices of $\Delta(X)$ are “constellations”, which explains the name “constellation complex”.

Example



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Answer to the problem: constellation complexes are dual to nerve-complexes

Claim

- 1 For any polytope P^d with m vertices, there exists a configuration of m points $X \subset \mathbb{S}^{m-d-2} \sqcup \{0\}$ such that $K(P)^\wedge = \Delta(X)$.
- 2 If $X \subset \mathbb{S}^{m-d-2} \sqcup \{0\}$ is a configuration of points, for which hemispheres $H(x_i)$ cover the sphere \mathbb{S}^{m-d-2} at least twice, then there exists a polytope P , such that $K(P)^\wedge = \Delta(X)$.

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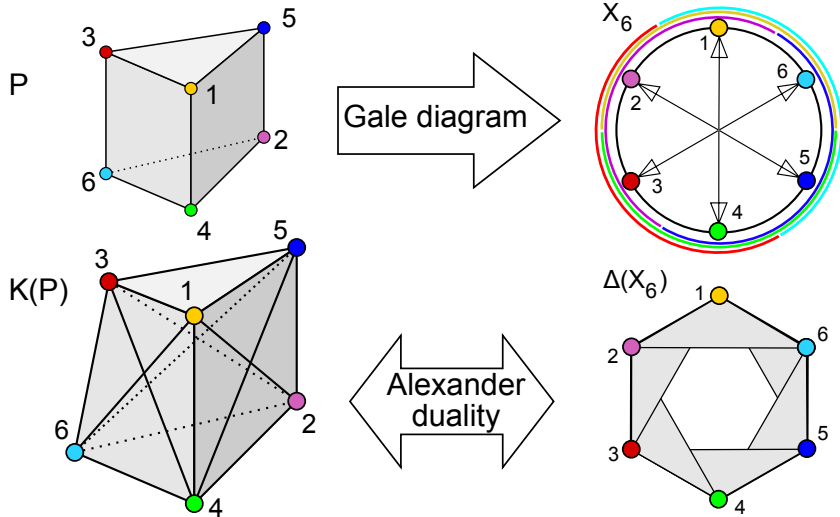
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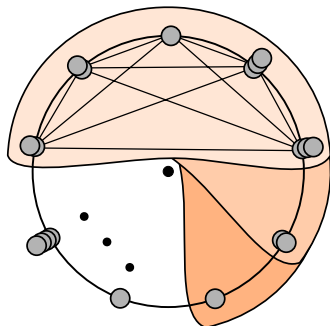
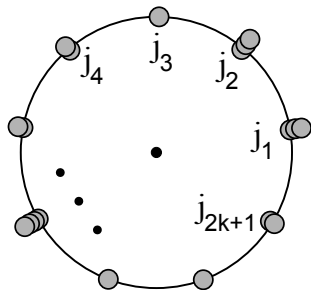
Remark

Such configuration X can be built as an **affine Gale diagram** of P . The claim follows from the theory of Gale duality.

Example



Polytopes with $m - d = 3$



P — a simplicial polytope with m vertices, $\dim P = d$, $m - d = 3$. Then $X \subset \mathbb{S}^1$. All Gale diagrams in this dimension are classified: they look like the left picture. The constellation complex of such configuration is shown on the right. This complex has a simple description, and it is Alexander dual to ∂P .

Properties of polytopes in terms of constellation complexes

A polytope P is called **k -neighborly** if any k of its vertices lie in a common proper face. A simplicial polytope P is called **flag** if any set of pairwise adjacent vertices is a face of P . In other words, P is flag if $|J| = 2$ for any $J \in N(\partial P)$.

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Proposition

Let P^d be a polytope with m vertices and $X \subseteq \mathbb{S}^{m-d-2} \sqcup \{0\}$ — its Gale diagram (i.e. $K(P)^\wedge = \Delta(X)$). Then

- 1 P is a pyramid $\Leftrightarrow \{0\} \in X \Leftrightarrow \Delta(X)$ has ghost vertices.
- 2 P is k -neighborly $\Leftrightarrow \dim \Delta(X) \leq m - k - 2$.
- 3 If P is simplicial, then P is flag \Leftrightarrow every maximal simplex $I \in \Delta(X)$ has $m - 2$ vertices.

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- $\mathrm{Tor}_{\mathbb{k}[m]}^{*,*}(\mathbb{k}[K], \mathbb{k}) = \bigoplus_{i,j} \mathrm{Tor}_{\mathbb{k}[m]}^{-i,2j}(\mathbb{k}[K], \mathbb{k})$
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- **Bigraded Betti numbers** $\beta^{-i,2j}(K) = \dim_{\mathbb{k}} \mathrm{Tor}_{\mathbb{k}[m]}^{-i,2j}(\mathbb{k}[K], \mathbb{k})$

Bigraded Betti numbers

Theorem (Hochster formula)

For any simplicial complex

$$\beta^{-i,2j}(K) = \sum_{J \subseteq [m], |J|=j} \dim_{\mathbb{k}} \tilde{H}^{j-i-1}(K_J; \mathbb{k}).$$

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We have for $i > 0$:

$$\begin{aligned} \beta^{-i,2j}(K) &= \sum_{|J|=j} \dim \tilde{H}^{j-i-1}((\text{link}_{\hat{K}}([m] \setminus J))^{\wedge}; \mathbb{k}) = \\ &= \sum_{|J|=j, [m] \setminus J \in \hat{K}} \dim \tilde{H}_{i-2}(\text{link}_{\hat{K}}([m] \setminus J); \mathbb{k}). \end{aligned}$$

Bigraded Betti numbers of polytopes can be computed from constellation complexes

Proposition

Let P be a polytope with m vertices of dimension n . Let $X \subset \mathbb{S}^{m-n-2}$ be its Gale diagram. Then for $i > 0$ we have

$$\beta^{-i,2j}(K(P)) = \sum_{J \in \Delta(X), |J|=m-j} \dim \tilde{H}_{i-2}(\text{link}_{\Delta(X)} J).$$

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This method allows to compute $\beta^{-i,2j}(\partial P)$ for simplicial polytopes P with $m - d = 3$. In this case $X \subset \mathbb{S}^1$ and all Gale diagrams are classified, as I mentioned before. This computation was done previously by Nickolai Erokhovets using different approach.

Bigraded Betti numbers of constellation complexes can be computed from polytopes

Proposition

Let P be a simplicial d -polytope with m vertices and $X \subset \mathbb{S}^{m-d-2}$ — its Gale diagram. Then for $i > 0$ we have

- $\beta^{-i, 2(m-d-1+i)}(\Delta(X)) = f_{d-i}(P)$ — the number of $(d-i)$ -dimensional simplices of ∂P .
- $\beta^{-i, 2j}(\Delta(X)) = 0$ if $j \neq m-d-1+i$.

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Indeed,

$$\beta^{-i, 2j}(\Delta(X)) = \sum_{J \in \partial P, |J|=m-j} \dim \tilde{H}_{i-2}(\text{link}_{\partial P} J). \quad (*)$$

Since ∂P is a sphere, $\text{link}_{\partial P} J \cong S^{d-1-|J|}$. Therefore each $(d-i)$ -dimensional simplex contributes 1 to the sum at the right-hand side of (*).

Bigraded Betti numbers of constellation complexes can be computed from polytopes

Consider an arbitrary polytope P . Let $f_{n,k}(P)$ be the number of n -dimensional proper faces of P with exactly k vertices. Formally set $f_{-1,0}(P) = 1$ — this corresponds to the “empty face” of P .

Obviously, if P is simplicial, we have $f_{n,n+1}(P) = f_n(P)$ and $f_{n,k}(P) = 0$ for $k \neq n + 1$.

Generally, the numbers $f_{n,k}(P)$ provide more detailed information than the ordinary f -vector.

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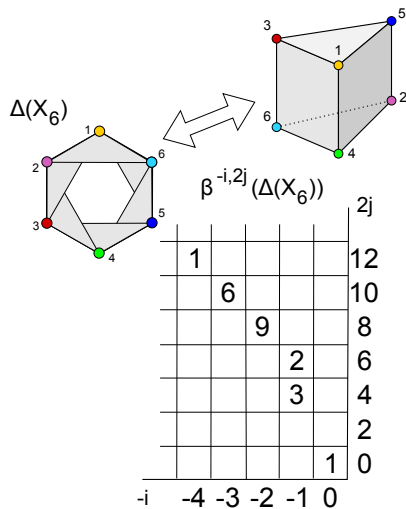
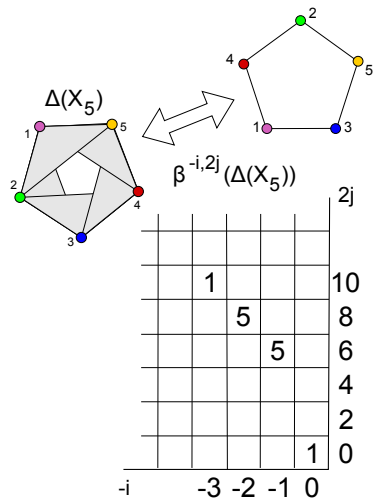
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The proposition follows from the properties of nerve-complexes, since $\text{link}_{K(P)} J$ is either homotopy equivalent to a sphere or contractible.

Example



Moment-angle complex

Definition

Let K be a simplicial complex on m vertices. The **moment-angle complex** is the subspace:

$$\mathcal{Z}_K = \bigcup_{I \in K} (D^2, S^1)^I \subseteq (D^2)^m,$$

where $(D^2, S^1)^I = \prod_{i \in I} D^2 \times \prod_{i \in [m] \setminus I} S^1$.

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Definition

The **Buchstaber invariant** $s(K)$ is the maximal rank of subgroups $G \subseteq T^m$ acting freely on \mathcal{Z}_K . For a polytope P define $s(P) := s(K(P^*))$.

Characterization in terms of minimal non-simplices

Theorem (Nickolai Erokhovets'12)

$s(K) \geq 2$ if and only if there exist $J_1, J_2, J_3 \in N(K)$ such that $J_1 \cap J_2 \cap J_3 = \emptyset$ (subsets may coincide).

This condition is equivalent to “there exist $I_1, I_2, I_3 \in K^\wedge$ such that $I_1 \cup I_2 \cup I_3 = [m]$ ”. Just take $I_i = [m] \setminus J_i$.

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Using this result and Gale diagrams we can prove

Theorem

$s(P) = 1 \Leftrightarrow P$ is a pyramid.

For simple polytopes this was known: if P is simple, then $s(P) = 1 \Leftrightarrow P$ is a simplex. Simplex is the only pyramid which is simple.

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$\Rightarrow I_1 \cup I_2 \cup I_3 \neq [m]$ for any $I_1, I_2, I_3 \in K(P^*)^\wedge$;

$\Rightarrow s(P) = s(K(P^*)) = 1$ by Erohovets result.

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Choose a vector $y \in \mathbb{S}^{m-d-2}$ not orthogonal to any $x_i \in X$. Consider two opposite open hemispheres $H(y)$ and $H(-y)$.

Both subsets $I_+ = H(y) \cap X$ and $I_- = H(-y) \cap X$ are simplices of $\Delta(X) = K(P)^\wedge$, and $I_+ \cup I_- = [m]$.

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Suppose P is not a pyramid;

$\Rightarrow P^*$ is not a pyramid;

\Rightarrow the Gale diagram X of P^* does not contain $\{0\}$. This means $X \subset \mathbb{S}^{m-d-2}$.

Choose a vector $y \in \mathbb{S}^{m-d-2}$ not orthogonal to any $x_i \in X$. Consider two opposite open hemispheres $H(y)$ and $H(-y)$.

Both subsets $I_+ = H(y) \cap X$ and $I_- = H(-y) \cap X$ are simplices of $\Delta(X) = K(P)^\wedge$, and $I_+ \cup I_- = [m]$.

\Rightarrow Erokhovets theorem implies $s(P) = s(K(P^*)) \geq 2$.

Final remarks

It is known that

$$H^*(\mathcal{Z}_K) \cong \mathrm{Tor}_{\mathbb{k}[m]}^{*,*}(\mathbb{k}[K]; \mathbb{k}),$$

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The answer to this problem is negative.

Final remarks

The most interesting case is when K is the boundary of a simplicial polytope. In this case \mathcal{Z}_K is a manifold. The question remains:

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Refined problem

Let P_1 and P_2 be simplicial polytopes. Does $\forall i, j$
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Nickolai Erokhovets verified this implication for a restricted class of polytopes (polytopes with $m - d \leq 3$ or $d \leq 3$).

I believe that this does not hold in general, but it is difficult to find a counterexample in a class of polytopes. Possibly, constellation complexes can play a certain role in constructing nontrivial counterexamples.

Problem

I do not know how to describe the multiplication in $H^*(\mathcal{Z}_P) \cong \mathrm{Tor}_{\mathbb{k}[m]}^{*,*}(\mathbb{k}[K(P^*)], \mathbb{k})$ in terms of Gale diagram X and its constellation complex $\Delta(X)$.






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Problem

Maybe we can find non-polytopal spheres by analyzing their dual complexes?

Thank you!

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