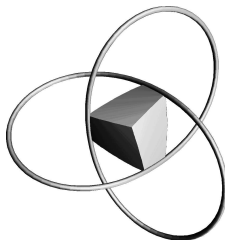


# Geometric Knot Theory

John M. Sullivan

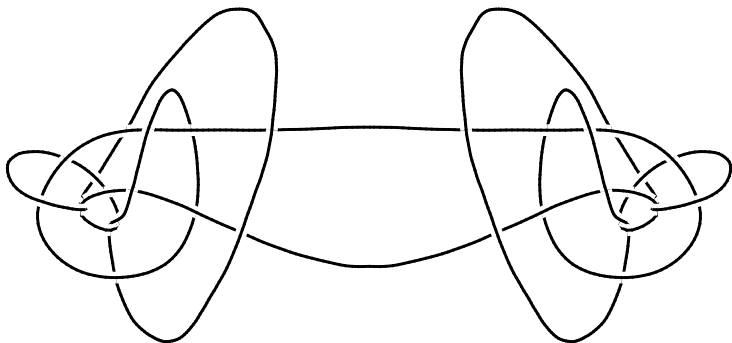
Institut für Mathematik, TU Berlin  
DFG Research Center Matheon

Ōsaka, 2006 January 28



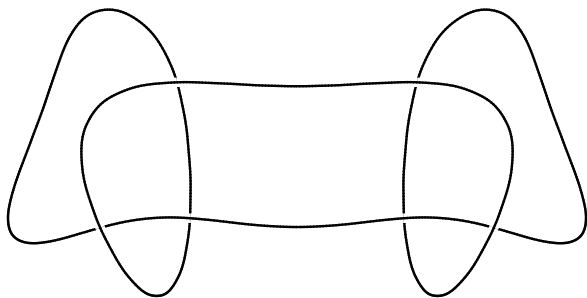
## Knots and Links

- Closed curves embedded in space
- Classified topologically up to *isotopy*
- Two knotted curves are equivalent (same knot type) if one can be deformed into the other



## Knots and Links

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## (Topological) Knot Theory

- Classify knot/link types
- Look for easily computed invariants to distinguish knots/links
- 3-manifold topology of complement



## Geometric Knot Theory

- Geometric properties determined by knot type or implied by knottedness
- Seek optimal shape for a given knot (optimal geometric form for topological object) usually: Minimize geometric energy



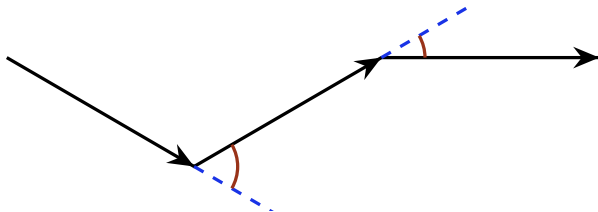
## Total Curvature

- For smooth curve  $K$

$$TC = \int_K \kappa ds$$

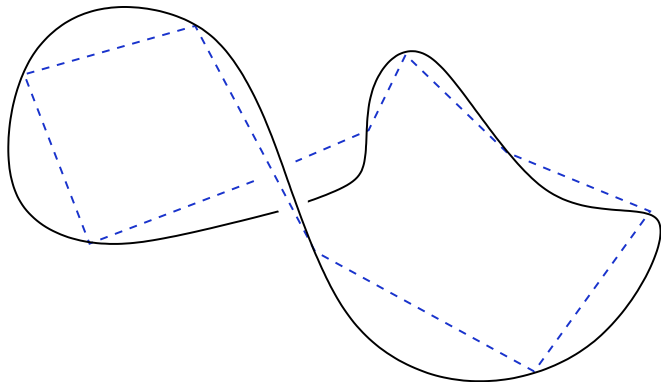
- For polygon  $P$

TC = sum of turning angles (exterior angles)



## Total Curvature

- For arbitrary curve  $K$  [Milnor]  
supremal TC of inscribed polygons



## Curves of Finite Total Curvature

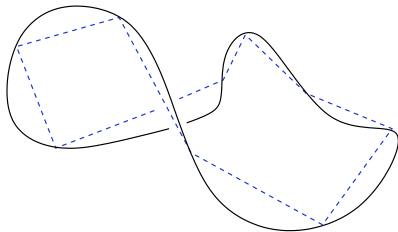
- FTC means  $TC < \infty$
- Unit tangent vector  
BV function of arclength
- Curvature measure  
 $T' = \kappa N ds$  as Radon measure
- Countably many corners  
where  $T_+ \neq T_-$   
(curvature measure has atom)





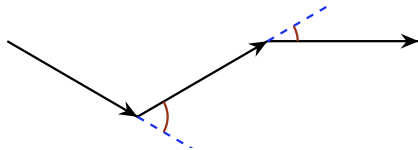
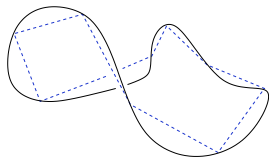
## Approximation of FTC curves

- FTC knot has isotopic inscribed polygon [Milnor]
- Tame knot type
- $K, K'$  each FTC and  $C^1$ -close  $\implies$  isotopic [DDS]
- FTC is “geometrically tame”



## Projection of FTC curves

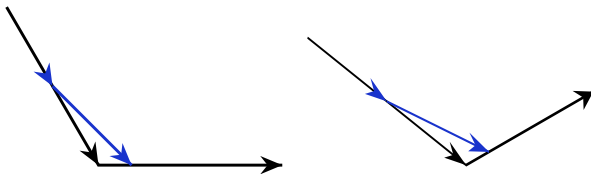
- Fix  $k < n$  and an FTC  $K \subset \mathbb{R}^n$
- Consider all projections of  $K$  to  $\mathbb{R}^k$ s
- Their average TC equals the TC of  $K$
- Pf: Suffices to prove for polygons  
Suffices to prove for one corner



## Projection of FTC curves (Proof)

- Given angle  $\theta$ , average turning angle of its projections is some function  $f_k^n(\theta)$
- By cutting corner into two,  $f_k^n$  clearly additive, hence linear  

$$f_k^n(\theta) = c_k^n \theta$$
- Any projection of a cusp is a cusp, so  $f_k^n(\pi) = \pi$   
 Hence  $c_k^n = 1$  as desired



## Fenchel's Theorem

$\gamma \subset \mathbb{R}^n$  closed curve  $\implies TC(\gamma) \geq 2\pi$

Pf: This is true in  $\mathbb{R}^1$ , where every angle is 0 or  $\pi$

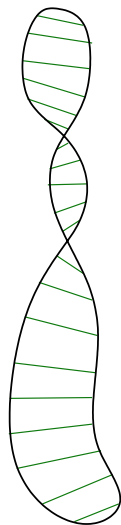


## Fáry/Milnor Theorem

$$K \subset \mathbb{R}^3 \text{ knotted} \implies TC(K) \geq 4\pi$$

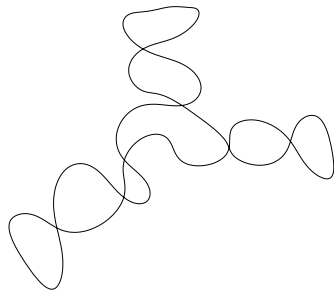
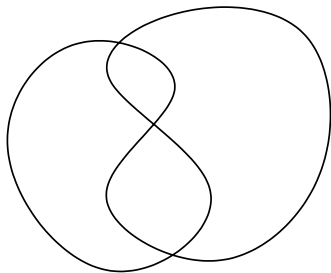
Milnor's Pf:

- No projection to  $\mathbb{R}^1$   
can just go up & down
- So true in  $\mathbb{R}^1$



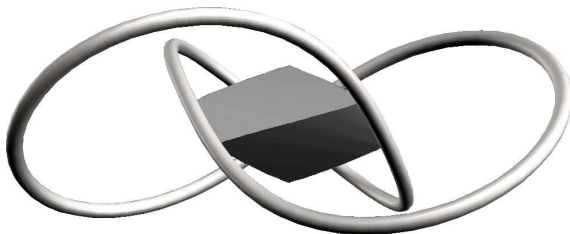
## Fáry/Milnor Theorem: Fáry's Proof

- True for knot diagrams in  $\mathbb{R}^2$
- Because some region enclosed twice  
(perhaps not winding number two)



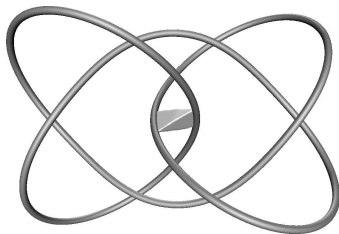
## Second Hull: Intuition

- Fáry/Milnor says knot  $K$  “wraps around” twice
- Intuition says  $K$  “wraps around some point” twice
- Some region (second hull) doubly enclosed by  $K$
- How to make this precise?



## Second hull: Definition

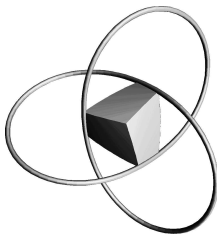
- Characterize convex hull of  $K$  as set of points  $p$  such that every plane through  $p$  cuts  $K$  (at least twice)
- Then  $n^{\text{th}}$  hull of  $K$  is set of points  $p$  such that every plane through  $p$  cuts  $K$  at least  $2n$  times





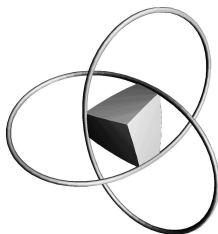
## Second hull: Theorem

- Work with Jason Cantarella, Greg Kuperber, Rob Kusner
- *Amer. J. Math* 125 (2003) 1335-1348  
arXiv:math.GT/0204106
- Thm: Knotted curve has nonempty second hull



## Second hull: Proof

- Proof for prime FTC knot
- *Essential halfspace* contains all of  $K$  except one unknotted arc
- Intersection of all essential halfspaces is (part of) second hull

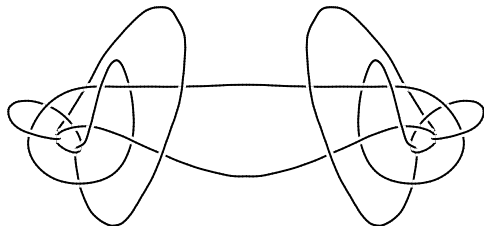


## Möbius energy

- Inspired by Coulomb energy (repelling electrical charges)

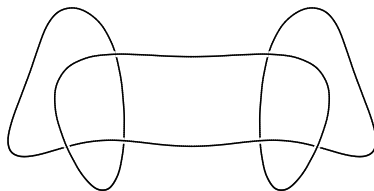
$$\iint_{K \times K} \frac{dx dy}{|x - y|^p}$$

- Renormalize to make this finite [O'Hara]
- Scale-invariant for  $p = 2$
- Invariant under Möbius transformations [FHW]



## Möbius energy

- Minimizers for prime knots [FHW]
- Probably not for composite knots
- Perhaps untangles all unknots
- Simulations (with Kusner) → video



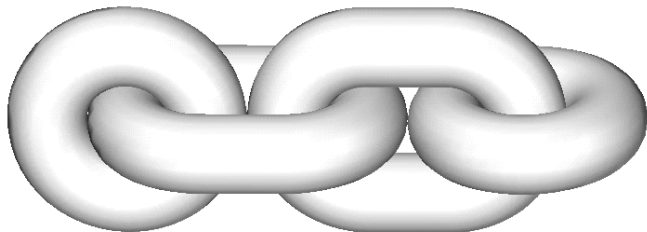
## Ropelength: Definitions

- *Ropelength* of  $L$ : quotient length / thickness
- *Thickness*: diameter of largest embedded normal tube  
Positive thickness implies  $C^{1,1}$
- *Gehring thickness*: minimum distance between components  
Works with Milnor's *link homotopy*



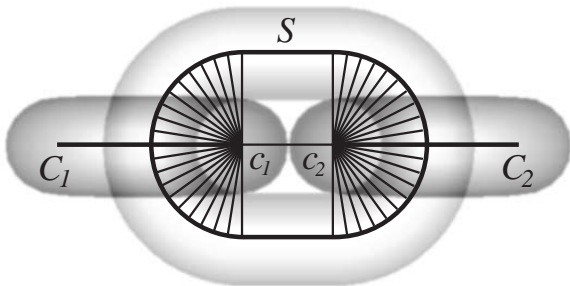
## Ropelength: Theory

- Work with Jason Cantarella, Rob Kusner  
arXiv: math.GT/0103224  
*Inventiones* 150 (2002) pp 257-286
- Minimizers exist for any link type
- Some known from sharp lower bounds
- Need not be  $C^2$



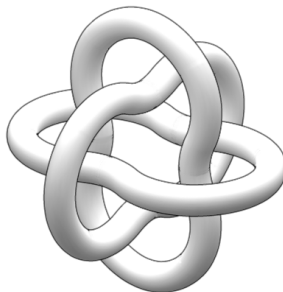
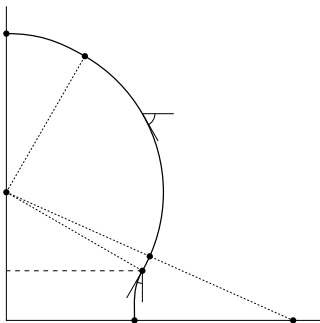
## Tight Knots: Theory

- New work with also Joe Fu, Nancy Wrinkle  
arXiv: math.DG/0402212, math.DG/0409369
- *Balance criterion* for ropelength-critical links



## Tight Knots: Example

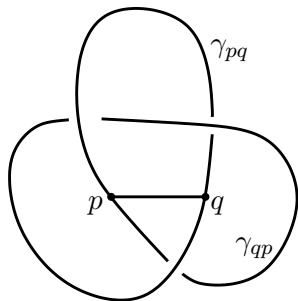
- critical Borromean rings
- piecewise smooth (14 pieces per component)





## Distortion

- Given  $p, q \in K$ ,  
 subarcs  $\gamma_{pq}, \gamma_{qp}$   
 of lengths  $\ell_{pq}, \ell_{qp}$
- $d(p, q) := \min(\ell_{pq}, \ell_{qp})$
- $\delta(p, q) := d(p, q)/|p - q|$   
 arc/chord ratio
- Distortion:  $\delta(K) := \sup_{p, q} \delta(p, q)$ .



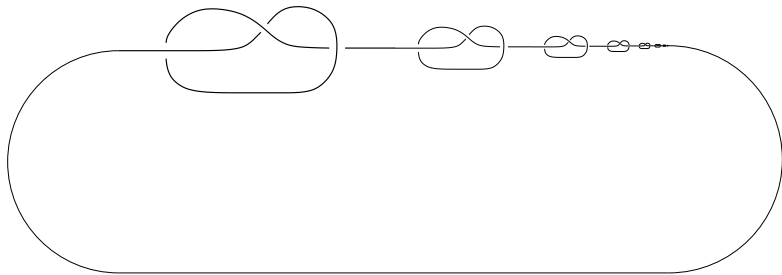
Gromov:  $\delta(K) \geq \pi/2$ , equality only for round circle

Can every knot be built with  $\delta < 100$ ?



## Distortion: Upper bounds

- Trefoil can be built with  $\delta < 8.2$
- Open trefoil with  $\delta < 11$
- So infinitely many (even wild) knots with  $\delta < 11$



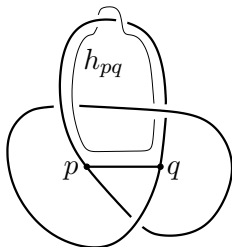
## Distortion: Lower bounds

- Work with Elizabeth Denne, arXiv: math.GT/0409438  
 $K$  knotted implies  $\delta > 4$
- with Denne and Yuanan Diao, arXiv: math.DG/0408026  
*Geometry and Topology*, to appear  
Ropelength  $\geq 15.66$  (within 5% for trefoil)
- Proofs use essential secants



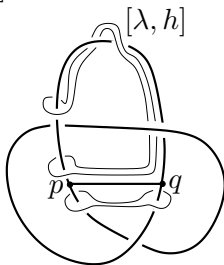
## Essential arcs

- Given  $p, q \in K$ , when is  $\gamma_{pq}$  *essential*?
- Construct free homotopy class  $h_{pq}$  in  $\mathbb{R}^3 \setminus K$   
 Parallel to  $\gamma_{pq} \cup \overline{qp}$ , linking zero with  $K$ .
- $\gamma_{pq}$  essential iff  $h_{pq}$  nontrivial  
 iff  $\gamma_{pq} \cup \overline{qp}$  spanned by no disk in  $\mathbb{R}^3 \setminus K$



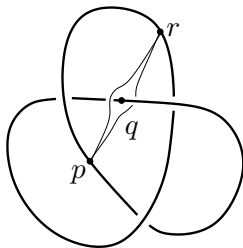
## Essential secants

- $K$  unknotted  $\implies$  all arcs inessential ( $\pi_1 = H_1$ )
- $\gamma_{pq}$  and  $\gamma_{qp}$  inessential  $\implies K$  unknotted (Dehn)
- Defn:  $\overline{pq}$  essential if both  $\gamma_{pq}$  and  $\gamma_{qp}$  are.
- If  $\lambda \in \pi_1$  is meridian loop
 
$$[\lambda, h_{pq}] = [\lambda, h_{qp}]$$

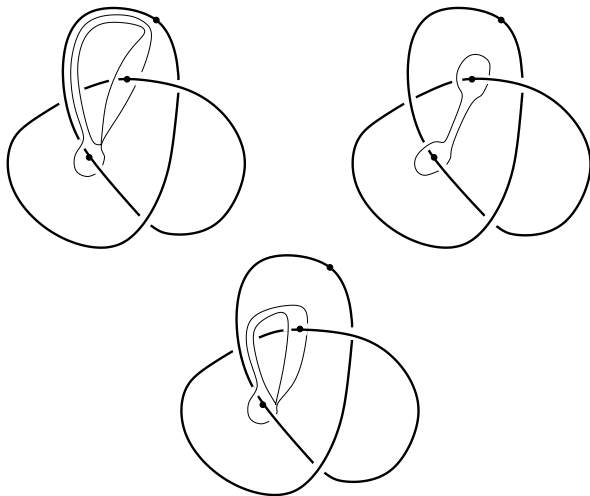


## Arcs becoming essential

- Change in  $h_{pr}$  to essential happens because  $\overline{pr}$  crosses  $q \in K$
- Difference is  $[\lambda, h_{pq}] = [\lambda, h_{qr}]$
- For  $\gamma_{pr}$  to become essential  
 need  $\overline{pq}$  and  $\overline{qr}$  both essential



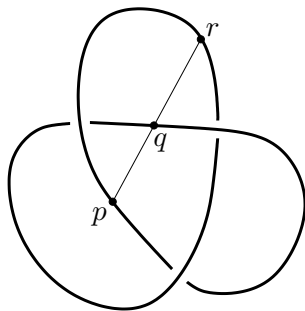
$pq$  and  $qr$  are both essential



## Distortion: Theorem

Thm:  $\delta \geq \pi$  for any (FTC) knot

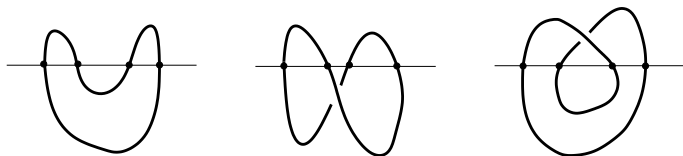
- Find shortest essential arc  $\gamma_{pr}$
- Scale so  $\ell_{pr} = \delta = \delta(K)$  (!)
- $\overline{ab}$  essential  $\implies \ell_{ab}, \ell_{ba} \geq \delta$   
 so  $\delta(a, b) \leq \delta \implies |a - b| \geq 1$
- $\gamma_{pr}$  stays outside  $B_1(q)$   
 so  $\ell_{pr} \geq \pi$ .





## Quadriseccants

- Quadriseccant: line intersecting knot four times
- Every knot has one (Pannwitz, Kuperberg)
- Three order types: simple, flipped, alternating
- Denne thesis: all knots have alternating quadriseccants
- Alternating  $\implies$  midsegment in second hull



## Ropelength: Theorem

Thm: Ropelength  $> 15.66$  for any knotted curve

- Denne gives essential alternating quadrisequant  $abcd$
- Write lengths as  $r := |a - b|$ ,  $s := |b - c|$ ,  $t := |c - d|$
- Scaling to thickness 1, we have  $r, s, t \geq 1$
- Define  $f(x) := \sqrt{x^2 - 1} + \arcsin(1/x)$
- $l_{ac} \geq f(r) + f(s)$ ,  $l_{bd} \geq f(s) + f(t)$ ,
- $l_{da} \geq f(r) + s + f(t)$ ,
- $l_{cb} \geq \pi$  and  $l_{cb} \geq 2\pi - 2 \arcsin s/2$  if  $s < 2$ .
- Minimize sum separately in  $r, s, t$ .

