# DUAL F-SIGNATURE OF COHEN-MACAULAY MODULES OVER QUOTIENT SURFACE SINGULARITIES

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### 1. INTRODUCTION

Throughout this paper, we suppose that k is an algebraically closed field of prime characteristic p > 0. Let R be a Noetherian ring of prime characteristic p > 0, then we can define the Frobenius morphism  $F : R \to R$   $(r \mapsto r^p)$ . For  $e \in \mathbb{N}$ , we also define the *e*-times iterated Frobenius morphism  $F^e : R \to R$   $(r \mapsto r^{p^e})$ . For any *R*-module *M*, we define the *R*-module  $F_*^e M$  via  $F^e$  as follows. That is,  $F_*^e M$  is just *M* as an abelian group, and its *R*-module structure is defined by  $r \cdot m := F^e(r)m = r^{p^e}m$   $(r \in R, m \in M)$ . We say *R* is *F*-finite if  $F_*R$  is a finitely generated *R*-module. For example, if *R* is an essentially of finite type over a perfect field or complete Noetherian local ring with a perfect residue field *k*, then *R* is *F*-finite. In this article, we only discuss such rings, thus the *F*-finiteness is always satisfied.

In positive characteristic commutative algebra, we understand the properties of R through the structure of  $F_*^e M$ . For this purpose, several numerical invariants are defined. Firstly, we introduce the notion of F-signature defined by C. Huneke and G. Leuschke.

**Definition 1.1** ([HL]). Let  $(R, \mathfrak{m}, k)$  be a *d*-dimensional reduced *F*-finite Noetherian local ring of prime characteristic p > 0. For each  $e \in \mathbb{N}$ , we decompose  $F_*^e R$  as follows

$$F^e_* R \cong R^{\oplus a_e} \oplus M_e,$$

where  $M_e$  has no free direct summands. We call  $a_e$  the e-th F-splitting number of R. Then, the limit  $s(R) := \lim_{e \to \infty} \frac{a_e}{p^{ed}}$  is called the F-signature of R.

And K. Tucker showed its existence [Tuc]. By Kunz's theorem, R is regular if and only if  $F_*^e R$  is a free R-module of rank  $p^{ed}$  [Kun]. Thus, roughly speaking, the F-signature s(R) measures the deviation from regularity. The next theorem confirms this intuition.

**Theorem 1.2** ([HL], [Yao2], [AL]). Let  $(R, \mathfrak{m}, k)$  be a *d*-dimensional reduced *F*-finite Noetherian local ring with char R = p > 0. Then we have

- (1) *R* is regular if and only if s(R) = 1,
- (2) *R* is strongly *F*-regular if and only if s(R) > 0.

This notion is extended for a finitely generated *R*-module as follows.

**Definition 1.3** ([San]). Let  $(R, \mathfrak{m}, k)$  be a *d*-dimensional reduced *F*-finite Noetherian local ring of prime characteristic p > 0. For a finitely generated *R*-module *M* and  $e \in \mathbb{N}$ , we set

$$b_e(M) \coloneqq \max\{n \mid \exists \varphi : F^e_*M \twoheadrightarrow M^{\oplus n}\},\$$

THIS PAPER IS AN ANNOUNCEMENT OF OUR RESULT AND THE DETAILED VERSION WILL BE SUBMITTED TO SOMEWHERE.

and call it the e-th F-surjective number of M. Then we call the limit  $s(M) \coloneqq \lim_{e \to \infty} \frac{b_e(M)}{p^{ed}}$  dual *F*-signature of M if it exists.

*Remark* 1.4. Since the morphism  $F_*^e R \to R^{\oplus b_e(R)}$  splits, if *M* is isomorphic to the basering *R*, then the dual *F*-signature of *R* in sense of Definition 1.3 coincides with the *F*-signature of *R*. Thus, we use the same notation unless it causes confusion.

*Remark* 1.5. Since the m-adic completion commutes with  $F_*^e(-)$ , we can easily reduce the case of complete local ring in Definition 1.1 and 1.3. Thus, we may assume that the Krull-Schmidt condition holds for *R*.

Just like the *F*-signature, the dual *F*-signature also characterizes some singularities.

**Theorem 1.6** ([San]). Let  $(R, \mathfrak{m}, k)$  be a *d*-dimensional reduced *F*-finite Cohen-Macaulay local ring with char R = p > 0. Then we have

- (1) *R* is *F*-rational if and only if  $s(\omega_R) > 0$ ,
- (2)  $s(R) \leq s(\omega_R)$ ,
- (3)  $s(R) = s(\omega_R)$  if and only if R is Gorenstein.

In this way, the value of s(R) and  $s(\omega_R)$  characterize some singularities. Now we have some questions. Let *M* be a finitely generated *R*-module which may not be *R* or  $\omega_R$ . Then

- Does the value of s(M) have some pieces of information about singularities ?
- What does the explicit value of s(M) mean ?
- Is there any connection between s(M) and other numerical invariants ?

However, it is difficult to try these questions for now. Because the value of dual *F*-signature is not known and we don't have an effective method for determining it except only a few cases. For example, the case of two-dimensional Veronese subrings is studied in [San, Example 3.17]. Thus, in this article, we investigate the dual *F*-signature for Cohen-Macaulay (=CM) modules over two-dimensional rational double points. Therefore, in the rest of this article, we suppose that *G* is a finite subgroup of SL(2, *k*) and the order of *G* is coprime to p = char k. We remark that *G* contains no pseudo-reflections in this situation and it is well known that a finite subgroup of SL(2, *k*) is conjugate to one of the type so-called  $(A_n), (D_n), (E_6), (E_7)$  or  $(E_8)$ . We denote the invariant subring of S := k[[x,y]] under the action of *G* by  $R := S^G$  and the maximal ideal of *R* by m. In this situation, the invariant subring *R* is Gorenstein by [Wat]. We call *R* (or equivalently Spec *R*) rational double points (or Du Val singularities, Kleinian singularities, ADE singularities in the literature).

Let  $V_0 = k, V_1, \dots, V_n$  be the full set of non-isomorphic irreducible representations of *G*. We set  $M_t := (S \otimes_k V_t)^G$   $(t = 0, 1, \dots, n)$ . Under the assumption *G* contains no pseudo-reflections, we can see that each  $M_t$  is an indecomposable maximal Cohen-Macaulay (=MCM) *R*-module  $(\operatorname{rank}_R M_t = \dim_k V_t)$  and  $M_s \not\cong M_t$   $(s \neq t)$ . For more details refer to [HN, Section 2].

In this article, we will investigate the value of  $s(M_t)$ . In order to determine the dual *F*-signature, we have to understand the following topics;

- (1) The structure of  $F_*^e M_t$ , namely
  - What kind of MCM appears in  $F_*^e M_t$  as a direct summand?
  - The asymptotic behavior of  $F_*^e M_t$  on the order of  $p^{2e}$ .
- (2) How do we construct a surjection  $F_*^e M_t \twoheadrightarrow M_t^{\oplus b_e}$ ?

To show the former one, we need the notion of generalized *F*-signature. So we review it in Section 2. After that we will use the notion of the Auslander-Reiten quiver to show the latter problem. Thus, we give a brief summary of the Auslander-Reiten theory in Section 3. In Section 4, we actually determine the value of dual *F*-signature of CM modules. Since the strategy for determining the dual *F*-signature is almost the same for all the ADE cases, we will give a concrete explanation only for the case of  $D_5$ . In Section 5, we give the complete list of the value of dual *F*-signature for all the ADE cases.

#### 2. GENERALIZED F-SIGNATURE OF INVARIANT SUBRINGS

Firstly it is known that *R* is of finite CM representation type, that is, it has only finitely many non-isomorphic indecomposable MCM modules  $\{R, M_1, \dots, M_n\}$ . Since  $F_*^e R$  is an MCM *R*-module, we can describe as

$$F^e_*R\cong R^{\oplus c_{0,e}}\oplus M_1^{\oplus c_{1,e}}\oplus\cdots\oplus M_n^{\oplus c_{n,e}}.$$

Since the Krull-Schmidt condition holds for *R*, the multiplicities  $c_{t,e}$  are determined uniquely. For understanding the asymptotic behavior of the multiplicity  $c_{t,e}$ , we consider the limit

$$s(R,M_t) \coloneqq \lim_{e \to \infty} \frac{c_{t,e}}{p^{2e}} \quad (t = 0, 1, \cdots, n).$$

We call it generalized *F*-signature of  $M_t$ . In our situation, this limit exists [SVdB, Yao1]. And the value of this limit is known as follows.

**Theorem 2.1.** ([HS, Lemma 4.10], *see also* [HN, Theorem 3.4]) *For*  $t = 0, 1, \dots, n$ , *we have* 

$$s(R, M_t) = \frac{\operatorname{rank}_R M_t}{|G|} = \frac{\dim_k V_t}{|G|}$$

*Remark* 2.2. In the case of t = 0, we have s(R,R) = s(R) and the above result is also due to [HL, Example 18], [WY, Theorem 4.2].

As a corollary, we also have the next statement.

**Corollary 2.3.** ([HN, Corollary 3.10]) Suppose an MCM R-module  $F_*^e M_t$  decomposes as follows.

$$F^e_*M_t \cong R^{\oplus d^t_{0,e}} \oplus M_1^{\oplus d^t_{1,e}} \oplus \cdots \oplus M_n^{\oplus d^t_{n,e}}.$$

Then, for all  $s, t = 0, \dots, n$ , we have

$$s(M_t, M_s) \coloneqq \lim_{e \to \infty} \frac{d_{s,e}^t}{p^{2e}} = (\operatorname{rank}_R M_t) \cdot s(R, M_s) = \frac{(\operatorname{rank}_R M_t) \cdot (\operatorname{rank}_R M_s)}{|G|}.$$

*Remark* 2.4. As Corollary 2.3 shows, every indecomposable MCM *R*-modules appear in  $F_*^e M_t$  as a direct summand for sufficiently large e >> 0. Therefore, the additive closure  $\operatorname{add}_R(F_*^e M_t)$  coincides with the category of MCM *R*-modules CM(*R*). So we can apply several results so-called Auslander-Reiten theory to  $\operatorname{add}_R(F_*^e M_t)$ . We discuss it in the next section.

#### 3. REVIEW OF AUSLANDER-REITEN THEORY

From Nakayama's lemma, when we discuss the surjectivity of  $F_*^e M_t \to M_t^{\oplus b}$ , we may consider each MCM module as a vector space after tensoring the residue field k. Thus, we want to know a basis of  $M_t/\mathfrak{m}M_t$  (i.e. minimal generators of  $M_t$ ). For some  $m \in \mathbb{N}$ , we have

From this observation, we identify a minimal generator of  $M_t$  with a morphism from R to  $M_t$  which doesn't factor through free modules except the starting point. In order to find such morphisms, we will use the notion of Auslander-Reiten (=AR) quiver. So we review some results of Auslander-Reiten theory in this section. For more details, see some textbooks (e.g. [Yos]).

In order to define the AR quiver, we introduce the notion of irreducible morphism.

**Definition 3.1** (Irreducible morphism). Suppose M and N are MCM R-modules. We decompose M and N into indecomposable modules as  $M = \bigoplus_i M_i$ ,  $N = \bigoplus_j N_j$  and also decompose  $\psi \in \operatorname{Hom}_R(M,N)$  along the above decomposition as  $\psi = (\psi_{ij} : M_i \to N_j)_{ij}$ . Then we define submodule  $\operatorname{rad}_R(M,N) \subset \operatorname{Hom}_R(M,N)$  as

$$\psi \in \operatorname{rad}_R(M,N) \stackrel{def}{\Longleftrightarrow} no \ \psi_{ij} \text{ is an isomorphism.}$$

Furthermore, we define submodule  $\operatorname{rad}_R^2(M,N) \subset \operatorname{Hom}_R(M,N)$ . The submodule  $\operatorname{rad}_R^2(M,N)$ consists of morphisms  $\psi: M \to N$  such that  $\psi$  decomposes as  $\psi = fg$ , where  $f \in \operatorname{rad}_R(M,Z)$ ,  $g \in \operatorname{rad}_R(Z,N)$  and Z is an MCM R-module. We say that a morphism  $\psi: M \to N$  is irreducible if  $\psi \in \operatorname{rad}_R(M,N) \setminus \operatorname{rad}_R^2(M,N)$ . In this setting, we define the k-vector space  $\operatorname{Irr}_R(M,N)$  as  $\operatorname{Irr}_R(M,N) \coloneqq \operatorname{rad}_R(M,N) / \operatorname{rad}_R^2(M,N)$ .

By using this notion, we define the AR quiver.

**Definition 3.2** (Auslander-Reiten quiver). *The AR quiver of R is an oriented graph whose vertices are indecomposable MCM R-modules*  $\{R, M_1, \dots, M_n\}$  *and draw*  $\dim_k \operatorname{Irr}_R(M_s, M_t)$  *arrows from*  $M_s$  *to*  $M_t$  ( $s, t = 0, 1, \dots, n$ ).

In our situation, the AR quiver of *R* coincides with the McKay quiver of *G* by [Aus1], so we can describe it from representations of *G* (for the definition of McKay quiver, refer to [Yos, (10.3)]). And more fortunately, the AR quiver of *R* coincides with the extended Dynkin diagram corresponding to a finite subgroup of SL(2,k) after replacing each edges "–" by arrows " $\subseteq$ ". This is a kind of McKay correspondence. Therefore the Auslander-Reiten quiver of *R* is the left hand side of the following,





where a vertex t corresponds the MCM R-module  $M_t$  and the right hand side of the figure means rank<sub>R</sub> $M_t$ .

## 4. DUAL *F*-SIGNATURE OVER RATIONAL DOUBLE POINTS

The strategy for determining the dual *F*-signature is almost the same for all the ADE cases. So from now on, we will explain the method of determining it by using the following example.

**Example 4.1.** The binary dihedral group  $G \coloneqq \mathscr{D}_3 = \langle \begin{pmatrix} \zeta_6 & 0 \\ 0 & \zeta_6^{-1} \end{pmatrix}, \begin{pmatrix} 0 & \zeta_4 \\ \zeta_4 & 0 \end{pmatrix} \rangle$  is the type  $D_5$  in the list [Yos, (10.15)] and |G| = 12. For the invariant subring under the action of G, the AR quiver takes the form of  $D_5$ ,



with relations

$$\begin{cases} A \circ a = 0, & C \circ c + D \circ d + E \circ e = 0, \\ B \circ b = 0, & d \circ D = 0, \\ a \circ A + b \circ B + c \circ C = 0, & e \circ E = 0 \end{cases}$$
(4.1)

In order to find morphisms from *R* to  $M_t$  which doesn't factor through free modules except the starting point, we define the stable category  $\underline{CM}(R)$  as follows. The objects of  $\underline{CM}(R)$  are same as those of  $\underline{CM}(R)$  and the morphism set is given by

$$\underline{\operatorname{Hom}}_{R}(X,Y) \coloneqq \operatorname{Hom}_{R}(X,Y) / \mathscr{P}(X,Y), \quad X,Y \in \operatorname{CM}(R)$$

where  $\mathscr{P}(X,Y)$  is the submodule of  $\operatorname{Hom}_{R}(X,Y)$  consisting of morphisms which factor through a free *R*-module.

By the property of AR quiver, we can see that morphisms from *R* to non-free indecomposable  $M_t \in CM(R)$  (on the AR quiver of  $D_5$ ) always go through the vertex  $M_2$  at the beginning. Thus, the composition of  $R \xrightarrow{a} M_2$  and non-zero elements of  $\underline{Hom}_R(M_2, M_t)$  are exactly what we wanted. Therefore we will find non-zero elements of  $\underline{Hom}_R(M_2, M_t)$ . For this purpose we rewrite the AR quiver as a repetition of the original one.



Since this quiver has relations, it seems to be difficult to extract non-zero morphisms from the above picture. But there is a useful technique so-called counting argument of AR quiver. By using such a technique, we can extract desired morphisms. This method first appeared in the work of Gabriel [Gab] and it is also used for classifying special CM modules over quotient surface singularities [IW]. For the details of this kind of counting argument, see [Gab, Iya, IW].

After applying such a technique, we obtain the following picture. And paths on this quiver represent non-zero morphisms in  $\underline{\text{Hom}}_{R}(M_{2}, M_{t})$ .



The following quiver is the composition of  $R \xrightarrow{a} M_2$  and non-zero element of  $\underline{\text{Hom}}_R(M_2, M_t)$  for  $t = 1, 2, \dots, 5$  (the exponent of each vertex implies the multiplicity).



Thus, we identify paths on this quiver with minimal generator of each MCM *R*-module  $M_t$ . For example, minimal generators of  $M_1$  are identified with



Of course, there are several paths from R to  $M_1$  not only the above ones. But they are same up to modulo radical because this quiver has relations. When we consider a surjection, it doesn't matter if we identify them.

By using these results, we try to determine  $s(M_1)$  as an example. Firstly, we suppose an MCM *R*-module  $F_*^e M_1$  decomposes as  $F_*^e M_1 \cong R^{\oplus d_{0,e}} \oplus M_1^{\oplus d_{1,e}} \oplus \cdots \oplus M_n^{\oplus d_{n,e}}$ . From Corollary 2.3, we have the following for all  $s = 0, 1, \dots, n$ ,

$$s(M_1, M_s) = \lim_{e \to \infty} \frac{d_{s,e}}{p^{2e}} = \frac{(\operatorname{rank}_R M_1)(\operatorname{rank}_R M_s)}{|G|} = \frac{\operatorname{rank}_R M_s}{12}.$$

Thus, we may consider

$$F_*^e M_1 \approx (R^{\frac{1}{12}} \oplus M_1^{\frac{1}{12}} \oplus M_2^{\frac{2}{12}} \oplus M_3^{\frac{2}{12}} \oplus M_4^{\frac{1}{12}} \oplus M_5^{\frac{1}{12}})^{p^{2e}},$$

on the order of  $p^{2e}$  in this case. When we try to compute dual *F*-signature, the part of  $o(p^{2e})$  is harmless. So we identify  $F_*^e M_1$  with  $\left(R^{\frac{1}{12}} \oplus M_1^{\frac{1}{12}} \oplus M_2^{\frac{2}{12}} \oplus M_3^{\frac{2}{12}} \oplus M_4^{\frac{1}{12}} \oplus M_5^{\frac{1}{12}}\right)$  and consider a surjection

$$R^{\frac{1}{12}} \oplus M_1^{\frac{1}{12}} \oplus M_2^{\frac{2}{12}} \oplus M_3^{\frac{2}{12}} \oplus M_4^{\frac{1}{12}} \oplus M_5^{\frac{1}{12}} \twoheadrightarrow M_1^{\oplus b}.$$

As we showed before, minimal generators of  $M_1$  are identified with paths in (4.3). We denote the left (resp. right) of them by  $g_1$  (resp.  $g_2$ ). In order to construct a surjection, we pay attention to  $g_1$ . We can see that  $M_2$  can generate  $g_1$  through the morphism  $M_2 \xrightarrow{B} M_1$ .

$$0 + 2 \xrightarrow{B} 1 = g_1: 0$$
2
min. gen. of  $M_2$ 

Similarly, *R* can generate  $g_1$  through the morphism  $R \xrightarrow{B \circ a} M_1$ . Moreover,  $M_1$  clearly generate  $g_1$  through the identity map  $(M_1 \xrightarrow{1_{M_1}} M_1)$ . And we have no other such MCMs. Collectively, MCM modules which generate the minimal generator  $g_1$  are  $\{R^{\frac{1}{12}}, M_1^{\frac{1}{12}}, M_2^{\frac{2}{12}}\}$ . Thus, the value of  $s(M_1)$  can take  $s(M_1) \le \frac{1}{12} + \frac{1}{12} + \frac{2}{12} = \frac{4}{12}$  (In order to show the ratio of  $s(M_1)$  to the order of *G*, we don't reduce the fraction). In this way, we obtain the upper bounds of  $s(M_1)$ .

Next, we will show that we can actually construct a surjection

$$R^{\frac{1}{12}} \oplus M_1^{\frac{1}{12}} \oplus M_2^{\frac{2}{12}} \oplus M_3^{\frac{2}{12}} \oplus M_4^{\frac{1}{12}} \oplus M_5^{\frac{1}{12}} \twoheadrightarrow M_1^{\frac{4}{12}}$$

As we showed before, each minimal generator of  $M_2$  is identified with a morphism from "0" to "2" in (4.2). Considering the composition of such morphisms and  $2 \xrightarrow{B} 1$ , we have  $g_1$  and  $g_2$  at the same time. Namely, we have the surjection  $M_2^{\frac{2}{12}} \xrightarrow{B} M_1^{\frac{2}{12}}$ . Moreover, there is the surjection  $M_1^{\frac{1}{12}} \rightarrow M_1^{\frac{1}{12}}$  clearly. In this way,  $M_1$  and  $M_2$  can generate  $g_1$  and  $g_2$  at the same time. But R can't generate  $g_1$  and  $g_2$  at the same time (only generate either  $g_1$  or  $g_2$ ). We will use it for generating  $g_1$  and use the remaining MCMs  $\{M_3, M_4, M_5\}$  for generating  $g_2$ . (From the picture (4.2), we read off that these remaining MCMs generate  $g_2$ .) For example, we have  $R^{\frac{1}{12}} \oplus M_4^{\frac{1}{12}} \rightarrow M_1^{\frac{1}{12}}$ .

# 5. Summary of the value of Dual F-signature

By using similar method, we can obtain the dual *F*-signature for all the ADE cases. Finally, we give the complete list of the dual *F*-signature.

**Theorem 5.1.** The following is the Dynkin diagram Q and corresponding values of dual F-signature (In order to show the ratio of dual F-signature to the order of G clearly, we don't reduce fractions ).

(1) Type 
$$A_n$$





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