

# Matijevic–Roberts type theorems for $F$ -singularities

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## 1. Matijevic–Roberts type theorem

Consider the following statement.

**1.1 Statement (Matijevic–Roberts type theorem (MRTT)).** Let  $\mathcal{C}$  be a class of noetherian local rings. Let  $R$  be a noetherian  $\mathbb{Z}^n$ -graded ring, and  $P$  its prime ideal. Let  $P^*$  be the prime ideal generated by the all homogeneous elements of  $P$ . If  $R_{P^*} \in \mathcal{C}$ , then  $R_P \in \mathcal{C}$ .

Clearly, the truth of the statement depends on the choice of  $\mathcal{C}$ . Nagata conjectured the Matijevic–Roberts type theorem for the case that  $\mathcal{C}$  is the class of Cohen–Macaulay local rings, and  $n = 1$ . Nagata’s conjecture was solved affirmatively by Hochster–Ratliff [25] and Matijevic–Roberts [29] independently.

After that, due to the contribution of Aoyama–Goto [1], Avramov–Achilles [2], Cavaliere–Niesi [6], Goto–Watanabe [14], and Matijevic [28], it was proved that the Matijevic–Roberts type theorem is true for the case that  $\mathcal{C}$  is the class of Cohen–Macaulay, Gorenstein, complete intersection, and regular local rings, for arbitrary  $n$ .

After that, the result was generalized to an assertion for group actions in [17], and then M. Miyazaki and the author [20] proved the following.

**1.2 Theorem.** *Let  $S$  be a scheme,  $G$  a smooth  $S$ -group scheme of finite type,  $X$  a noetherian  $G$ -scheme,  $y \in X$ ,  $Y := \overline{\{y\}}$ ,  $Y^*$  the smallest  $G$ -stable closed subscheme of  $X$  containing  $Y$ . Let  $\eta$  be the generic point of an irreducible component of  $Y^*$ . Let  $\mathcal{C}$  and  $\mathcal{D}$  be classes of noetherian local rings. Assume:*

1. (Smooth base change) If  $A \rightarrow B$  is a regular (i.e., flat with geometrically regular fibers) local homomorphism essentially of finite type and  $A \in \mathcal{C}$ , then  $B \in \mathcal{D}$ .
2. (Flat descent) If  $A \rightarrow B$  is a regular local homomorphism essentially of finite type and  $B \in \mathcal{D}$ , then  $A \in \mathcal{D}$ .

If  $\mathcal{O}_{X,\eta} \in \mathcal{C}$ , then  $\mathcal{O}_{X,y} \in \mathcal{D}$ .

Considering the case that  $S = \text{Spec } \mathbb{Z}$ ,  $G = \mathbb{G}_m^n$ , and  $X = \text{Spec } R$  is affine, we immediately have the following.

**1.3 Corollary.** *Let  $R$  be a  $\mathbb{Z}^n$ -graded noetherian ring, and  $P \in \text{Spec } R$ . Let  $\mathcal{C}$  and  $\mathcal{D}$  be classes of noetherian local rings which satisfy 1 and 2 in the theorem. If  $R_{P^*} \in \mathcal{C}$ , then  $R_P \in \mathcal{D}$ .*

When we let  $\mathcal{C} = \mathcal{D}$  be the class of Cohen–Macaulay, Gorenstein, complete intersection, or regular local rings, then the conditions 1 and 2 in the theorem are well-known, and the classical Matijevic–Roberts type theorem for these properties follows from the corollary.

Although the theorem requires some generality on group actions, it is easy to give a proof of the corollary.

*Proof of Corollary 1.3.* Let  $A = R[t_1^{\pm 1}, \dots, t_n^{\pm 1}]$  be the Laurent polynomial ring. Let  $i : R \hookrightarrow A$  be the inclusion. Let  $\varphi : R \rightarrow A$  be the ring homomorphism given by  $\varphi(x) = xt^\lambda$  for  $x \in R_\lambda$ , where  $t^\lambda = t_1^{\lambda_1} \cdots t_n^{\lambda_n}$  for  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$ . Let  $\rho^+ : A \rightarrow A$  and  $\rho^- : A \rightarrow A$  be the ring homomorphisms given by  $\rho^+(xt^\mu) = xt^{\lambda+\mu}$  and  $\rho^-(xt^\mu) = xt^{-\lambda+\mu}$  for  $x \in R_\lambda$  and  $\mu \in \mathbb{Z}^n$ .

It is easy to check that

1.  $\rho^+$  and  $\rho^-$  are inverse each other.
2.  $\varphi = \rho^+ \circ i$ .
3.  $i$  and  $\varphi$  are smooth.

Let  $Q := i(P) \cdot A = P[t_1^\pm, \dots, t_n^\pm]$ . Letting  $xt^\lambda$  be of degree  $\lambda$  for  $x \in R$ ,  $\varphi$  is degree-preserving. If  $x \in R_\lambda$  is homogeneous, then  $\varphi(x) = xt^\lambda \in Q$  if and only if  $x \in P$ . This shows that  $\varphi^{-1}(Q) = P^*$ . So  $\varphi$  induces  $R_{P^*} \rightarrow A_Q$ , which is regular local, essentially of finite type. By the smooth base change (1 of the theorem),  $A_Q \in \mathcal{D}$ . As  $i$  induces  $R_P \rightarrow A_Q$ , which is regular local, essentially of finite type,  $R_P \in \mathcal{D}$ , by the flat descent (2 of the theorem).  $\square$

The purpose of this survey paper is to introduce Matijevic–Roberts type theorems on  $F$ -singularities developed by the author and Miyazaki [20] and the author [19]. We treat (strong, weak)  $F$ -regularity,  $F$ -rationality,  $F$ -purity, and Cohen–Macaulay  $F$ -injectivity. As we have already seen, the smooth base change and the flat descent are important.

We also introduce the notion of  $F$ -purity of homomorphisms, and discuss some basic properties. In particular, we discuss the flatness of  $F$ -pure homomorphisms. It seems that strong  $F$ -regularity without  $F$ -finite assumption was not studied so much before. We prove the  $F$ -pure base change theorem of strong  $F$ -regularity.

Finally, we mention the openness of loci of  $F$ -singularities. Vélez [36] proved the openness of  $F$ -rationality under mild hypothesis, using  $\Gamma$ -construction. We apply the same technique to the strong  $F$ -regularity and Cohen–Macaulay  $F$ -injectivity. Hoshi proved the openness of the  $F$ -pure locus using the same technique.

In section 2, we introduce (weak, strong, very strong)  $F$ -regularity and  $F$ -rationality. In section 3, we discuss the smooth base change and the flat descent for (weak)  $F$ -regularity and  $F$ -rationality. In section 4, we treat strong  $F$ -regularity,  $F$ -purity, and Cohen–Macaulay  $F$ -injectivity.  $F$ -purity of homomorphisms is introduced in this section.

## 2. Some $F$ -singularities

From now on,  $p$  denotes a prime number, and  $R$  denotes a noetherian ring of characteristic  $p$ . We set  $R^\circ := R \setminus \bigcup_{P \in \text{Min } R} P$ .

For  $e \geq 0$ , let  ${}^e R$  denote the  $R$ -algebra  $R$  with the structure map  $F_R^e : R \rightarrow {}^e R$ , where  $F_R^e$  is the  $e$ th power of the Frobenius map. For  $c \in R$ ,  $c$  viewed as an element of  ${}^e R = R$  is denoted by  ${}^e c$ . So for example,  $F_R^e(c) = {}^e c^{p^e}$ .

**2.1 Definition.** For an  $R$ -module  $M$  and its submodule  $N$ , define

$$N_M^* = \text{Cl}_R(N, M) := \{x \in M \mid \exists c \in R^\circ \\ \exists e_0 \geq 1 \forall e \geq e_0 \quad x \otimes {}^e c \in M/N \otimes_R {}^e R \text{ is zero}\}.$$

We call  $N_M^*$  the *tight closure* of  $N$  in  $M$ . For an ideal  $I$  of  $R$ ,  $I_R^*$  is simply denoted by  $I^*$ , and called the tight closure of  $I$ .

It is easy to see that  $N_M^*$  is an  $R$ -submodule of  $M$  containing  $N$ . If  $N = N_M^*$ , then we say that  $N$  is *tightly closed* in  $M$ . For an ideal  $I$ , we say that  $I$  is tightly closed if  $I = I^*$ .

**2.2 Definition.** Let  $A$  be a commutative ring, and  $\varphi : M \rightarrow N$  an  $A$ -linear map of  $A$ -modules. We say that  $\varphi$  is *pure* (or  $A$ -pure) if for any  $A$ -module  $W$ ,  $1_W \otimes \varphi : W \otimes_A M \rightarrow W \otimes_A N$  is injective. A submodule  $N \subset M$  is said to be pure if the inclusion map  $N \hookrightarrow M$  is  $A$ -pure.

**2.3 Definition.** A ring homomorphism  $f : A \rightarrow B$  is said to be *pure* if  $f$  is pure as an  $A$ -linear map. A subring  $A \subset B$  is said to be pure if the inclusion map  $A \hookrightarrow B$  is pure.

**2.4 Definition.** We say that  $R$  is

1. (cf. [24]) *very strongly  $F$ -regular* if for any  $c \in R^\circ$ , there exists some  $e \geq 1$  such that  ${}^e c F^e : R \rightarrow {}^e R$  ( $x \mapsto {}^e(c x^{p^e})$ ) is  $R$ -pure.
2. (Hochster [21]) *strongly  $F$ -regular* if for any  $R$ -module  $M$  and its submodule  $N$ ,  $N_M^* = N$ .
3. (Hochster–Huneke [23])  *$F$ -regular* if  $R_P$  is weakly  $F$ -regular (see below) for any  $P \in \text{Spec } R$ .
4. (Hochster–Huneke [23]) *weakly  $F$ -regular* if  $I^* = I$  for any ideal  $I$  of  $R$ .
5. (Fedder–Watanabe [13])  *$F$ -rational* if for any ideal  $I$  of  $R$  such that  $I$  is generated by ht  $I$  elements,  $I = I^*$ .

In [24], very strong  $F$ -regularity is simply called “strong  $F$ -regularity.” As I do not know if the definitions 1 and 2 agree, I give different names to them.

**2.5 Definition.** We say that  $R$  is  *$F$ -finite* if  ${}^1 R$  is a finite  $R$ -module.

**2.6 Lemma.** *The following hold.*

- ([24], [19]) *Very strongly  $F$ -regular implies strongly  $F$ -regular. Strongly  $F$ -regular implies  $F$ -regular.  $F$ -regular implies weakly  $F$ -regular. Weakly  $F$ -regular implies  $F$ -rational.  $F$ -rational implies normal.*
- (Vélez [36]) *Excellent  $F$ -rational implies Cohen–Macaulay.*
- *$F$ -rational Gorenstein implies strongly  $F$ -regular.*
- (Lyubeznik–Smith [27]) *For a positively graded finitely generated algebra over a field, weakly  $F$ -regular implies strongly  $F$ -regular.*

- ([22], [19]) *For a local ring,  $F$ -finite ring, and an essentially finite-type algebra over an excellent local ring, strongly  $F$ -regular implies very strongly  $F$ -regular.*

Some  $F$ -singularities are known to be deeply related to singularities in characteristic zero, using the modulo  $p$  reduction.

**2.7 Definition.** Let  $k$  be a field of characteristic zero, and  $X$  a  $k$ -scheme of finite type. We say that  $X$  has *rational singularities* if  $X$  is normal, and for any (or equivalently, some) resolution of singularities  $\pi : Y \rightarrow X$ ,  $R^i\pi_*\mathcal{O}_Y = 0$  for  $i > 0$ .

**2.8 Definition.** Let  $X$  be a  $\mathbb{Q}$ -Gorenstein normal variety over a field of characteristic zero. Let  $\pi : Y \rightarrow X$  be a resolution such that the exceptional set is a simple normal crossing divisor with the irreducible components  $E_1, \dots, E_r$ . Then we can write

$$K_Y = \pi^*K_X + \sum_i a_i E_i.$$

We say that  $X$  is *log terminal* (resp. *log canonical*) if  $a_i > -1$  (resp.  $a_i \geq -1$ ) for every  $i$ .

**2.9 Definition.** Let  $k$  be a field of characteristic zero, and  $A$  a  $k$ -algebra of finite type. Let  $\mathbb{P}$  be a property of finitely generated algebras over finite fields. We say that  $A$  has open (resp. dense)  $\mathbb{P}$  type if there exists some finitely generated  $\mathbb{Z}$ -subalgebra  $B$  of  $k$  and a finitely generated  $B$ -algebra  $A_B$  such that  $k \otimes_B A_B \cong A$ , and there exists some dense open subset  $U$  (resp. dense set  $D$  of closed points) of  $\text{Spec } B$  such that for any closed point  $x$  of  $U$  (resp. any point  $x$  of  $D$ ),  $\kappa(x) \otimes_B A_B$  satisfies  $\mathbb{P}$ .

**2.10 Theorem (Smith [34], Hara [15], Mehta–Srinivas [30]).** *Let  $k$  be a field of characteristic zero, and  $A$  a  $k$ -algebra of finite type. Then  $\text{Spec } A$  has rational singularities if and only if  $A$  has open  $F$ -rational type.*

**2.11 Theorem (K.-i. Watanabe–Hara [16], [15], Smith [35]).** *Let  $k$  be a field of characteristic zero, and  $A$  a  $k$ -algebra of finite type. Assume that  $A$  is a  $\mathbb{Q}$ -Gorenstein normal domain. Namely,  $A$  is normal, and the canonical divisor of  $\text{Spec } A$  is  $\mathbb{Q}$ -Cartier. Then  $A$  has log-terminal singularities if and only if  $A$  is of open  $F$ -regular type.*

Thus  $F$ -rationality and  $\mathbb{Q}$ -Gorenstein  $F$ -regular properties are related to rational and log-terminal singularities, respectively.

### 3. Smooth base change and flat descent for $F$ -singularities

The smooth base change for the (weak)  $F$ -regularity was proved by Hochster and Huneke [24].

**3.1 Theorem.** *Let  $R \rightarrow S$  be a regular local homomorphism between noetherian local rings of characteristic  $p$ . If  $R$  is weakly  $F$ -regular and  $S$  is excellent, then  $S$  is weakly  $F$ -regular.*

**3.2 Corollary.** *Let  $R \rightarrow S$  be a regular homomorphism between noetherian rings of characteristic  $p$ . If  $R$  is  $F$ -regular and  $S$  is locally excellent, then  $S$  is  $F$ -regular.*

Next we consider the  $F$ -rationality. As for the characteristic zero counterpart, the rational singularity, the following is known.

**3.3 Theorem (Elkik [9]).** *Let  $k$  be a field of characteristic zero, and  $f : X \rightarrow Y$  a flat  $k$ -morphism between  $k$ -schemes of finite type. If  $Y$  has rational singularities and  $f$  has fibers with rational singularities, then  $X$  has rational singularities.*

The smooth base change for  $F$ -rationality was proved by Vézé [36].

**3.4 Theorem (Vézé).** *Let  $R \rightarrow S$  be a regular homomorphism between locally excellent noetherian rings of characteristic  $p$ . If  $R$  is  $F$ -rational, then  $S$  is  $F$ -rational.*

After that, Aberbach and Enescu [3] proved the following (see also the weaker results in [10] and [18]).

**3.5 Theorem.** *Let  $(R, \mathfrak{m}) \rightarrow (S, \mathfrak{n})$  be a flat local homomorphism between noetherian local rings of characteristic  $p$ . Assume*

1.  *$R$  is Cohen–Macaulay  $F$ -rational.*
2.  *$S$  is excellent.*
3. *For any minimal prime  $P$  of  $R$ ,  $S_P$  is  $F$ -rational.*
4.  *$S/\mathfrak{m}S$  is Cohen–Macaulay and geometrically  $F$ -injective over  $R/\mathfrak{m}$ .*

*Then  $S$  is  $F$ -rational.*

Next we consider the flat descent. The following is proved in [19].

**3.6 Lemma.** *Let  $\varphi : A \rightarrow B$  be a homomorphism of rings. Assume that  $\varphi$  is cyclically pure. That is,  $IS \cap R = I$  for any ideal  $I$  of  $R$ . If  $B$  is noetherian, of characteristic  $p$ , and is weakly  $F$ -regular (resp.  $F$ -regular, strongly  $F$ -regular, very strongly  $F$ -regular, normal), then so is  $A$ .*

Note that faithfully flat implies pure, and pure implies cyclically pure. Thus the flat descent is true for weak  $F$ -regularity,  $F$ -regularity, strong  $F$ -regularity, and very strong  $F$ -regularity.

The flat descent for (Cohen–Macaulay)  $F$ -rationality is also true.

**3.7 Lemma.** *Let  $A \rightarrow B$  be a faithfully flat homomorphism of rings. If  $B$  is noetherian, characteristic  $p$ , Cohen–Macaulay and  $F$ -rational, then so is  $A$ .*

Because of [24, (4.2)], we may assume that  $A \rightarrow B$  is a local homomorphism of local rings in order to prove the lemma. Let  $I$  be an ideal of  $A$  generated by a regular sequence. Then  $IB$  is so, and hence  $(IB)^* = IB$  by the  $F$ -rationality. By the faithful flatness, it is easy to see that  $I^* \subset I^*B \cap A \subset (IB)^* \cap A = IB \cap A = I$ .

In characteristic zero, the following holds.

**3.8 Theorem (Boutot [5]).** *Let  $k$  be a field of characteristic zero,  $B$  a  $k$ -algebra essentially of finite type,  $A$  a pure  $k$ -subalgebra of  $B$  which is essentially of finite type over  $k$ . If  $\text{Spec } B$  has rational singularities, then so does  $\text{Spec } A$ .*

Nevertheless,  $F$ -rationality is not inherited by a pure subring in general, as was shown by an example by K.-i. Watanabe [37].

As we have seen, smooth base change of (weak)  $F$ -regularity and  $F$ -rationality holds. The flat descent is also true, and thus we have

**3.9 Theorem ([20]).** *Let  $R$  be a  $\mathbb{Z}^n$ -graded locally excellent noetherian ring of characteristic  $p$ , and  $P \in \text{Spec } R$ . If  $R_{P^*}$  is weakly  $F$ -regular (resp.  $F$ -regular,  $F$ -rational), then  $R_P$  is so.*

We give some applications of the theorem. Before that, we need some results on localizations.

**3.10 Theorem ([24], [20]).** *Let  $R$  be a noetherian ring of characteristic  $p$ , and  $S$  its multiplicatively closed subset. If  $R$  is Cohen–Macaulay  $F$ -rational (resp.  $F$ -regular, strongly  $F$ -regular, very strongly  $F$ -regular), then so is  $R_S$ .*

But it is not known if weak  $F$ -regularity localizes (if so, then weak  $F$ -regularity is equivalent to  $F$ -regularity by definition).

**3.11 Theorem** ([23], [24], [20]). *Let  $R$  be a noetherian ring of characteristic  $p$ . If  $R_{\mathfrak{m}}$  is Cohen–Macaulay  $F$ -rational (resp. weakly  $F$ -regular,  $F$ -regular, strongly  $F$ -regular) for any maximal ideal  $\mathfrak{m}$  of  $R$ , then so is  $R$ .*

But it is not known if the similar statement holds for very strong  $F$ -regularity (if so, then strong  $F$ -regularity implies very strong  $F$ -regularity).

We also need the following result on deformation.

**3.12 Theorem (Hochster–Huneke [24]).** *Let  $(R, \mathfrak{m})$  be a noetherian local ring of characteristic  $p$ , and  $t \in \mathfrak{m}$  a nonzerodivisor. If  $R/tR$  is Cohen–Macaulay  $F$ -rational, then  $R$  is Cohen–Macaulay  $F$ -rational.*

Here is a corollary of Matijevic–Roberts type theorem for  $F$ -rationality.

**3.13 Corollary (graded deformation [20]).** *Let  $R$  be a locally excellent noetherian  $\mathbb{N}$ -graded ring of characteristic  $p$ , and  $t \in R_+ := \bigoplus_{i>0} R_i$  a nonzerodivisor. If  $R/tR$  is  $F$ -rational, then  $R$  is  $F$ -rational.*

*Proof.* First, if  $\mathfrak{M}$  is a  $*$ maximal ideal, then  $\mathfrak{M} \supset R_+$ , as  $R$  is  $\mathbb{N}$ -graded. So  $t \in \mathfrak{M}$ . As  $R_{\mathfrak{M}}/tR_{\mathfrak{M}}$  is  $F$ -rational and  $t \in \mathfrak{M}R_{\mathfrak{M}}$  is a nonzerodivisor,  $R_{\mathfrak{M}}$  is  $F$ -rational. Note that  $R_{\mathfrak{M}}$  is also Cohen–Macaulay, since  $R$  is locally excellent.

As any graded prime ideal is contained in some  $*$ maximal ideal and Cohen–Macaulay  $F$ -rational property localizes,  $R_{\mathfrak{P}}$  is also  $F$ -rational for any graded prime ideal  $\mathfrak{P}$ .

Now take any prime  $P$ . Then  $R_{P^*}$  is  $F$ -rational, since  $P^*$  is a graded prime. So by Matijevic–Roberts type theorem,  $R_P$  is  $F$ -rational. It is also Cohen–Macaulay by locally excellent assumption. Thus  $R$  is  $F$ -rational.  $\square$

Here is another corollary.

**3.14 Corollary.** *Let  $A$  be a commutative ring of characteristic  $p$ , and  $(F_t)_{t \geq 0}$  its filtration. That is, each  $F_i$  is an additive subgroup of  $A$ ,  $1 \in F_0 \subset F_1 \subset F_2 \subset \cdots$ ,  $F_i F_j \subset F_{i+j}$  for  $i, j \geq 0$ , and  $\bigcup_{i \geq 0} F_i = A$ . Set  $\mathcal{R} = \bigoplus_{i \geq 0} F_i t^i \subset A[t]$ , and  $\mathcal{G} = \mathcal{R}/t\mathcal{R}$ . If  $\mathcal{G}$  is noetherian locally excellent  $F$ -rational, then so is  $A$ .*

See for the proof, [20].

#### 4. $F$ -purity, strong $F$ -regularity, and Cohen–Macaulay $F$ -injectivity

We consider the Matijevic–Roberts type theorem for  $F$ -purity, strong  $F$ -regularity, and Cohen–Macaulay  $F$ -injectivity.



**4.1 Definition.**  $R$  is said to be  $F$ -pure if the Frobenius map  $F_R : R \rightarrow {}^1R$  is pure.

A weakly  $F$ -regular ring is  $F$ -pure. As for the relationship with the characteristic zero singularities, the following is known.

**4.2 Theorem (K.-i. Watanabe [38]).** *Let  $A$  be a normal  $\mathbb{Q}$ -Gorenstein finite-type algebra over a field of characteristic zero. If  $A$  is of dense  $F$ -pure type, then  $\text{Spec } A$  is log canonical.*

In order to prove the smooth base change of  $F$ -purity, it is convenient to introduce the notion of  $F$ -purity of homomorphisms.

**4.3 Definition.** For a homomorphism  $f : A \rightarrow B$  of commutative rings of characteristic  $p$ , we define

$$\Psi_e(f) = \Psi_e(A, B) : B \otimes_A {}^eA \rightarrow {}^eB$$

by  $\Psi_e(f)(b \otimes {}^ea) = {}^e(b^{p^e}a)$ , and call it the  $e$ th Radu–André homomorphism or the  $e$ th relative Frobenius map.

The following was proved by Radu [31] and André [4]. See also [7].

**4.4 Theorem.** *Let  $f : A \rightarrow B$  be a homomorphism of noetherian rings of characteristic  $p$ . Then the following are equivalent.*

1.  $f$  is regular.
2.  $\Psi_e(f)$  is flat for some  $e \geq 1$ .
3.  $\Psi_e(f)$  is flat for every  $e \geq 1$ .

The absolute case (i.e., the case that  $A = \mathbb{F}_p$ ) is due to Kunz [26].

**4.5 Definition.** A homomorphism  $f : A \rightarrow B$  of commutative rings of characteristic  $p$  is said to be  $F$ -pure if  $\Psi_e(f)$  is pure for every  $e \geq 1$ .

By the Radu–André theorem (Theorem 4.4), we immediately have that a regular homomorphism is  $F$ -pure.

We list some basic properties of  $F$ -pure homomorphisms.

**4.6 Lemma.** *Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be homomorphisms between  $\mathbb{F}_p$ -algebras.*

1. If  $f$  and  $g$  are  $F$ -pure, then so is  $gf$ .
2.  $A$  is  $F$ -pure if and only if the unique map  $\mathbb{F}_p \rightarrow A$  is  $F$ -pure.
3. If  $gf$  is  $F$ -pure and  $g$  is pure, then  $f$  is  $F$ -pure.
4. If  $A$  is  $F$ -pure and  $f$  is  $F$ -pure, then  $B$  is  $F$ -pure.
5. A pure subring of an  $F$ -pure ring is  $F$ -pure.
6. Let  $A'$  be an  $A$ -algebra, and  $B' = B \otimes_A A'$ . If  $f$  is  $F$ -pure, then the base change  $A' \rightarrow B'$  is also  $F$ -pure.
7. If  $A \rightarrow A'$  is a pure homomorphism and  $A' \rightarrow B'$  is  $F$ -pure, then  $f$  is  $F$ -pure.

Thus the smooth base change and the flat descent are true for  $F$ -purity. Matijević–Roberts type theorem is true for  $F$ -purity.

When the base ring is a field,  $F$ -purity of a homomorphism is described well as follows.

**4.7 Lemma.** *Let  $k$  be a field of characteristic  $p$ , and  $B$  a  $k$ -algebra. Then the following are equivalent.*

1.  $k \rightarrow B$  is  $F$ -pure, and  $B$  is noetherian.
2. For any  $e > 0$ ,  $B \otimes_k {}^e k$  is noetherian and  $F$ -pure.
3. There exists some  $e > 0$  such that  $B \otimes_k {}^e k$  is noetherian and  $F$ -pure.
4.  $B$  is noetherian, and  $B$  is geometrically  $F$ -pure over  $k$ , that is, for any finite algebraic extension  $L$  of  $k$ ,  $B \otimes_k L$  is  $F$ -pure.

*4.8 Remark.* Thus an  $F$ -pure homomorphism has geometrically  $F$ -pure fibers. But Singh’s example shows that even a flat homomorphism with geometrically  $F$ -pure fibers may not be  $F$ -pure.

**4.9 Example (Singh [33]).** There is a flat local homomorphism  $f : A \rightarrow B$  essentially of finite type with  $A$  a DVR,  $f$  has geometrically  $F$ -regular fibers, but  $B$  is not  $F$ -pure.

It is natural to ask if an  $F$ -pure map is flat.

**4.10 Definition.** A homomorphism  $f : A \rightarrow B$  of rings of characteristic  $p$  is said to be *Dumitrescu* if  $\Psi_1(f) : B \otimes_A {}^1 A \rightarrow {}^1 B$  is  ${}^1 A$ -pure.

**4.11 Theorem (Dumitrescu [8]).** *For a flat homomorphism  $f : A \rightarrow B$  of noetherian rings of characteristic  $p$ , the following are equivalent.*

1.  $f$  is Dumitrescu.
2.  $f$  is reduced.

By definition, a pure homomorphism is Dumitrescu. We ask if a Dumitrescu map is flat. The following is relatively easy to prove.

**4.12 Theorem.** *Let  $f : A \rightarrow B$  be a homomorphism of noetherian rings of characteristic  $p$ . If  $f$  is Dumitrescu and the image of  $\text{Spec } B \rightarrow \text{Spec } A$  contains all maximal ideals of  $A$ , then  $f$  is pure.*

**4.13 Corollary.** *A Dumitrescu local homomorphism between noetherian local rings of characteristic  $p$  is pure. In particular, an  $F$ -pure local homomorphism is pure.*

For a homomorphism with finite fibers, Dumitrescu homomorphism is flat. Namely,

**4.14 Theorem.** *Let  $f : A \rightarrow B$  be a homomorphism between noetherian rings of characteristic  $p$ . Assume that the fiber  $B \otimes_A \kappa(P)$  is finite over  $\kappa(P)$  for any  $P \in \text{Spec } A$ . Then the following are equivalent.*

1.  $f$  is  $F$ -pure.
2.  $f$  is Dumitrescu.
3.  $f$  is regular.

*4.15 Remark.* The case that  $B$  is a domain and  $f$  is finite is due to K.-i. Watanabe.

Here is another sufficient condition for a Dumitrescu homomorphism flat.

**4.16 Theorem.** *Let  $f : (A, \mathfrak{m}) \rightarrow (B, \mathfrak{n})$  be a Dumitrescu local homomorphism between noetherian local rings of characteristic  $p$ . If  $t \in \mathfrak{m}$ ,  $A$  is normally flat along  $tA$ , and  $A/tA \rightarrow B/tB$  is flat, then  $f$  is flat.*

**4.17 Corollary.** *Let  $f : (A, \mathfrak{m}) \rightarrow (B, \mathfrak{n})$  be a Dumitrescu local homomorphism between noetherian local rings of characteristic  $p$ . If  $t \in \mathfrak{m}$  is a nonzerodivisor of  $A$  and  $A/tA \rightarrow B/tB$  is flat, then  $f$  is flat.*

**4.18 Corollary.** *Let  $f : (A, \mathfrak{m}) \rightarrow (B, \mathfrak{n})$  be a Dumitrescu local homomorphism between noetherian local rings of characteristic  $p$ . If  $A$  is regular, then  $f$  is flat.*

Stronger than the smooth base change, the “ $F$ -pure base change” of the strong  $F$ -regularity holds.

**4.19 Theorem.** *Let  $\varphi : A \rightarrow B$  be a homomorphism of noetherian rings of characteristic  $p$ . Assume that  $A$  is a strongly  $F$ -regular domain. Assume that the generic fiber  $Q(A) \otimes_A B$  is strongly  $F$ -regular, where  $Q(A)$  is the field of fractions of  $A$ . If  $\varphi$  is  $F$ -pure and  $B$  is locally excellent, then  $B$  is strongly  $F$ -regular.*

Thus the smooth base change for strong  $F$ -regularity holds. Flat descent also holds, and thus Matijević–Roberts type theorem for strong  $F$ -regularity holds.

Next we consider Cohen–Macaulay  $F$ -injectivity.

**4.20 Definition.** We say that a noetherian local ring of characteristic  $p$ ,  $(R, \mathfrak{m})$  is  $F$ -injective if for any  $i \in \mathbb{N}$ , the Frobenius map on the local cohomology  $H_{\mathfrak{m}}^i(R) \rightarrow H_{\mathfrak{m}}^i({}^1R)$  is injective. A noetherian ring of characteristic  $p$  is  $F$ -injective if its localizations at all maximal ideals are  $F$ -injective.

**4.21 Lemma.** *The following hold.*

1. (Fedder [12]) *An  $F$ -pure ring is  $F$ -injective.*
2. *An  $F$ -rational ring is  $F$ -injective.*
3. (Fedder [12]) *A Gorenstein  $F$ -injective ring is  $F$ -pure.*
4. (Schwede [32]) *A finite-type algebra over a field of characteristic zero is Du Bois if it is of dense  $F$ -injective type.*

The following statement, which is stronger than the smooth base change, was proved by Aberbach–Enescu [3]. See also [11].

**4.22 Proposition.** *Let  $A \rightarrow B$  be a flat homomorphism with Cohen–Macaulay and geometrically  $F$ -injective fibers. If  $A$  is Cohen–Macaulay  $F$ -injective, then  $B$  is Cohen–Macaulay  $F$ -injective.*

**4.23 Corollary.** *Let  $(R, \mathfrak{m})$  be a noetherian local ring of characteristic  $p$ , and  $t \in \mathfrak{m}$  a nonzerodivisor. If  $R/tR$  is Cohen–Macaulay  $F$ -injective, then so is  $R$ .*

Proposition 4.22 shows that the smooth base change holds for Cohen–Macaulay  $F$ -injective property. The flat descent is easy, and Matijević–Roberts type theorem holds for Cohen–Macaulay  $F$ -injective property.

So the following holds.

**4.24 Theorem.** *Let  $R$  be a  $\mathbb{Z}^n$  noetherian ring of characteristic  $p$ , and  $P \in \text{Spec } R$ . If  $R_{P^*}$  is  $F$ -pure (resp. excellent and strongly  $F$ -regular, Cohen–Macaulay  $F$ -injective), then  $R_P$  is  $F$ -pure (resp. strongly  $F$ -regular, Cohen–Macaulay  $F$ -injective).*

A localization of a Cohen–Macaulay  $F$ -injective ring is Cohen–Macaulay  $F$ -injective. Similarly to the  $F$ -rational property, we have the following, as corollaries to Matijević–Roberts type theorem.

**4.25 Corollary.** *Let  $R$  be a  $\mathbb{N}$ -graded noetherian ring of characteristic  $p$ , and  $t \in R_+ = \bigoplus_{i>0} R_i$  a nonzerodivisor. If  $R/tR$  is Cohen–Macaulay  $F$ -injective, then so is  $R$ .*

**4.26 Corollary.** *Let  $A$  be a commutative ring, and  $(F_i)_{i \geq 0}$  be a filtration of  $A$ . Set  $\mathcal{R} = \bigoplus_{i \geq 0} F_i t^i \subset A[t]$  and  $\mathcal{G} = \mathcal{R}/t\mathcal{R}$ . If  $\mathcal{G}$  is noetherian and Cohen–Macaulay  $F$ -injective, then so is  $A$ .*

Finally, we introduce some results on the openness of loci of  $F$ -singularities. The following was proved using the technique of the  $\Gamma$ -construction developed by Hochster–Huneke [24].

**4.27 Theorem (Vélez [36]).** *Let  $R$  be a noetherian ring of characteristic  $p$  which is of finite type over an excellent local ring. Then the  $F$ -rational locus of  $R$  is open in  $\text{Spec } R$ .*

Using the same technique, we have the following.

**4.28 Theorem.** *Let  $R$  be a noetherian ring of characteristic  $p$  which is either  $F$ -finite or essentially of finite type over an excellent local ring. Then the strongly  $F$ -regular locus and the Cohen–Macaulay  $F$ -injective locus of  $R$  is open in  $\text{Spec } R$ .*

Recently, M. Hoshi proved the following, using the same technique.

**4.29 Theorem.** *Let  $R$  be a noetherian ring of characteristic  $p$  which is either  $F$ -finite or essentially of finite type over an excellent local ring. Then the  $F$ -pure locus of  $R$  is open in  $\text{Spec } R$ .*

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